

Localisation of Dirac eigenmodes and confinement in gauge theories: the Roberge-Weiss transition

Matteo Giordano

Eötvös Loránd University (ELTE)
Budapest

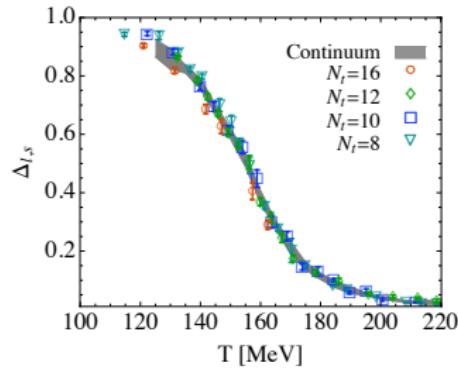
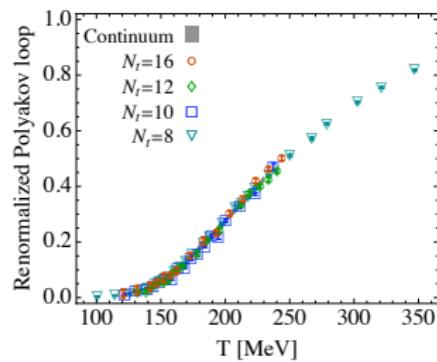
Confinement XV
University of Stavanger
2nd August 2022

Based on M. Cardinali, M. D'Elia, F. Garosi, MG,
[PRD 105 \(2022\) 014506 \[arXiv:2110.10029\]](#)

QCD at Finite Temperature

Analytic crossover in the range $T \simeq 145 - 165$ MeV

Deconfinement, chiral symmetry restoration in the same temperature range



Renormalised Polyakov loop and chiral condensate
Figures from [Borsányi et al. (2010)]

In other QCD-like gauge theories with genuine phase transitions

- deconfinement improves chiral symmetry properties if single transition (e.g., pure gauge theory, $N_f=3$ staggered fermions on coarse lattices)
- $T_{\text{dec}} < T_\chi$ if two transitions are present (e.g., adjoint fermions)

Relation between the two phenomena still not fully clear

Finite-Temperature Gauge Theory on the Lattice

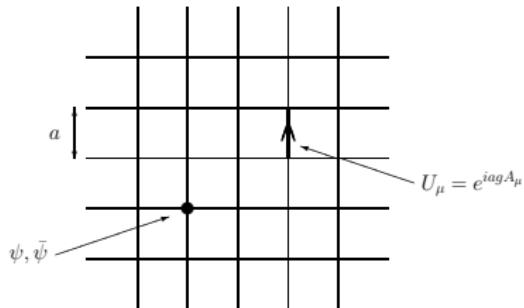
Partition function at finite T (imaginary time formulation)

$$Z = \int [DA] \det(\not{D}[A] + m) e^{-S_G[A]} \rightarrow \int [DU] \det(\not{D}_{\text{lat}}[U] + m) e^{-S_G^{\text{lat}}[U]}$$

- Euclidean fields, compact temporal direction of size $1/T$
- periodic/antiperiodic temporal b.c. for gauge/fermion fields

Lattice approach: Euclidean continuum replaced by discrete finite lattice

- Fields associated with lattice elements:
fermions \rightarrow sites, gauge fields \rightarrow edges
- Hypercubic $N_t \times N_s^3$ lattice w/ periodic spatial b.c., temporal b.c. as above
- Thermodynamic ($V \rightarrow \infty$) and continuum ($a \rightarrow 0$) limits taken eventually at fixed $T = (aN_t)^{-1}$



Deconfinement and χ SB from spontaneous SB

Deconfinement and χ SB from SSB in opposite quark-mass limits

Quark mass $m \rightarrow \infty$ (pure gauge theory)

- Exact \mathbb{Z}_3 centre symmetry
- Spontaneously broken above $T_c \approx 290$ MeV [G. Boyd et al. (1996)]

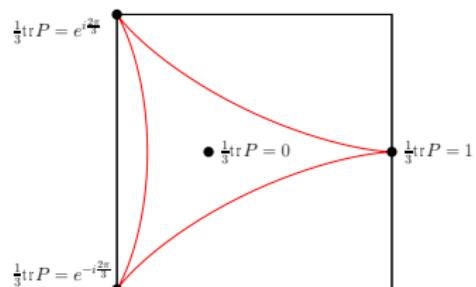
Quark free energy from Polyakov loop

$$\langle \text{tr } P \rangle \propto e^{-F_q/T}$$

$T < T_c$: $\langle \text{tr } P \rangle = 0 \Rightarrow F_q = \infty$

$T > T_c$: $\langle \text{tr } P \rangle \neq 0 \Rightarrow F_q < \infty$

Deconfinement = PL ordering



Deconfinement and χ SB from spontaneous SB

Deconfinement and χ SB from SSB in opposite quark-mass limits

Quark mass $m \rightarrow \infty$ (pure gauge theory)

- Exact \mathbb{Z}_3 centre symmetry
- Spontaneously broken above $T_c \approx 290$ MeV [G. Boyd et al. (1996)]

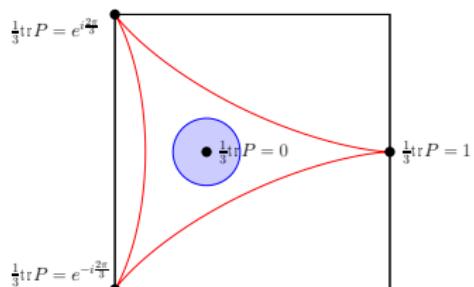
Quark free energy from Polyakov loop

$$\langle \text{tr } P \rangle \propto e^{-F_q/T}$$

$T < T_c$: $\langle \text{tr } P \rangle = 0 \Rightarrow F_q = \infty$

$T > T_c$: $\langle \text{tr } P \rangle \neq 0 \Rightarrow F_q < \infty$

Deconfinement = PL ordering



Deconfinement and χ SB from spontaneous SB

Deconfinement and χ SB from SSB in opposite quark-mass limits

Quark mass $m \rightarrow \infty$ (pure gauge theory)

- Exact \mathbb{Z}_3 centre symmetry
- Spontaneously broken above $T_c \approx 290$ MeV [G. Boyd et al. (1996)]

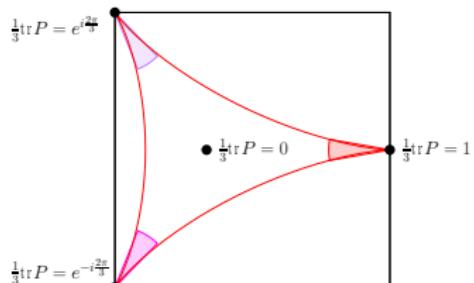
Quark free energy from Polyakov loop

$$\langle \text{tr } P \rangle \propto e^{-F_q/T}$$

$T < T_c$: $\langle \text{tr } P \rangle = 0 \Rightarrow F_q = \infty$

$T > T_c$: $\langle \text{tr } P \rangle \neq 0 \Rightarrow F_q < \infty$

Deconfinement = PL ordering



Deconfinement and χ SB from spontaneous SB

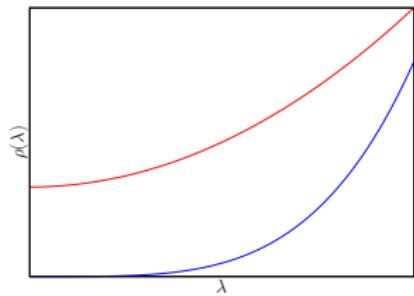
Quark mass $m \rightarrow 0$

- Exact $SU(N_f)_L \times SU(N_f)_R$ chiral symmetry
- Spontaneously broken below $T_c(N_f = 2) \approx 132$ MeV [Ding et al. (2019)]

$$|\langle \bar{\psi}\psi \rangle| = \int_0^\infty d\lambda \frac{2m\rho(\lambda)}{\lambda^2 + m^2} \xrightarrow{m \rightarrow 0} \pi\rho(0^+)$$

$$\rho(\lambda) = \lim_{V \rightarrow \infty} \frac{T}{V} \left\langle \sum_n \delta(\lambda - \lambda_n) \right\rangle$$

χ SB = accumulation of Dirac modes at $\lambda = 0$



Different symmetries, approximate @ m_{phys} : how do they affect each other?

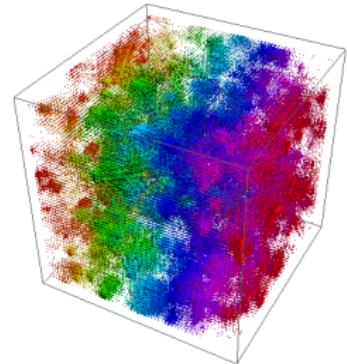
Localisation of Dirac eigenmodes

Low Dirac modes become localised at the QCD transition

Delocalised mode

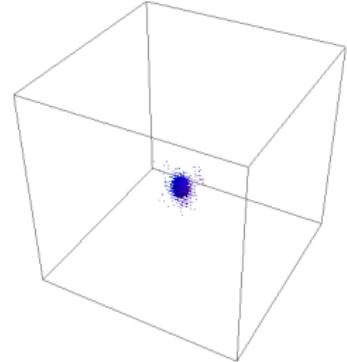
- extends throughout system
- $\|\psi(x)\|^2 \sim 1/L^\alpha$ with $0 < \alpha \leq d$

cond-mat: delocalised if $\alpha = d$, critical if $0 < \alpha < d$



Localised mode

- confined in finite region
- $\|\psi(x)\|^2 \sim 1/L^0$ inside, negligible outside

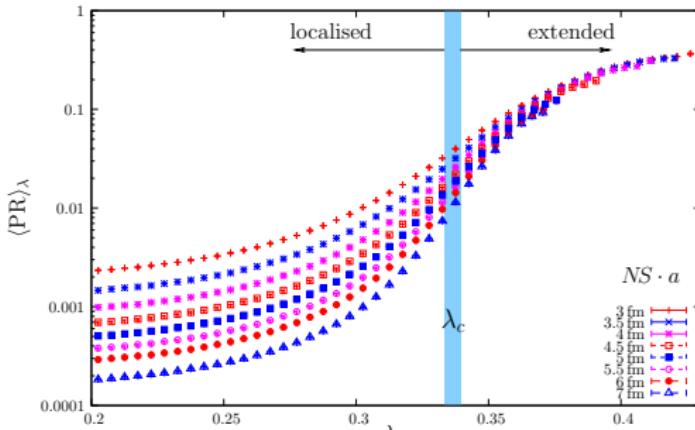


$$\int_0^\beta dt \int d^3x \|\psi_n(t, \vec{x})\|^2 = 1$$
$$\|\psi_n(t, \vec{x})\|^2 \equiv \sum_{c,\eta} |\psi_{n,c,\eta}(t, \vec{x})|^2$$

Figures from [Ujfalusi et al. (2015)]

Localisation of Dirac eigenmodes in QCD

Participation ratio \approx fraction of system occupied by a mode



Data for $T \simeq 2.6 T_c$ from
[MG et al. (2014)]

$$\text{IPR}_n = \int_0^{\frac{1}{T}} dt \int d^d x \|\psi_n(t, \vec{x})\|^4 \sim L^{-\alpha}$$

$$\langle PR \rangle_\lambda = \frac{T}{L^d} \left\langle \sum_n \delta(\lambda - \lambda_n) \text{IPR}_n^{-1} \right\rangle \sim L^{-(d-\alpha)}$$

- Mobility edge λ_c separates localised and delocalised modes ($T > T_c$)
- Second-order (Anderson) transition at λ_c

Localisation and spectral statistics

Localisation of eigenmodes reflects on statistical properties of eigenvalues

- delocalised modes easily mixed by fluctuations → RMT- type statistics
- localised modes fluctuate independently → Poisson statistics

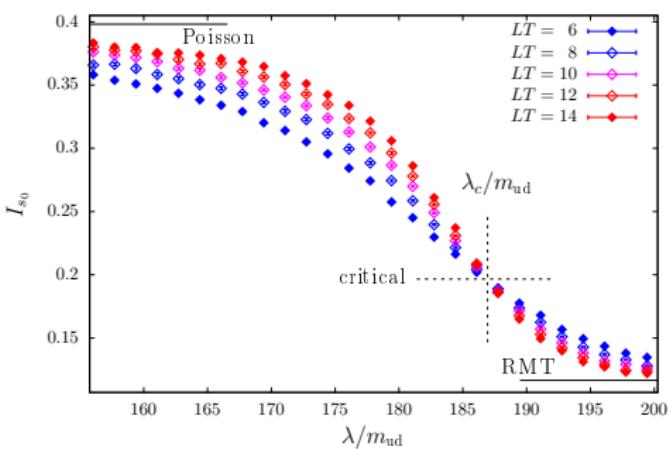
Universal expectations for unfolded level spacings $s_n = \frac{\lambda_{n+1} - \lambda_n}{\langle \lambda_{n+1} - \lambda_n \rangle_\lambda}$

- local spacing distribution $p_\lambda(s, L)$

$$I_{s_0}(\lambda) = \int_0^{s_0} ds p_\lambda(s)$$

$s_0 \approx 0.508$ maximises
RMT/ Poisson difference

- $p_\lambda \rightarrow p_{\text{Poisson}}$ (localised) or
 $p_\lambda \rightarrow p_{\text{RMT}}$ (delocalised) as
system size $L \rightarrow \infty$
- $\lambda_c = \text{scale-invariant point, critical}$
statistics p_{crit}



Data for $T \simeq 2.6 T_c$ from [MG et al. (2014)]

Mobility edge in QCD

Mobility edge extrapolates to 0 in the crossover region [Kovács, Pittler (2012)]
No localised modes in the confined/chirally broken phase at low T

λ_c/m_{ud} is RG-invariant
[Kovács, Pittler (2012), MG (2022)]

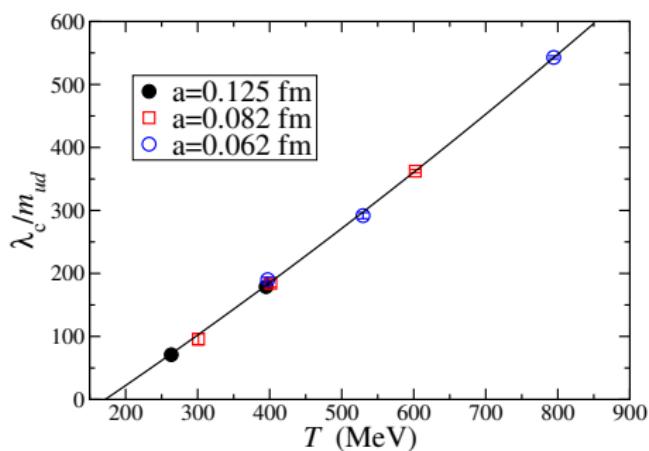


Figure from [Kovács, Pittler (2012)]

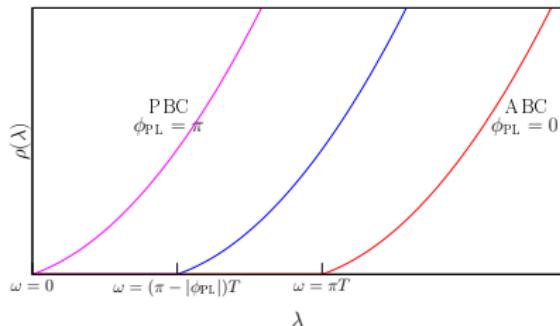
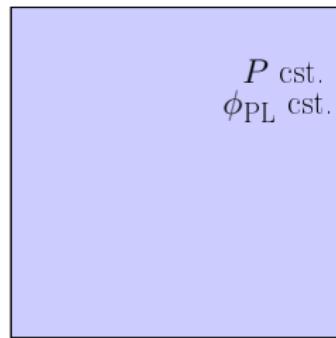
- Numerical evidence from the lattice [MG, Kovács (2021)]
- Localised modes seen with various fermion discretisations, survive continuum limit
⇒ not a lattice artefact
- Same critical features as 3D unitary Anderson model
[MG et al. (2014), Ujfalusi et al. (2015), Nishigaki et al. (2014)]

$\emptyset \sim$ disordered Hamiltonian, localisation expected - but why at the origin?

Sea/islands picture

Ordered phase \approx configs. fluctuate around $\vec{A} = 0$, $P(\vec{x}) = \text{diag}(e^{i\phi_a})$

Temporal “twist” from ABC \Rightarrow gapped spectrum $|\lambda| \geq \omega = (\pi - \phi_{PL})T$



$$\phi_{PL} = \max_{a, \phi_a \in (-\pi, \pi]} |\phi_a|$$

- “Sea” of $\phi_{PL}=0$ selected because of largest twist/spectral gap
- “Islands” $|\phi(\vec{x})| < \phi_{PL}$ reduce twist/ λ , support localised modes $< \omega$

[Bruckmann *et al.* (2011); MG *et al.* (2015, 2016)]

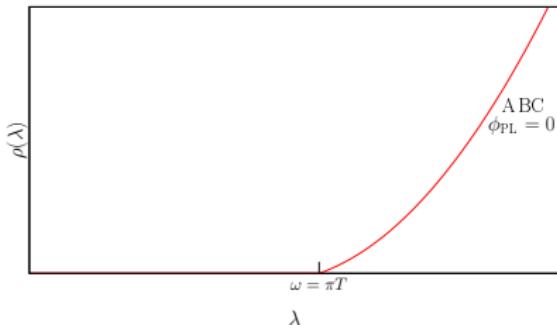
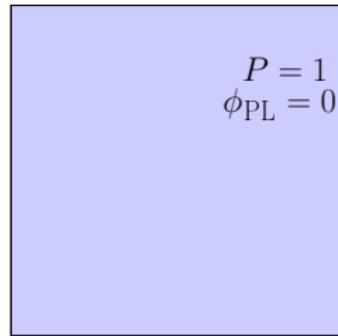
Too simplistic, requires refinement [Baranka, MG (2022)]

Prediction: localisation in the deconfined phase of a generic gauge theory

Sea/islands picture

Ordered phase \approx configs. fluctuate around $\vec{A} = 0$, $P(\vec{x}) = \text{diag}(e^{i\phi_a})$

Temporal “twist” from ABC \Rightarrow gapped spectrum $|\lambda| \geq \omega = (\pi - \phi_{\text{PL}})T$



$$\phi_{\text{PL}} = \max_{a, \phi_a \in (-\pi, \pi]} |\phi_a|$$

- “Sea” of $\phi_{\text{PL}}=0$ selected because of largest twist/spectral gap
- “Islands” $|\phi(\vec{x})| < \phi_{\text{PL}}$ reduce twist/ λ , support localised modes $< \omega$

[Bruckmann *et al.* (2011); MG *et al.* (2015, 2016)]

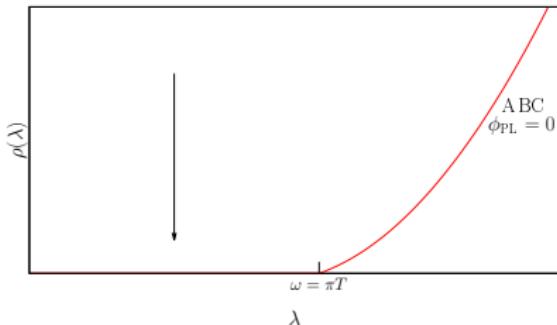
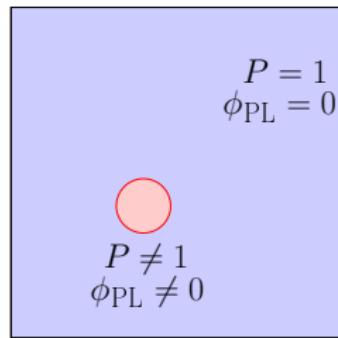
Too simplistic, requires refinement [Baranka, MG (2022)]

Prediction: localisation in the deconfined phase of a generic gauge theory

Sea/islands picture

Ordered phase \approx configs. fluctuate around $\vec{A} = 0$, $P(\vec{x}) = \text{diag}(e^{i\phi_a})$

Temporal “twist” from ABC \Rightarrow gapped spectrum $|\lambda| \geq \omega = (\pi - \phi_{PL})T$



$$\phi_{PL} = \max_{a, \phi_a \in (-\pi, \pi]} |\phi_a|$$

- “Sea” of $\phi_{PL}=0$ selected because of largest twist/spectral gap
- “Islands” $|\phi(\vec{x})| < \phi_{PL}$ reduce twist/ λ , support localised modes $< \omega$

[Bruckmann *et al.* (2011); MG *et al.* (2015, 2016)]

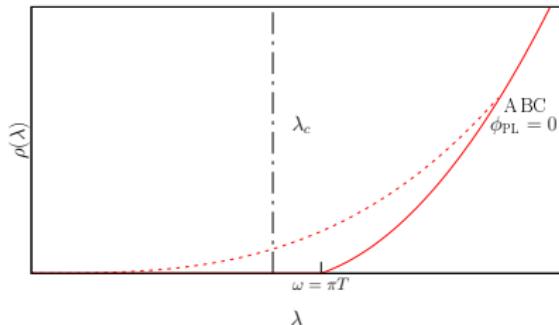
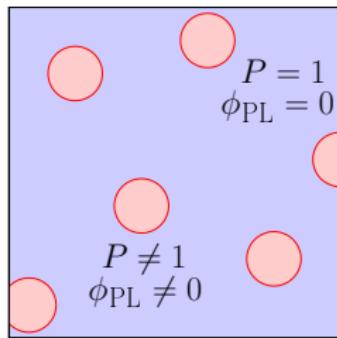
Too simplistic, requires refinement [Baranka, MG (2022)]

Prediction: localisation in the deconfined phase of a generic gauge theory

Sea/islands picture

Ordered phase \approx configs. fluctuate around $\vec{A} = 0$, $P(\vec{x}) = \text{diag}(e^{i\phi_a})$

Temporal “twist” from ABC \Rightarrow gapped spectrum $|\lambda| \geq \omega = (\pi - \phi_{PL})T$



$$\phi_{PL} = \max_{a, \phi_a \in (-\pi, \pi]} |\phi_a|$$

- “Sea” of $\phi_{PL}=0$ selected because of largest twist/spectral gap
- “Islands” $|\phi(\vec{x})| < \phi_{PL}$ reduce twist/ λ , support localised modes $< \omega$

[Bruckmann *et al.* (2011); MG *et al.* (2015, 2016)]

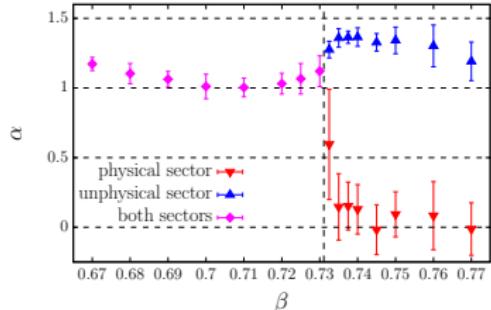
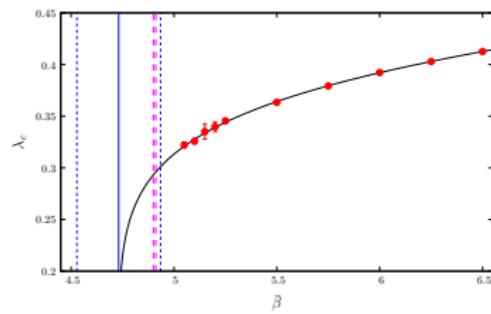
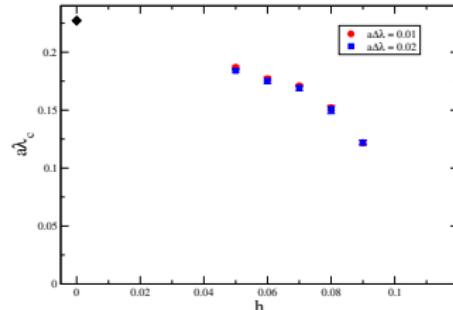
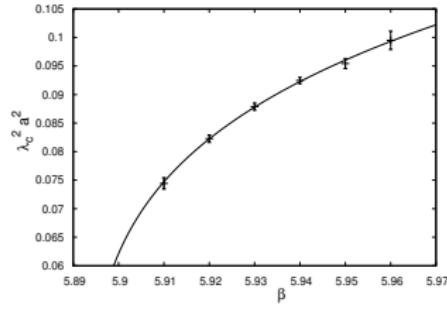
Too simplistic, requires refinement [Baranka, MG (2022)]

Prediction: localisation in the deconfined phase of a generic gauge theory

Localisation and deconfinement – pure gauge theory

Localisation observed in the deconfined phase in many pure gauge systems

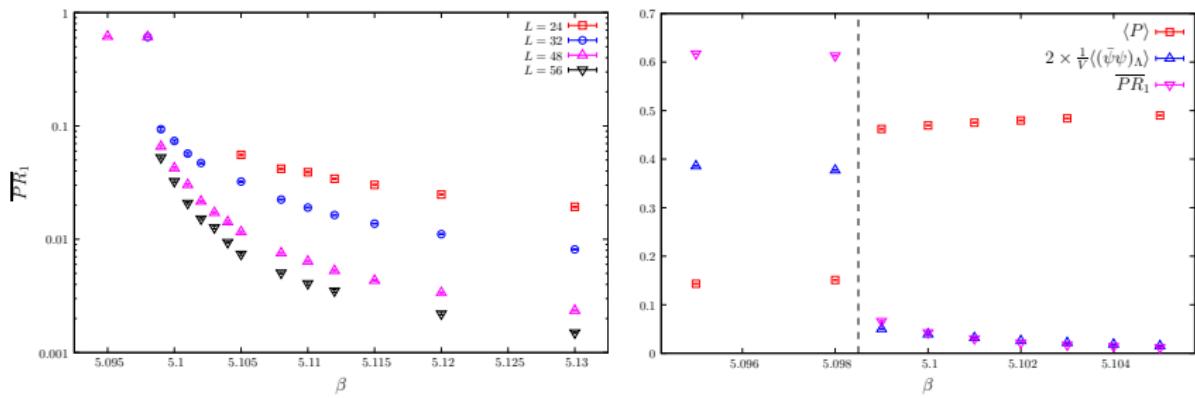
- SU(3) in 3+1D [Kovács, Vig (2018, 2020)] and 2+1D [MG (2019)]
- SU(3) + trace deformation [Bonati *et al.* (2021)]
- \mathbb{Z}_2 [Baranka, MG (2021)] and \mathbb{Z}_3 [Baranka, MG (2022)] in 2+1D



Localisation and deconfinement – fermions

Localisation in a system with fermions displaying genuine phase transition:
 $SU(3) + N_f = 3$ unimproved rooted staggered fermions on $N_t = 4$ lattices

[De Forcrand, Philipsen (2003)]



Figures from [MG et al. (2017)]

- First-order, (partially) deconfining and (partially) chirally restoring p.t.
- Localised modes appear at the transition
- Transition is a lattice artefact, does not survive continuum limit

Imaginary chemical potential and Roberge-Weiss symmetry

System with physical transition? Roberge-Weiss transition at imaginary μ

- imaginary quark chemical potential $\hat{\mu}_I$ enters like gA_4
- effective temporal boundary condition $e^{i(\pi+\phi_{PL})} \rightarrow e^{i(\pi+\phi_{PL}+\hat{\mu}_I)}$
- $\hat{\mu}_I \rightarrow \hat{\mu}_I \pm \frac{2\pi}{3}$ reabsorbed by centre transformation

Partition function periodic $Z(\hat{\mu}_I)$ under $\hat{\mu}_I \rightarrow \hat{\mu}_I \pm \frac{2\pi}{3}$

[Roberge, Weiss (1986)]

Periodicity realised differently at low/high T :

- low T : $Z(\hat{\mu}_I)$ smooth periodic function
- high T : lines of first-order phase transitions at $\hat{\mu}_I = \frac{\pi}{3} + \frac{2\pi}{3}n$, $n \in \mathbb{Z}$
- first-order lines end at second-order Ising point at $T_{RW} = 208(5)$ MeV

[C. Bonati et al. (2016)]

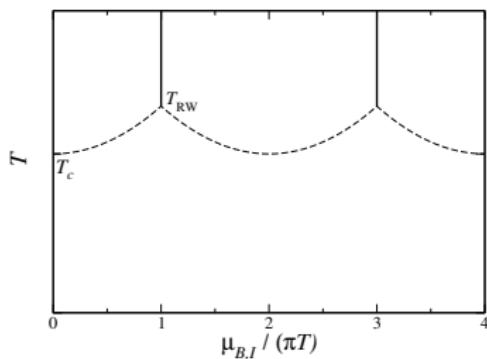
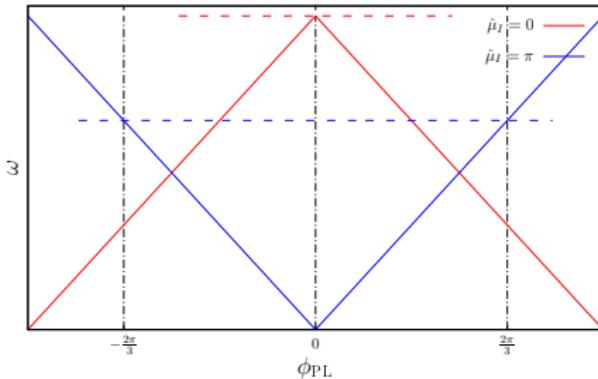


Figure from [C. Bonati et al. (2018)]

Roberge-Weiss transition and sea/islands picture



- gap in uniform config. modified, favoured sector changes with $\hat{\mu}_I$

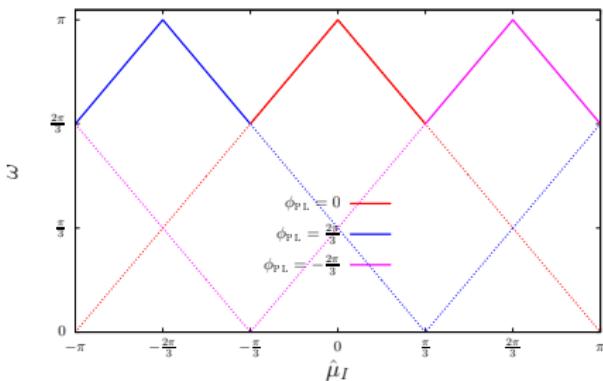
$$\hat{\mu}_I = 0: \omega = (\pi - |\phi_{PL}|)T$$

$$\hat{\mu}_I = \pi: \omega = |\phi_{PL}|T$$

- $\hat{\mu}_I = \frac{\pi}{3} + \frac{2\pi}{3}n$: exact \mathbb{Z}_2 centre symmetry (two centre sectors equally favoured), breaks spontaneously for $T > T_{RW}$
- $\hat{\mu}_I = \pi$: complex sectors chosen, $\omega = \frac{2\pi}{3}T$ for either sector
- fluctuations away from $e^{\pm i\frac{2\pi}{3}}$ reduce eigenvalue, lead to localisation

⇒ expect low modes to turn from delocalised to localised at T_{RW}

Roberge-Weiss transition and sea/islands picture



- gap in uniform config. modified, favoured sector changes with $\hat{\mu}_I$

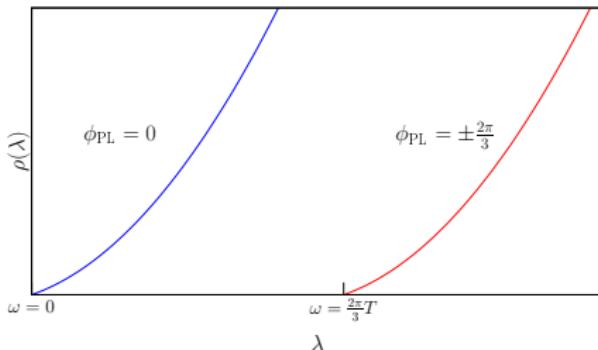
$$\hat{\mu}_I = 0: \omega = (\pi - |\phi_{PL}|)T$$

$$\hat{\mu}_I = \pi: \omega = |\phi_{PL}|T$$

- $\hat{\mu}_I = \frac{\pi}{3} + \frac{2\pi}{3}n$: exact \mathbb{Z}_2 centre symmetry (two centre sectors equally favoured), breaks spontaneously for $T > T_{RW}$
- $\hat{\mu}_I = \pi$: complex sectors chosen, $\omega = \frac{2\pi}{3}T$ for either sector
- fluctuations away from $e^{\pm i\frac{2\pi}{3}}$ reduce eigenvalue, lead to localisation

⇒ expect low modes to turn from delocalised to localised at T_{RW}

Roberge-Weiss transition and sea/islands picture



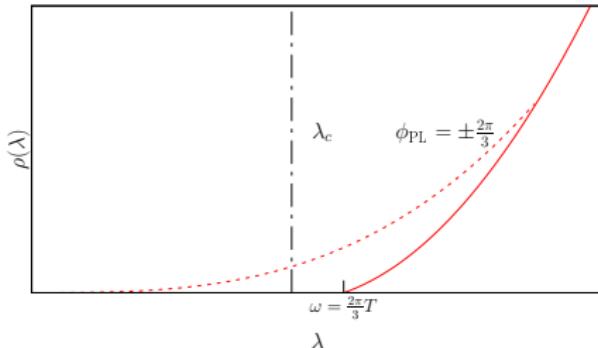
- gap in uniform config. modified, favoured sector changes with $\hat{\mu}_I$,

$$\hat{\mu}_I = 0: \omega = (\pi - |\phi_{PL}|)T \quad \hat{\mu}_I = \pi: \omega = |\phi_{PL}|T$$

- $\hat{\mu}_I = \frac{\pi}{3} + \frac{2\pi}{3}n$: exact \mathbb{Z}_2 centre symmetry (two centre sectors equally favoured), breaks spontaneously for $T > T_{RW}$
- $\hat{\mu}_I = \pi$: complex sectors chosen, $\omega = \frac{2\pi}{3}T$ for either sector
- fluctuations away from $e^{\pm i\frac{2\pi}{3}}$ reduce eigenvalue, lead to localisation

⇒ expect low modes to turn from delocalised to localised at T_{RW}

Roberge-Weiss transition and sea/islands picture



- gap in uniform config. modified, favoured sector changes with $\hat{\mu}_I$,

$$\hat{\mu}_I = 0: \omega = (\pi - |\phi_{PL}|)T \quad \hat{\mu}_I = \pi: \omega = |\phi_{PL}|T$$

- $\hat{\mu}_I = \frac{\pi}{3} + \frac{2\pi}{3}n$: exact \mathbb{Z}_2 centre symmetry (two centre sectors equally favoured), breaks spontaneously for $T > T_{RW}$
- $\hat{\mu}_I = \pi$: complex sectors chosen, $\omega = \frac{2\pi}{3}T$ for either sector
- fluctuations away from $e^{\pm i\frac{2\pi}{3}}$ reduce eigenvalue, lead to localisation

⇒ expect low modes to turn from delocalised to localised at T_{RW}

Numerical setup

Lattice discretisation of $N_f = 2 + 1$ QCD

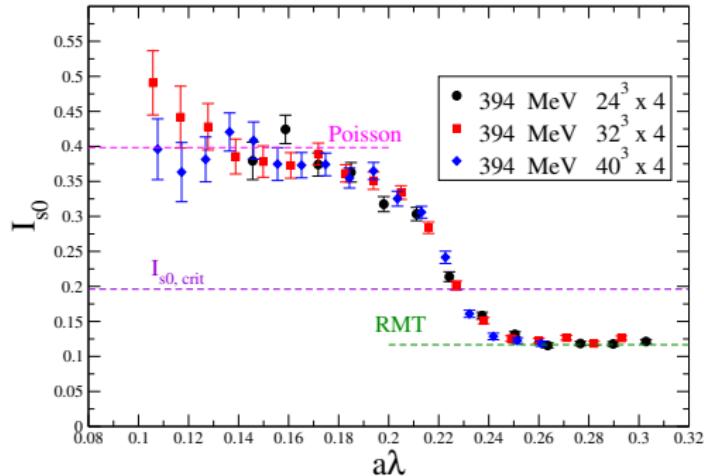
- physical quark masses
- finite temperature T
- imaginary chemical potential $\hat{\mu}_I = \pi$ ($=$ PBC in temporal direction)

Details for the practitioners:

- 2-stout improved rooted staggered fermions
- tree-level improved Symanzik gauge action
- $N_t = 4, 6, 8$ with aspect ratio 6 (also 8 for $N_t = 4$)

Scan in temperature for $T > T_{\text{RW}}$, study localisation using statistical properties of the Dirac spectrum

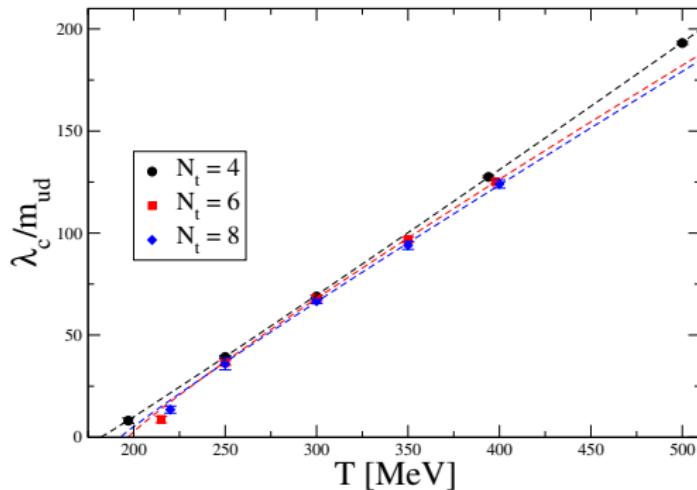
Localisation above T_{RW}



$$I_{s_0}(\lambda) = \int_0^{s_0} ds p_\lambda(s)$$

Critical value $I_{s_0}^{\text{crit}}$ known [MG et al. (2014)], use to find λ_c via $I_{s_0}(\lambda_c) = I_{s_0}^{\text{crit}}$

Mobility edge

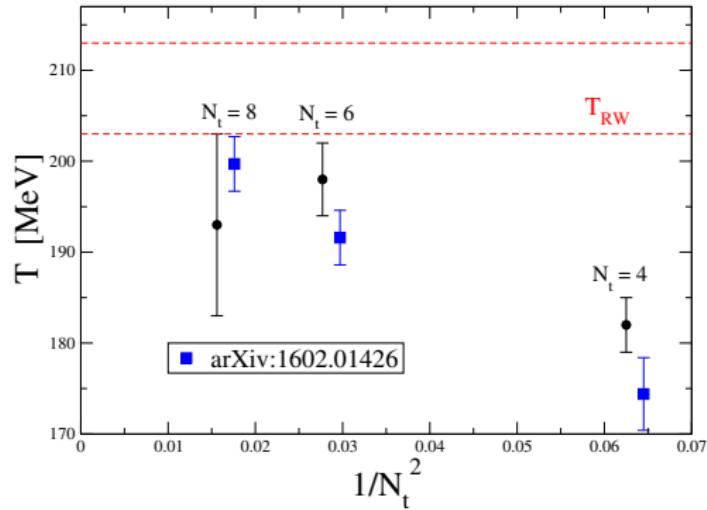


Find $T_{\text{loc}}(N_t)$ where $\lambda_c = 0$ for each lattice spacing $a = (TN_t)^{-1}$ fitting to

$$\frac{\lambda_c}{m_{ud}} = A(N_t)[T - T_{\text{loc}}(N_t)]^{B(N_t)}$$

Lowest T for each N_t excluded from fit,
large finite-size effects for $N_t = 6, 8$

Localisation temperature



Within errors $T_{\text{loc}}(N_t) = T_{\text{RW}}(N_t) \implies$ strongly supports $T_{\text{loc}} = T_{\text{RW}}$

Localised modes appear right at a genuine deconfinement transition (Roberge-Weiss transition) also in the presence of fermions

Summary and outlook

We studied localisation in QCD at imaginary chemical potential $\hat{\mu}_I = \pi$ above the Roberge-Weiss temperature T_{RW} , finding that:

- localised low Dirac modes are present
 \Rightarrow confirms expectations of “sea/islands” picture
- localisation appears at T_{RW}
 \Rightarrow confirms strong connection with deconfinement

Open issues:

- many clues connecting localisation and deconfinement, but something still missing
 - ▶ no studies yet in models with a trivial centre
- physical meaning of localisation still unclear
 - ▶ in the chiral limit it affects (possibly kills) Goldstone excitations [MG (2021), MG (2022)]
- is localisation how deconfinement improves chiral symmetry properties?



References

- [Borsányi *et al.* (2010)] S. Borsányi *et al.*, [JHEP 1009](#) (2010) 073
- [G. Boyd *et al.* (1996)] G. Boyd *et al.*, [Nucl. Phys. B 469](#) (1996) 419
- [Ding *et al.* (2019)] H. T. Ding *et al.*, [Phys. Rev. Lett. 123](#) (2019) 062002
- [Ujfalusi *et al.* (2015)] L. Ujfalusi, M. Giordano, F. Pittler, T. G. Kovács and I. Varga, [Phys. Rev. D 92](#) (2015) 094513
- [MG *et al.* (2014)] M. Giordano, T. G. Kovács and F. Pittler, [Phys. Rev. Lett. 112](#) (2014) 102002
- [MG, Kovács (2021)] M. Giordano and T. G. Kovács, [Universe 7](#) (2021) 194
- [Kovács, Pittler (2012)] T. G. Kovács and F. Pittler, [Phys. Rev. D 86](#) (2012) 114515
- [Kovács (2010)] T. G. Kovács, [Phys. Rev. Lett. 104](#) (2010) 031601
- [Nishigaki *et al.* (2014)] S. M. Nishigaki, M. Giordano, T. G. Kovács and F. Pittler, [PoS LATTICE2013](#) (2014), 018
- [MG (2022)] M. Giordano, [arXiv:2206.11109 \[hep-th\]](#).
- [Kovács, Vig (2018)] T. G. Kovács and R. Á. Vig, [Phys. Rev. D 97](#) (2018) 014502
- [Kovács, Vig (2020)] R. Á. Vig and T. G. Kovács, [Phys. Rev. D 101](#) (2020) 094511
- [MG (2019)] M. Giordano, [JHEP 05](#) (2019) 204
- [Bonati *et al.* (2021)] C. Bonati, M. Cardinali, M. D'Elia, M. Giordano and F. Mazzotti, [Phys. Rev. D 103](#) (2021) 034506
- [Baranka, MG (2021)] G. Baranka and M. Giordano, [Phys. Rev. D 104](#) (2021) 054513
- [Bruckmann *et al.* (2011)] F. Bruckmann, T. G. Kovács and S. Schierenberg, [Phys. Rev. D 84](#) (2011) 034505
- [Baranka, MG (2022)] G. Baranka and M. Giordano, *in preparation*
- [MG, Kovács, Pittler (2015)] M. Giordano, T. G. Kovács and F. Pittler, [JHEP 04](#) (2015) 112
- [MG, Kovács, Pittler (2016)] M. Giordano, T. G. Kovács and F. Pittler, [JHEP 06](#) (2016) 007
- [De Forcrand, Philipsen (2003)] P. de Forcrand and O. Philipsen, [Nucl. Phys. B 673](#) (2003) 170
- [MG *et al.* (2017)] M. Giordano, S. D. Katz, T. G. Kovács and F. Pittler, [JHEP 02](#) (2017) 055
- [Roberge, Weiss (1986)] A. Roberge and N. Weiss, [Nucl. Phys. B 275](#) (1986) 734
- [C. Bonati *et al.* (2016)] C. Bonati *et al.*, [Phys. Rev. D 93](#) (2016) 074504
- [C. Bonati *et al.* (2018)] C. Bonati *et al.*, [Phys. Rev. D 99](#) (2019) 014502
- [MG (2021)] M. Giordano, [J. Phys. A 54](#) (2021) 37