*NN* interaction from chiral effective field theory and its application to neutron-antineutron oscillations

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2 Antinucleon-nucleon interaction in chiral EFT

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Johann Haidenbauer Neutron-antineutron oscillations

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#### Baryon asymmetry in the universe

Standard model cannot explain observed matter-antimatter asymmetry

#### Baryogenesis under Sakharov conditions

- Baryon number *B* violation processes with  $|\Delta B| = 1$  e.g., proton decay processes with  $|\Delta B| = 2$  e.g., neutron-antineutron oscillations,  $\Lambda$ - $\overline{\Lambda}$  oscillations
- C-symmetry and CP-symmetry violation
- Interactions out of thermal equilibrium

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## Neutron-antineutron transition probability

Time evolution where a neutron can transform into an antineutron

$$i\frac{\partial}{\partial t}\begin{pmatrix}n\\\bar{n}\end{pmatrix} = \begin{pmatrix}E_n & \delta m\\\delta m & E_{\bar{n}}\end{pmatrix}\begin{pmatrix}n\\\bar{n}\end{pmatrix}$$

Probability of a free neutron oscillating to an antineutron

$$P_{n\to\bar{n}}(t) = \frac{\delta m^2}{\Delta E^2 + \delta m^2} \sin^2(\sqrt{\Delta E^2 + \delta m^2} t)$$

 $\delta m = 1/\tau_{n-\bar{n}} \dots \tau_{n-\bar{n}}$  is the free neutron-antineutron oscillation time  $\Delta E = (E_n - E_{\bar{n}})/2$ for  $E_n \approx E_{\bar{n}}$ 

$$P_{n\to\bar{n}}(t)\approx (\delta m t)^2 = \left(\frac{t}{\tau_{n-\bar{n}}}\right)^2$$

For bound neutrons in nuclei, the probability is

$$P_{
m nuc}(n 
ightarrow \overline{n}) = rac{1}{T_{
m nuc}} = rac{1}{R \, au_{n-ar{n}}^2}$$

R ... reduced lifetime, intranuclear suppression factor

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### Possible experimental observation

- Oscillations of neutrons to antineutrons in free space direct access to τ<sub>n-n̄</sub>
- Oscillations of neutrons to antineutrons in nuclei
  - 1) measurement of  $T_{nuc}$

2) estimation of  $\tau_{n-\bar{n}}$  based on a knowledge of *R* the latter can be/has to be provided by theory

#### examples:

Super-Kamiokande (K. Abe et al., PRD 103 (2021) 012008)

lower limit on  $\overline{n}$  appearance lifetime in <sup>16</sup>O: 3.6 × 10<sup>32</sup> years

 $\Rightarrow \tau_{n-\bar{n}} > 4.7 \times 10^8$  s at 90 % C.L.

(based on an R value from Friedman and Gal (2008))

Sudbury Neutrino Observatory (B. Aharmim et al., PRD 96 (2017) 092005)

lower limit on nuclear lifetime of  $^2\text{H:}$  0.118  $\times$  10  $^{32}$  years

 $\Rightarrow \tau_{n-\bar{n}} > 1.23 \times 10^8$  s at 90 % C.L.

(based on an R value from Dover, Gal, Richard (1983))

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## Suppression factor R in the traditional approach

#### C. Dover, A. Gal, J.-M. Richard, PRD 27 (1983) 1090

$$|\psi_d\rangle = \frac{u_0(r)}{r}|^3 S_1\rangle + \frac{w_0(r)}{r}|^3 D_1\rangle$$

NN:  $\psi_d$  ... deuteron wave function from Paris potential is used

$$-\frac{u_{\bar{n}p}^{\prime\prime}(r)}{m_{N}}+V_{\bar{n}p}u_{\bar{n}p}(r)-E_{d}u_{\bar{n}p}(r)=-\delta m u_{0}(r), \qquad V_{\bar{n}p}(r)=U_{\bar{n}p}(r)-\mathrm{i}W_{\bar{n}p}(r)$$

$$\Gamma_{d} = -2 \int_{0}^{\infty} |u_{\bar{n}p}(r)|^{2} \operatorname{Im} V_{\bar{n}p}(r) \, \mathrm{d}r = -2\delta m \int_{0}^{\infty} u_{0}(r) \operatorname{Im} u_{\bar{n}p}(r) \, \mathrm{d}r$$

 $E_d = -2.2246$  MeV ... deuteron binding energy  $\delta m = 1/\tau_{n-\bar{n}} = V_{n-\bar{n}} \dots n-\bar{n}$  transition potential

$$T_d = 1/\Gamma_d, \quad T_d = R\,\tau_{n-\bar{n}}^2 \quad \rightarrow R = 1/(\Gamma_d\,\tau_{n-\bar{n}}^2)$$

*N*: Dover-Richard *N* potentials are used (PRC 21 (1980) 24, PRC 24 (1982) 1952)

• only *S* wave:  $R = 2.75 \times 10^{22} \text{ s}^{-1}$  (DR<sub>1</sub>),  $R = 2.71 \times 10^{22} \text{ s}^{-1}$  (DR<sub>2</sub>) • *S*+*D* wave:  $R = 2.56 \times 10^{22} \text{ s}^{-1}$  (DR<sub>1</sub>),  $R = 2.40 \times 10^{22} \text{ s}^{-1}$  (DR<sub>2</sub>)

#### However, since 1983 ...

NN : chiral effective field theory (Weinberg, van Kolck, Epelbaum/Meißner, Entem/Machleidt, ...)

NN : wealth of new low-energy data from LEAR (CERN) (E. Klempt et al., Phys. Rep. 368 (2002) 119) partial wave analysis (D. Zhou & R.G.E. Timmermans, PRC 86 (2012) 044003) potentials from chiral EFT (X.-W. Kang, L.-Y. Dai, J.H., U.-G. Meißner)

#### $\Rightarrow$ re-evaluate *R* with modern tools

### Effective field theory

#### Oosterhof, Long, de Vries, Timmermans, van Kolck, PRL 122 (2019) 172501

construct an EFT for the  $|\Delta B| = 2$  interaction

calculate  $n - \bar{n}$  oscillations and dinucleon decay up to NLO

Decay rate is obtained from the deuteron propagator



Kaplan-Savage-Wise resummation scheme is employed (pion exchange is treated perturbatively)

$$G(\overline{E}) = \frac{\Sigma(\overline{E})}{1 + i \operatorname{Re}(C_0) \Sigma(\overline{E})} = \frac{i Z_d}{\overline{E} - E_d + i \Gamma_d/2} + \dots ,$$

 $\Sigma(\overline{E})$  ... irreducible deuteron two-point function

Z<sub>d</sub> ... (real) wave-function renormalization

$$\Gamma_d = \left. \frac{2 \operatorname{Im}(\mathrm{i}\Sigma(\bar{E}))}{\operatorname{Re}(\mathrm{i}d\Sigma(\bar{E})/\mathrm{d}\bar{E})} \right|_{\bar{E}=E_d} + \dots$$

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#### Effective field theory

Oosterhof, Long, de Vries, Timmermans, van Kolck, PRL 122 (2019) 172501

$$\Gamma_{d} = -\frac{m_{N} \operatorname{Im} a_{\bar{n}p}}{\kappa \tau_{n-\bar{n}}^{2}} \left[ 1 + \kappa \left( r_{np} + 2 \operatorname{Re} a_{\bar{n}p} - \frac{g_{A}^{2} m_{N}}{3\pi F_{\pi}^{2}} \frac{2 - 2\xi - 5\xi^{2} + 6\xi^{3}}{1 + 2\xi} - \frac{(\kappa - \mu) \operatorname{Im} \tilde{B}_{0}}{\sqrt{2}\pi \,\delta m \operatorname{Im} a_{\bar{n}p}} \right) \right]$$

$$R = -\left[\frac{m_N}{\kappa} \text{Im} \, a_{\bar{n}\rho} \, \left(1 + 0.40 + 0.20 - 0.13 \pm 0.4\right)\right]^{-1} = \left(1.1 \pm 0.3\right) \times 10^{22} \, \text{s}^{-1}$$

$$\begin{array}{l} \tau_{n-\bar{n}} \ \dots \ 1/\delta m + \dots \\ a_{\bar{n}p} = (0.44 - \mathrm{i}0.96) \ \mathrm{fm} \ \dots \ \mathrm{taken} \ \mathrm{from} \ \mathrm{(chiral EFT)} \ \bar{N}N \ \mathrm{potentia} \\ r_{np} \simeq 1.75 \ \mathrm{fm} \\ \kappa = \sqrt{m_N |E_d|} \simeq 45 \ \mathrm{MeV} \\ \xi = \kappa/m_\pi \simeq 0.32 \end{array}$$

 $\mu$  ... renormalization scale  $\tilde{B}_0$  ...  $|\Delta B| = 2$  four-baryon (NN  $\rightarrow \overline{N}N$ ) contact term

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## The *NN* interaction



### Traditional approach: meson-exchange

I)  $V_{el}^{\bar{N}N}$  ... derived from an *NN* potential via G-parity (Charge conjugation plus 180° rotation around the *y* axis in isospin space)  $\Rightarrow$ 

$$V^{NN}(\pi, \omega) = -V^{NN}(\pi, \omega) \quad \text{odd } \mathbf{G} - \text{parity}$$
$$V^{\bar{N}N}(\sigma, \rho) = +V^{NN}(\sigma, \rho) \quad \text{even } \mathbf{G} - \text{parity}$$

II)  $V_{ann}^{\bar{N}N}$ employ a phenomenological optical potential, e.g.

$$V_{opt}(\mathbf{r}) = (U_0 + iW_0) e^{-\mathbf{r}^2/(2a^2)}$$

with parameters  $U_0$ ,  $W_0$ , a fixed by a fit to  $\overline{N}N$  data

examples: Dover/Richard (1980,1982), Paris (1982,...,2009), Nijmegen (1984), Jülich (1991,1995), ...

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# NN in chiral effective field theory (E. Epelbaum et al.)



• 4N contact terms involve low-energy constants (LECs) ... parameterize unresolved short-range physics

⇒ need to be fixed by fit to experiments (phase shifts)

### N partial-wave analysis

- R. Timmermans et al., PRC 50 (1994) 48
  - use a meson-exchange potential for the long-range part
  - apply a strong absorption at short distances (boundary condition) in each individual partial wave (≈ 1.2 fm)
  - 30 parameters, fitted to a selection of N
    N data (3646!)
  - However, resulting amplitudes are not explicitly given: no proper assessment of the uncertainties (statistical errors) phase-shift parameters for the <sup>1</sup>S<sub>0</sub> and <sup>1</sup>P<sub>1</sub> partial waves are not pinned down accurately
- D. Zhou and R. Timmermans, PRC 86 (2012) 044003
  - use now potential where the long-range part is fixed from chiral EFT (N<sup>2</sup>LO)
  - somewhat larger number of N
    N data (3749!)
  - now, resulting amplitudes and phase shifts are given!
  - lowest momentum:  $p_{lab} = 100 \text{ MeV/c} (T_{lab} = 5.3 \text{ MeV})$
  - highest total angular momentum: J = 4

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# $ar{N}N$ PWA: $ar{p}p ightarrow ar{p}p$



# The $\overline{N}N$ interaction in chiral EFT

- $V^{NN} = V_{1\pi} + V_{2\pi} + V_{3\pi} + ... + V_{cont}$
- $V_{el}^{\bar{N}N} = -V_{1\pi} + V_{2\pi} V_{3\pi} + ... + V_{cont}$
- $V_{ann}^{\bar{N}N} = \sum_X V^{\bar{N}N \to X}$   $X \doteq \pi, 2\pi, 3\pi, 4\pi, ...$
- $V_{1\pi}$ ,  $V_{2\pi}$ , ... can be taken over from chiral EFT studies of the NN interaction
- Xian-Wei Kang, J.H., Ulf-G. Meißner, JHEP 02 (2014) 113 (N<sup>2</sup>LO) starting point: NN interaction by Epelbaum, Glöckle, Meißner, NPA 747 (2005) 362
- Ling-Yun Dai, J.H., Ulf-G. Meißner, JHEP 07 (2017) 078 (N<sup>3</sup>LO) starting point: NN interaction by Epelbaum, Krebs, Meißner, EPJA 51 (2015) 53

•  $V_{cont}$  ... same structure as in NN ( $\bar{c} + c (p^2 + p'^2) + ...$ ). However, now the LECs have to be determined by a fit to  $\bar{N}N$  data (phase shifts, inelasticites)! no Pauli principle  $\rightarrow$  more partial waves, more contact terms

•  $V_{ann}^{NN}$  has no counterpart in NN empirical information: annihilation is short-ranged and practically energy-independent  $V_{ann;eff}^{\bar{N}N} = \sum_{X} V^{\bar{N}N \to X} G_{X}^{0} V^{X \to \bar{N}N}, \quad V^{\bar{N}N \to X}(p, p_{X}) \approx p^{L} (a+b p^{2}+...); \quad p_{X} \approx \text{ const.}$ 

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### regularized Lippmann-Schwinger equation

$$T^{L'L}(p',p) = V^{L'L}(p',p) + \sum_{L''} \int_0^\infty \frac{dp''p''^2}{(2\pi)^3} \frac{V^{L'L''}(p',p'') T^{L''L}(p'',p)}{2E_p - 2E_{p''} + i\eta}$$

- $\overline{N}N$  potential up to N<sup>2</sup>LO (Kang et al., 2014) employ the non-local regularization scheme of EGM (NPA 747 (2005) 362)
- N
   N potential up to N<sup>3</sup>LO (Dai et al., 2017)

   employ the regularization scheme of EKM (EPJA 51 (2015) 53)
- Fit to phase shifts and inelasticity parameters in the isospin basis (D. Zhou, R.G.E. Timmermans, PRC 86 (2012) 044003)
- Calculation of observables is done in particle basis:
  - ★ Coulomb interaction in the p̄p channel is included
  - \* the physical masses of p and n are used

 $\overline{n}n$  channels opens at  $p_{lab} = 98.7$  MeV/c ( $T_{lab} = 5.18$  MeV)

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# **N N Phase shifts**

Ling-Yun Dai, J.H., Ulf-G. Meißner, JHEP 07 (2017) 078 (N<sup>3</sup>LO)



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### Results for *pp* integrated cross sections



— N3LO; – – – N2LO; · · · NLO (bands represent a systematic uncertainty estimate)

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#### p cross sections



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#### Results

#### J.H., U.-G. Meißner, CPC 44 (2020) 033101

$$\begin{pmatrix} H_{0} + V_{np} & V_{n-\bar{n}} \\ V_{n-\bar{n}} & H_{0} + V_{\bar{n}p} \end{pmatrix} \begin{pmatrix} |\psi_{np}\rangle \\ |\psi_{\bar{n}p}\rangle \end{pmatrix} = (E - i\Gamma/2) \begin{pmatrix} |\psi_{np}\rangle \\ |\psi_{\bar{n}p}\rangle \end{pmatrix}$$

$$(H_{0} + V_{np} - E_{d})|\psi_{d}\rangle = 0$$

$$(H_{0} + V_{\bar{n}p} - E_{d})|\psi_{\bar{n}p}\rangle \approx -V_{n-\bar{n}}|\psi_{d}\rangle$$

$$\Gamma_d = -2 \, V_{n-\bar{n}} \, \mathrm{Im} \langle \psi_d | \psi_{\bar{n}p} \rangle$$

	$\chi$ EFT N <sup>2</sup> LO	$\chi$ EFT N <sup>3</sup> LO	DR <sub>1</sub>	DR <sub>2</sub>
R [s <sup>-1</sup> ]	$2.49  imes 10^{22}$	$2.56 \times 10^{22}$	$2.56  imes 10^{22}$	$2.40 \times 10^{22}$
(Oosterhof)	$(1.1 \pm 0.3) \times 10^{22}$	$(1.2 \pm 0.3) \times 10^{22}$	$(1.4 \pm 0.4) \times 10^{22}$	$(1.3 \pm 0.3) \times 10^{22}$
a <sub>np</sub> [fm]	0.44 — i 0.91	$0.44 - { m i} 0.96$	0.87 — i 0.66	$0.89 - { m i} 0.71$

 $\chi$ EFT N<sup>2</sup>LO ... X.-W. Kang, J.H., U.-G. Meißner, JHEP 02 (2014) 113  $\chi$ EFT N<sup>3</sup>LO ... Lingyun Dai, J.H., U.-G. Meißner, JHEP 07 (2017) 078 DR<sub>1</sub>, DR<sub>2</sub> ... Dover, Gal, Richard, PRD 27 (1983) 1090

$$R = -\frac{\kappa}{m_N} \frac{1}{\text{Im } a_{\bar{n}p}} \frac{1}{1 + 0.4 + 2\kappa \text{ Re } a_{\bar{n}p} - 0.13 \pm 0.4}$$

	$\chi$ EFT N <sup>3</sup> LO					
R <sub>c</sub> [fm]	0.8	0.9	1.0	1.1		
(EFT)	$2.91 \times 10^{22}$	$2.71 \times 10^{22}$	$2.61 \times 10^{22}$	$2.57  imes 10^{22}$		
(MEX)	$2.87\times10^{22}$	$2.72\times10^{22}$	$2.61\times10^{22}$	$2.57\times10^{22}$		
Oosterhof LO	$1.62 \times 10^{22}$					
Oosterhof NLO	$(1.2 \pm 0.3)  imes 10^{22}$					
a <sub>np</sub> [fm]	0.41 - i 0.88	$0.42 - { m i} 0.90$	$0.43 - { m i} 0.91$	0.47 - i0.91		
$\beta \sigma_{ann}$ [mb]	34.5	35.6	36.0	36.0		

 $\mathcal{R}_c$  ... different regulator in  $\chi \text{EFT N}^3 \text{LO } \bar{N}N$  potential (Lingyun Dai et al., JHEP 07 (2017) 078)  $\Rightarrow$  uncertainty  $\simeq \pm 0.2 \times 10^{22} \text{ s}^{-1}$ 

(EFT) ... deuteron wave function from consistent  $\chi$ EFT N<sup>3</sup>LO *NN* potential (MEX) ... deuteron wave function from meson-exchange potential (J.H. et al., PRC 48 (1993) 2190)

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### Where does the difference come from?

- Our calculation:  $|\Delta B| = 2$  four-baryon contact term is neglected non-perturbative aspects of NN and  $\overline{N}N$  interactions are fully taken into account
- Oosterhof et al.: Kaplan-Savage-Wise resummation scheme is used has known deficiencies: convergence problem when tensor force of pion exchange is relevant (<sup>3</sup>S<sub>1</sub>-<sup>3</sup>D<sub>1</sub>) quadrupole moment of the deuteron is overestimated by 40 %
- deuteron wave function versus deuteron two-point function two-point function possibly inadequate for specific observables



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## Summary

- *NN* interaction up to N<sup>3</sup>LO in chiral effective field theory
- nice agreement with p̄p (and p̄p → n̄n) observables for T<sub>lab</sub> ≤ 250 MeV is achieved

- standard (leading-order) calculation of the suppression factor *R* for the deuteron confirms results by Dover, Gal, Richard from 1983 (*R* = (2.7 ± 0.2) × 10<sup>22</sup>)
- discrepany to EFT calculation by Oosterhof et al. based on the Kaplan-Savage-Wise resummation scheme

 $(R = (1.2 \pm 0.3) \times 10^{22})$ 

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### Backup slides

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# $\bar{N}N$ PWA: $\bar{p}p \rightarrow \bar{n}n$



# Annihilation potential

#### • experimental information:

- annihilation occurs dominantly into 4 to 6 pions
- thresholds: for 5 pions:  $\approx$  700 MeV for  $\overline{N}N$ : 1878 MeV
- $\Rightarrow$  annihilation potential depends very little on energy
- annihilation is a statistical process: individual properties of the produced particles (mass, quantum numbers) do not matter
- phenomenlogical models: bulk properties of annihilation can be described rather well by simple energy-independent optical potentials
- range associated with annihilation is around 1 fm or less
   → short-distance physics
- ⇒ describe annihilation in the same way as the short-distance physics in  $V_{el}^{\bar{N}N}$ , i.e. likewise by contact terms (LECs)
- ⇒ describe annihilation by a few effective (two-body) annihilation channels (unitarity is preserved!)

$$V^{\bar{N}N} = V_{el}^{\bar{N}N} + V_{ann;eff}^{\bar{N}N}; \quad V_{ann;eff}^{\bar{N}N} = \sum_{X} V^{\bar{N}N \to X} G_{X}^{0} V^{X \to \bar{N}N}$$
$$V^{\bar{N}N \to X} (p_{\bar{N}N}, p_{X}) \approx p_{\bar{N}N}^{L} (a + b p_{\bar{N}N}^{2} + ...); \quad p_{X} \approx \text{const.}$$
$$a, b, \dots \text{LECs}$$

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# Contributions of $V_{cont}$ for $\overline{N}N$ up to N<sup>3</sup>LO



$$\begin{aligned} V^{L=0} &= & \tilde{C}_{\alpha} + C_{\alpha}(p^2 + p'^2) + D_{\alpha}^1 p'^2 p'^2 + D_{\alpha}^2 (p^4 + p'^4) \\ V^{L=1} &= & C_{\beta} \, p \, p' + D_{\beta} \, p \, p' (p^2 + p'^2) \\ V^{L=2} &= & D_{\gamma} \, p^2 p'^2 \end{aligned}$$

 $\tilde{c}_i \dots$  LO LECs [4],  $c_i \dots$  NLO LECs [+14],  $D_i \dots N^3$  LO LECs [+30],  $p = |\mathbf{p}|; p' = |\mathbf{p}'|$  $V_{ann;eff}^{\bar{N}N}$ 

$$\begin{split} V_{ann}^{L=0} &= -i \, (\tilde{C}_{\alpha}^{a} + C_{\alpha}^{a} p^{2} + D_{\alpha}^{a} p^{4}) \, (\tilde{C}_{\alpha}^{a} + C_{\alpha}^{a} p^{\prime 2} + D_{\alpha}^{a} p^{\prime 4}) \\ V_{ann}^{L=1} &= -i \, (G_{\beta}^{a} p + D_{\beta}^{a} p^{3}) \, (C_{\beta}^{a} p^{\prime} + D_{\beta}^{a} p^{\prime 3}) \\ V_{ann}^{L=2} &= -i \, (D_{\gamma}^{a})^{2} p^{2} p^{\prime 2} \\ V_{ann}^{L=3} &= -i \, (D_{\alpha}^{a})^{2} p^{3} p^{\prime 3} \end{split}$$

 $\begin{array}{l} \alpha \ \dots \ ^{1}S_{0} \ \text{and} \ ^{3}S_{1} \\ \beta \ \dots \ ^{3}P_{0}, \ ^{1}P_{1}, \ \text{and} \ ^{3}P_{1} \\ \gamma \ \dots \ ^{1}D_{2}, \ ^{3}D_{2} \ \text{and} \ ^{3}D_{3} \\ \delta \ \dots \ ^{1}F_{3}, \ ^{3}F_{3} \ \text{and} \ ^{3}F_{4} \end{array}$ 

• unitarity condition: higher powers than what follows from Weinberg power counting appear!

same number of contact terms (LECs)

#### $\overline{qq} \rightarrow \overline{qq}$



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#### $\overline{p}p \rightarrow \bar{n}n$



#### *p* annihilation cross section



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