



SAPIENZA  
UNIVERSITÀ DI ROMA



# *Probing Primordial Black Holes and Inflation with LISA*

*Gabriele Franciolini*

*08-06-2023*

*LISA CosmoWG  
Workshop Stavanger*



# Outline

*PBH overview:*

The PBH Review

Prof. Juan Garcia-Bellido

University of Stavanger

11:30 - 12:00

PrimBHoles: a public code for the computation of Primordial Black Hole abundances and GW signatures

Sebastien Clesse

Parameter estimation for inflationary gravitational wave stochastic backgrounds

Matteo Braglia

University of Stavanger

16:30 - 16:45

Inflationary Stochastic Gravitational wave Background in LISA

Jacopo Fumagalli

University of Stavanger

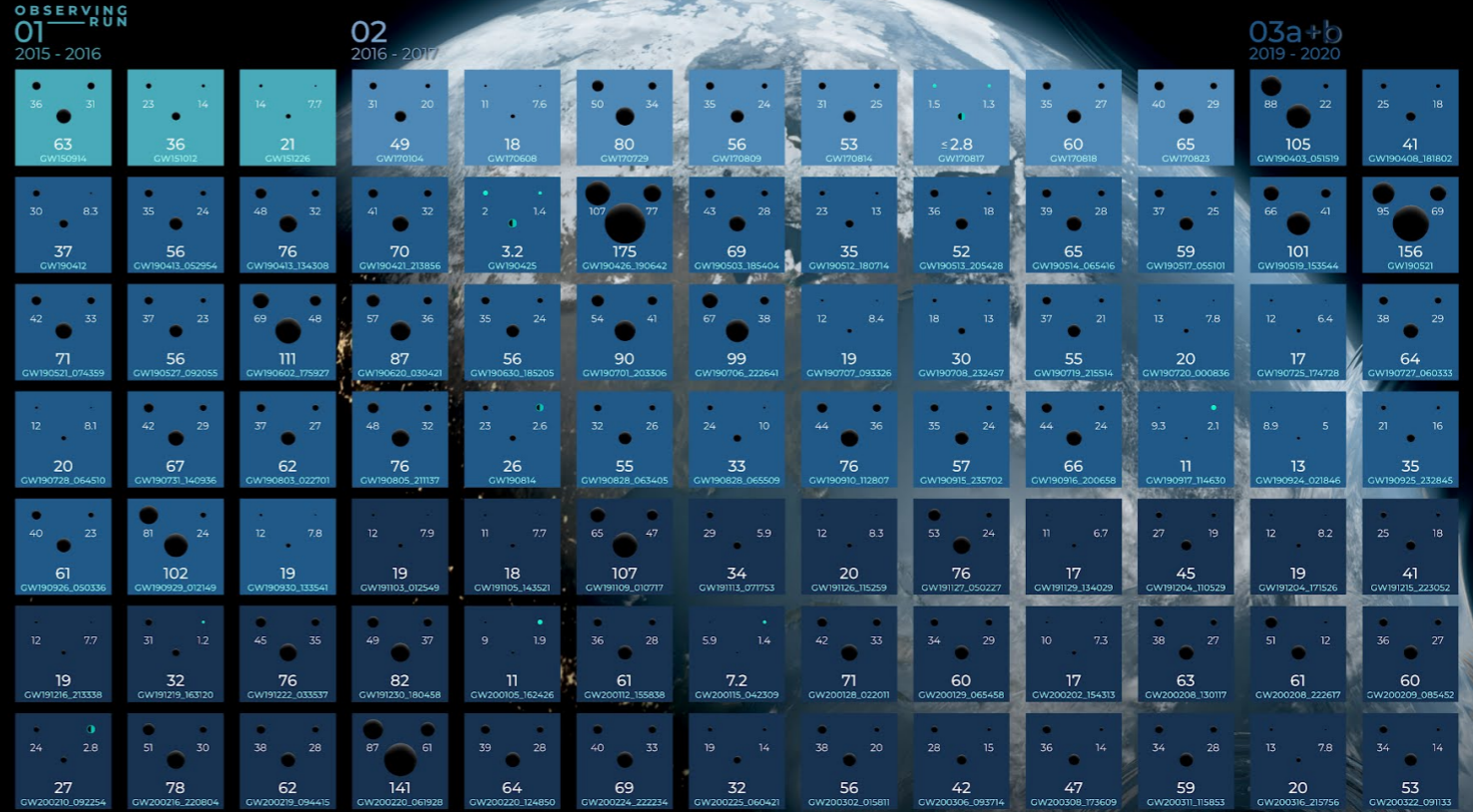
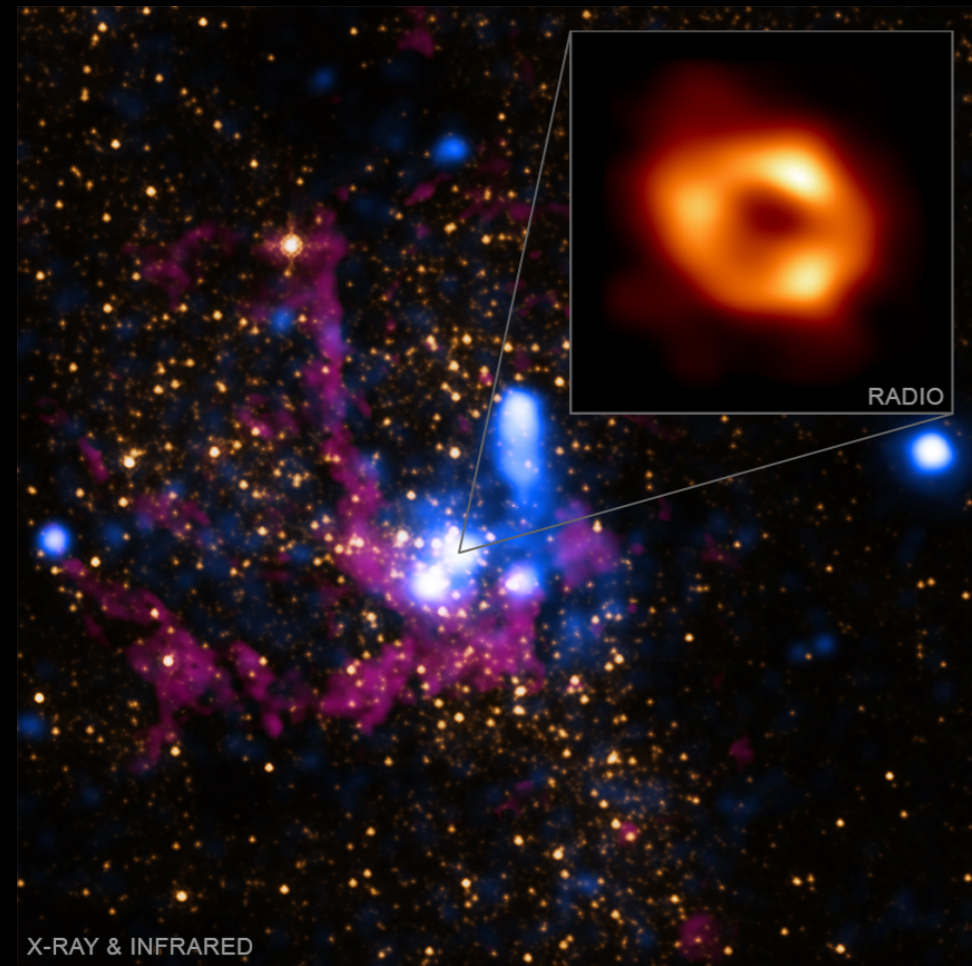
16:45 - 17:00

*Inflationary  
SGWB overview:*

- *Connection between PBH (DM) and induced GWs*
- *The impact of NGs on the SGWB amplitude*
- *Reverse engineering inflationary dynamics*



# Black holes populate our universe



GRAVITATIONAL WAVE  
MERGER  
DETECTIONS  
SINCE 2015



ABC Centre of Excellence for Gravitational Wave Discovery

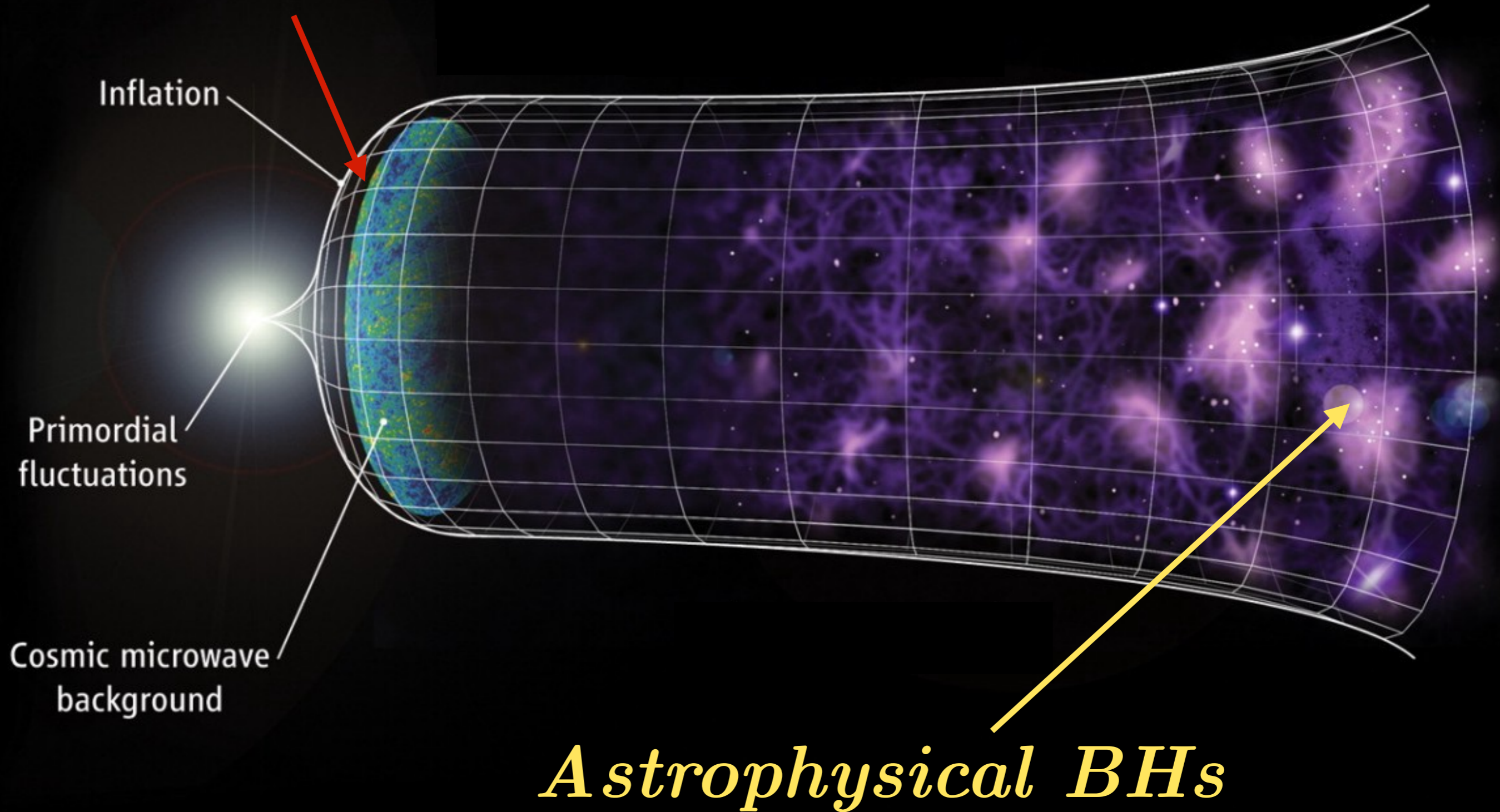


[Credits: LIGO/Virgo/Kagra, EHT, ...]



*Is it possible to form them in the early universe?*

## *Primordial BHs*



# *PBH dark matter*

*PBH*

D. Inman and Y. Ali-Haïmoud, Phys. Rev. D 100, no.8, 083528 (2019) [arXiv:1907.08129]

*Primordial black holes on large scales behave as  
a cold and collisionless fluid*

- *PBH abundance expressed in terms of the dark matter*

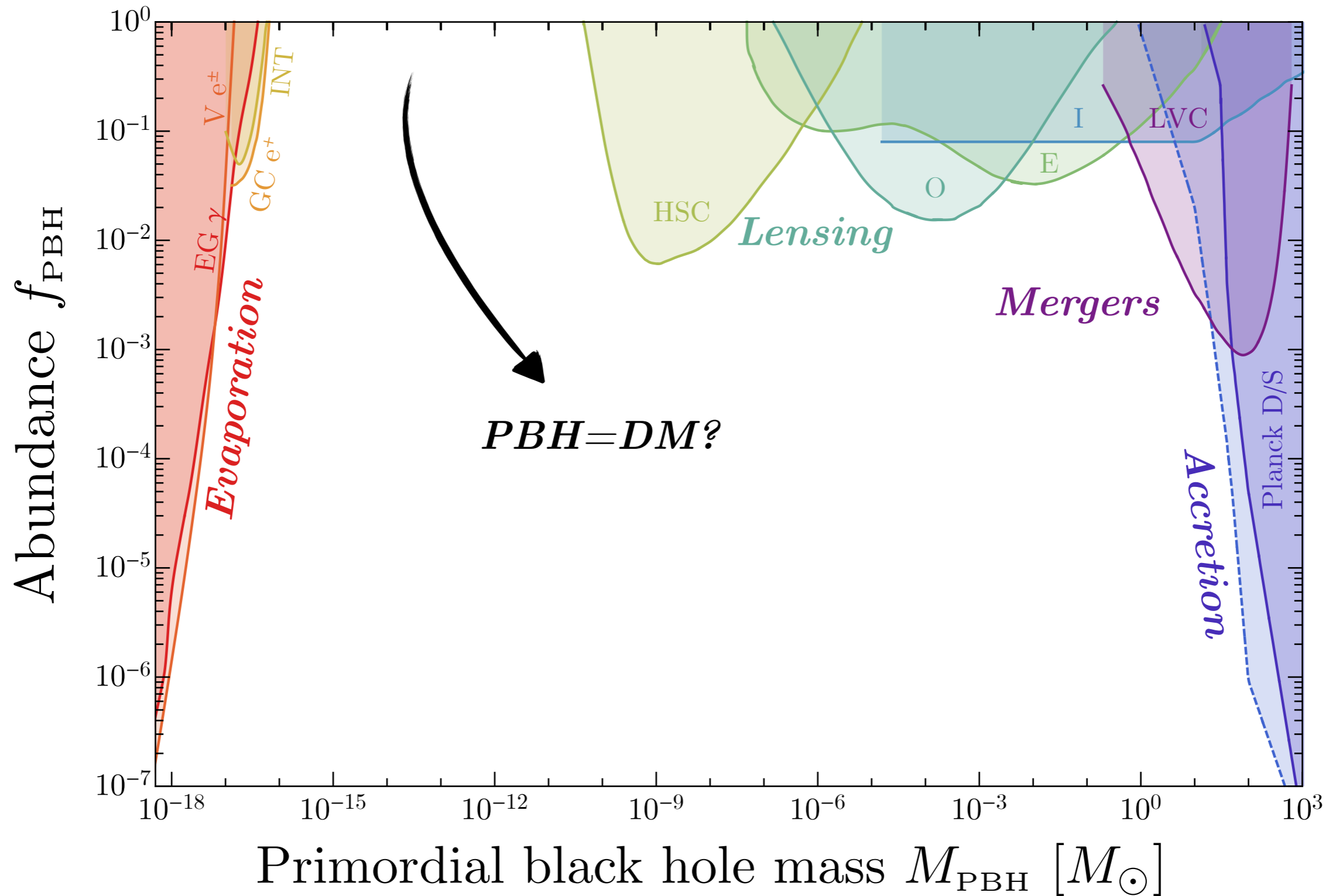
$$f_{\text{PBH}} \equiv \Omega_{\text{PBH}} / \Omega_{\text{DM}}$$

*(can be thought as a proxy for the average PBH number density)*



# Constraints on the PBH DM abundance

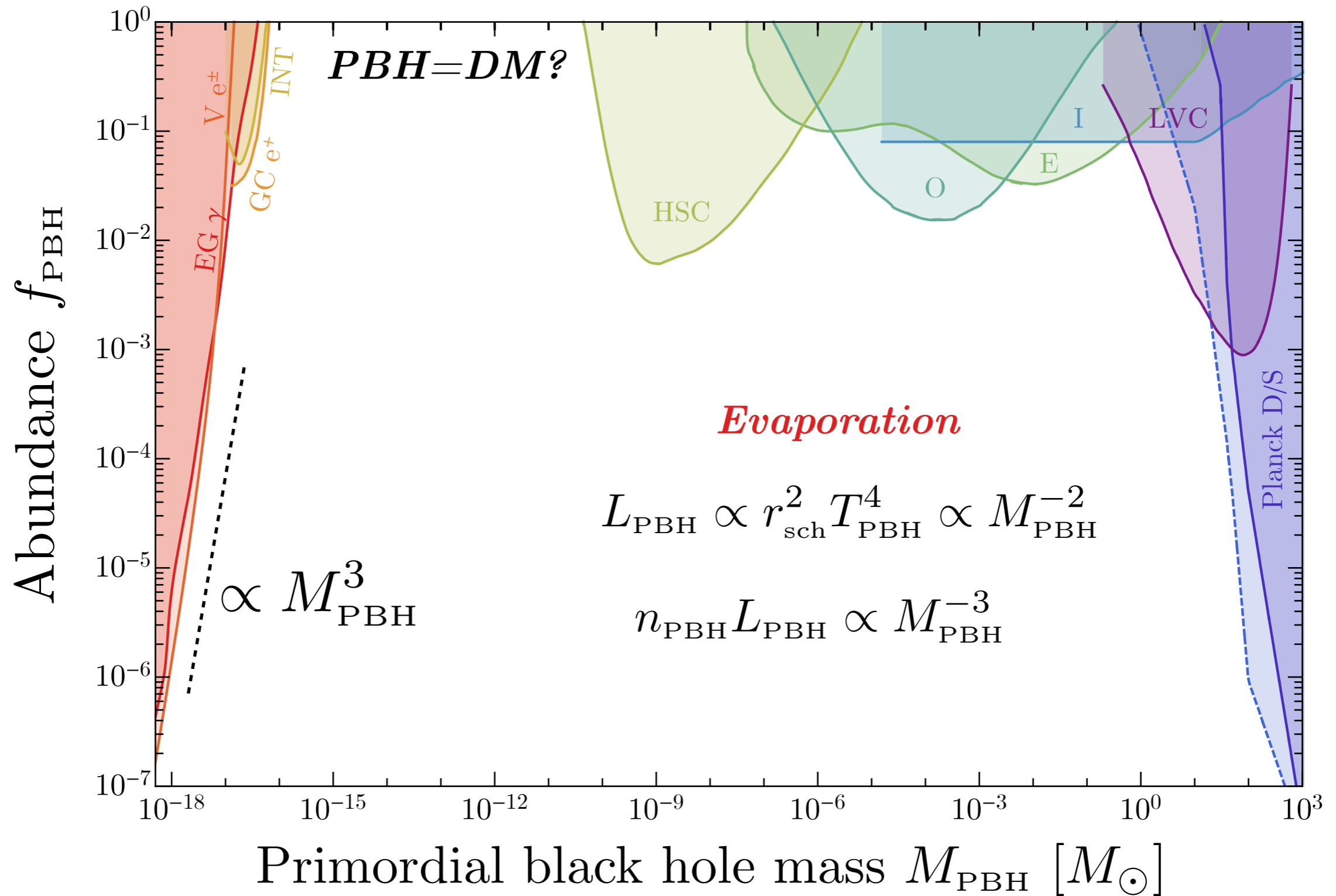
*Review:* B. Carr, K. Kohri, Y. Sendouda and J. Yokoyama, Rept. Prog. Phys. **84**, no.11, 116902 (2021) [arXiv:2002.12778]



*\*assuming narrow mass distribution*

# Constraints on the PBH DM abundance

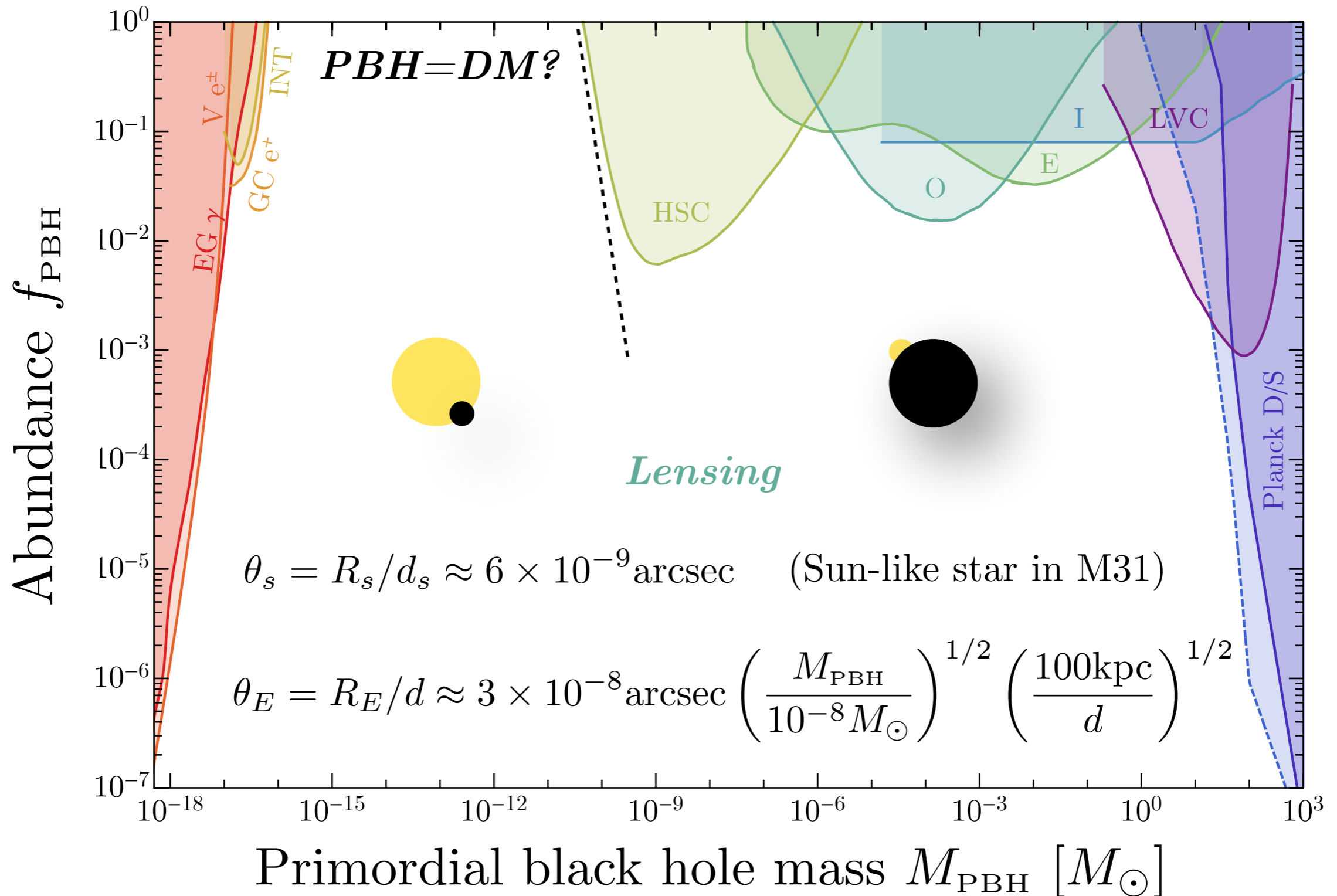
*Review:* B. Carr, K. Kohri, Y. Sendouda and J. Yokoyama, Rept. Prog. Phys. **84**, no.11, 116902 (2021) [arXiv:2002.12778]



*\*assuming narrow mass distribution*

# Constraints on the PBH DM abundance

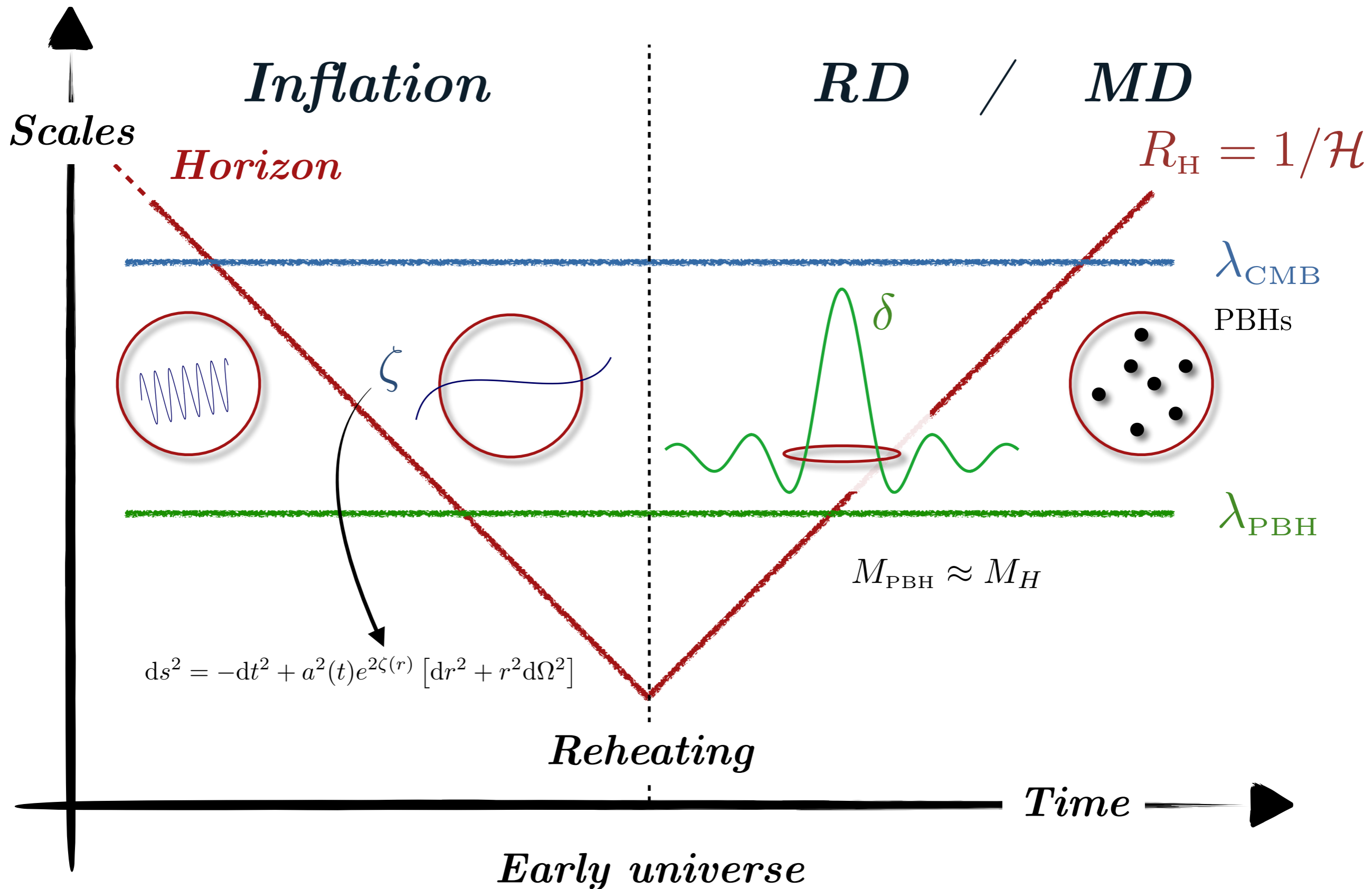
*Review:* B. Carr, K. Kohri, Y. Sendouda and J. Yokoyama, Rept. Prog. Phys. **84**, no.11, 116902 (2021) [arXiv:2002.12778]



*\*assuming narrow mass distribution*

*Induced GWs as an “indirect” probe of PBHs*

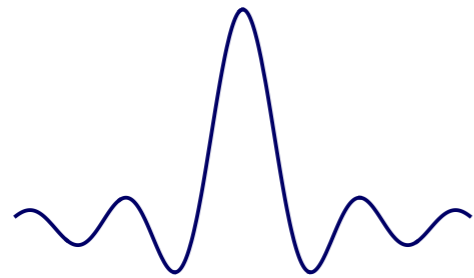
# PBH formation timeline





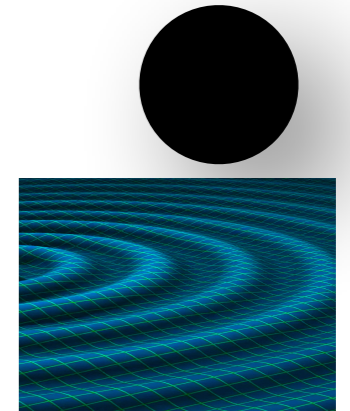
# Induced SGWB at second order

Large curvature perturbations



PBH collapse

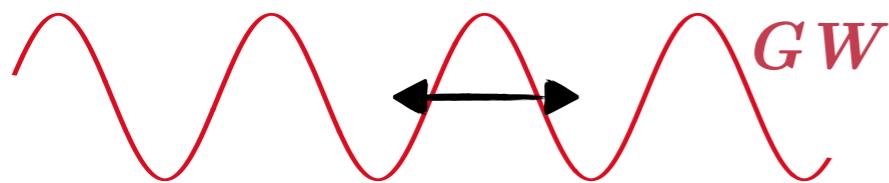
Emission of II order GWs



$$h''_{ij} + 2\mathcal{H}h'_{ij} - \nabla^2 h_{ij} \approx \mathcal{S}_{ij} (\zeta\zeta)$$

K. Tomita, Prog. Theor. Phys. 54, 730 (1975).  
 S. Matarrese, O. Pantano, and D. Saez, Phys. Rev. Lett. 72, 320 (1994), [arXiv:9310036].  
 V. Acquaviva, et al. Nucl. Phys. B 667, 119 (2003), [arXiv:0209156].  
 S. Mollerach, D. Harari, and S. Matarrese, Phys. Rev. D 69, 063002 (2004), [arXiv:0310711].  
 K. N. Ananda, C. Clarkson, and D. Wands, Phys. Rev. D 75, 123518 (2007), [arXiv:0612013].  
 ...

Mass and frequency related by the **Hubble horizon** at formation



$$f_{\text{GW}} \approx 3 \cdot 10^{-9} \text{ Hz} \left( \frac{m_{\text{PBH}}}{M_{\odot}} \right)^{-1/2}$$

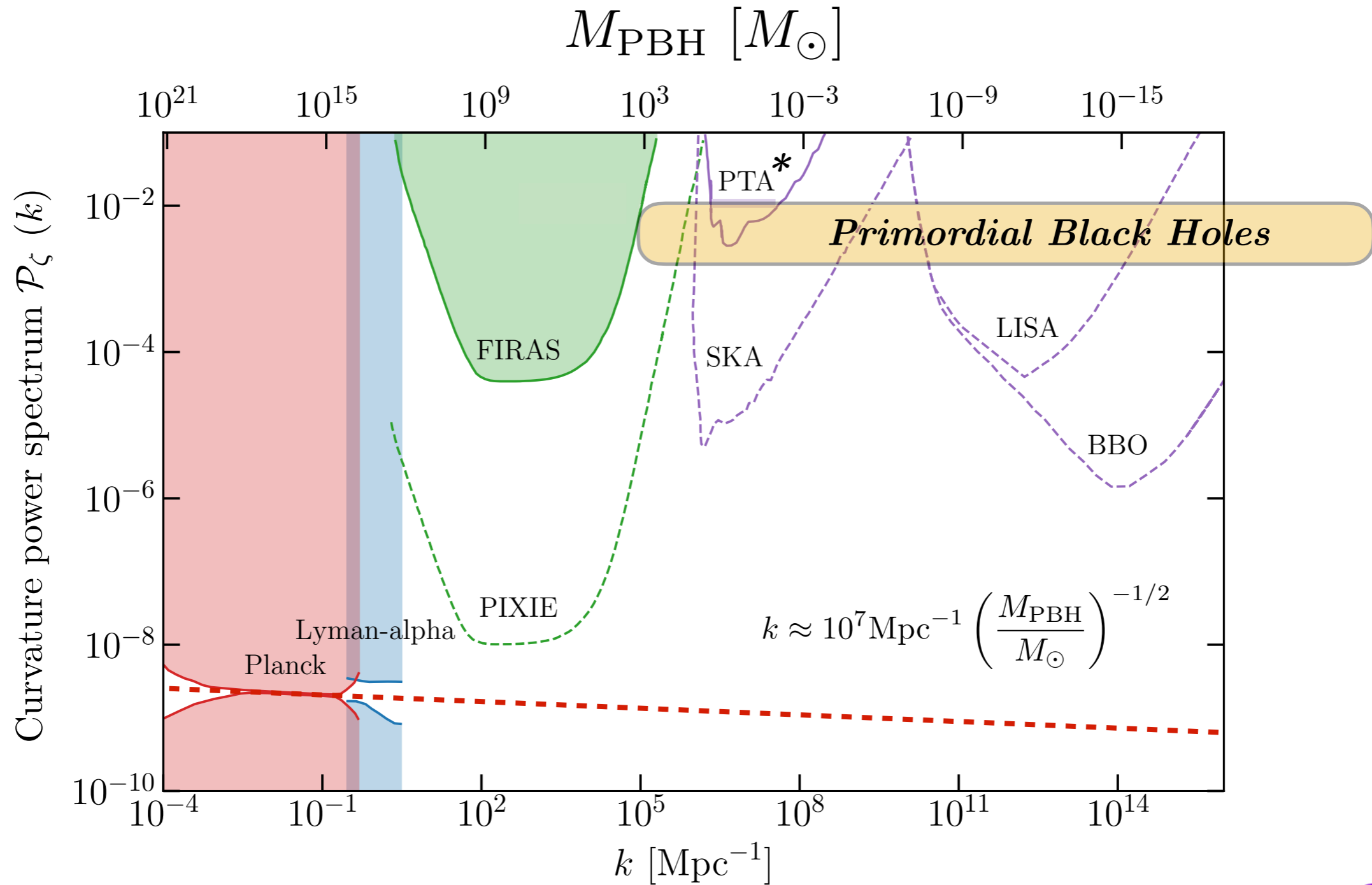


R.Saito and J.Yokoyama, Phys. Rev. Lett. **102** (2009), 161101 [arXiv:0812.4339]  
 J. Garcia-Bellido, M. Peloso and C. Unal, JCAP **09** (2017), 013 [arXiv:1707.02441]  
 N. Bartolo, et al, Phys. Rev. Lett. **122** (2019) no.21, 211301 [arXiv:1810.12218]  
 ...



$$\text{mHz} \leftrightarrow 10^{-12} M_{\odot}$$

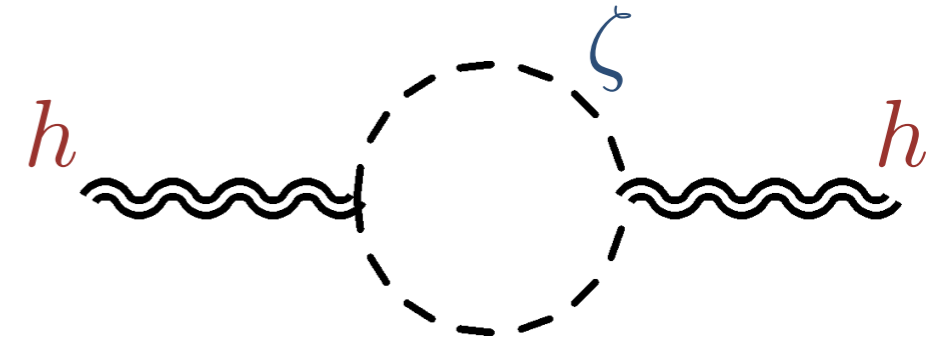
# No PBH overproduction constrain the power spectrum at small scales



# Characterisation of the SGWB: spectrum

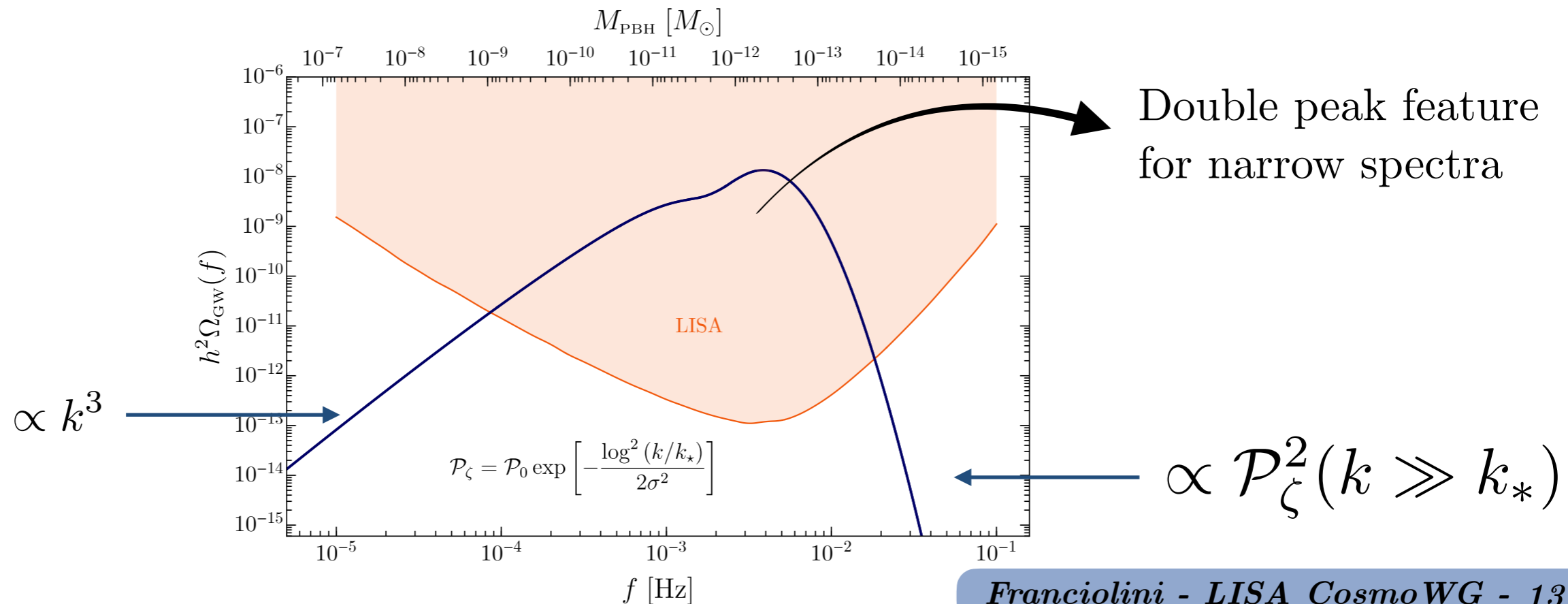
## Power spectrum of GWs:

$$\langle h^{\lambda_1}(\eta, \vec{k}_1) h^{\lambda_2}(\eta, \vec{k}_2) \rangle' \approx \mathcal{P}_\zeta \mathcal{P}_\zeta$$



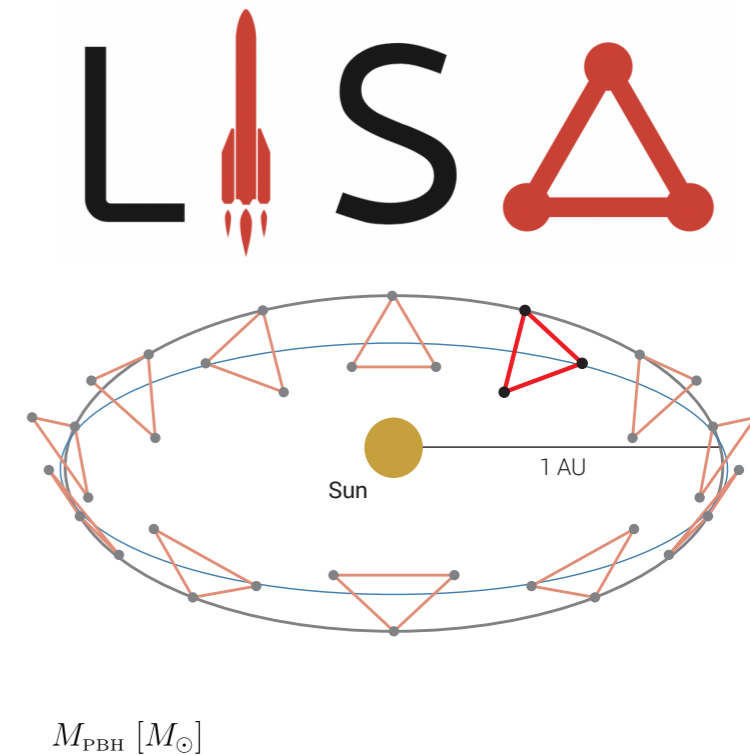
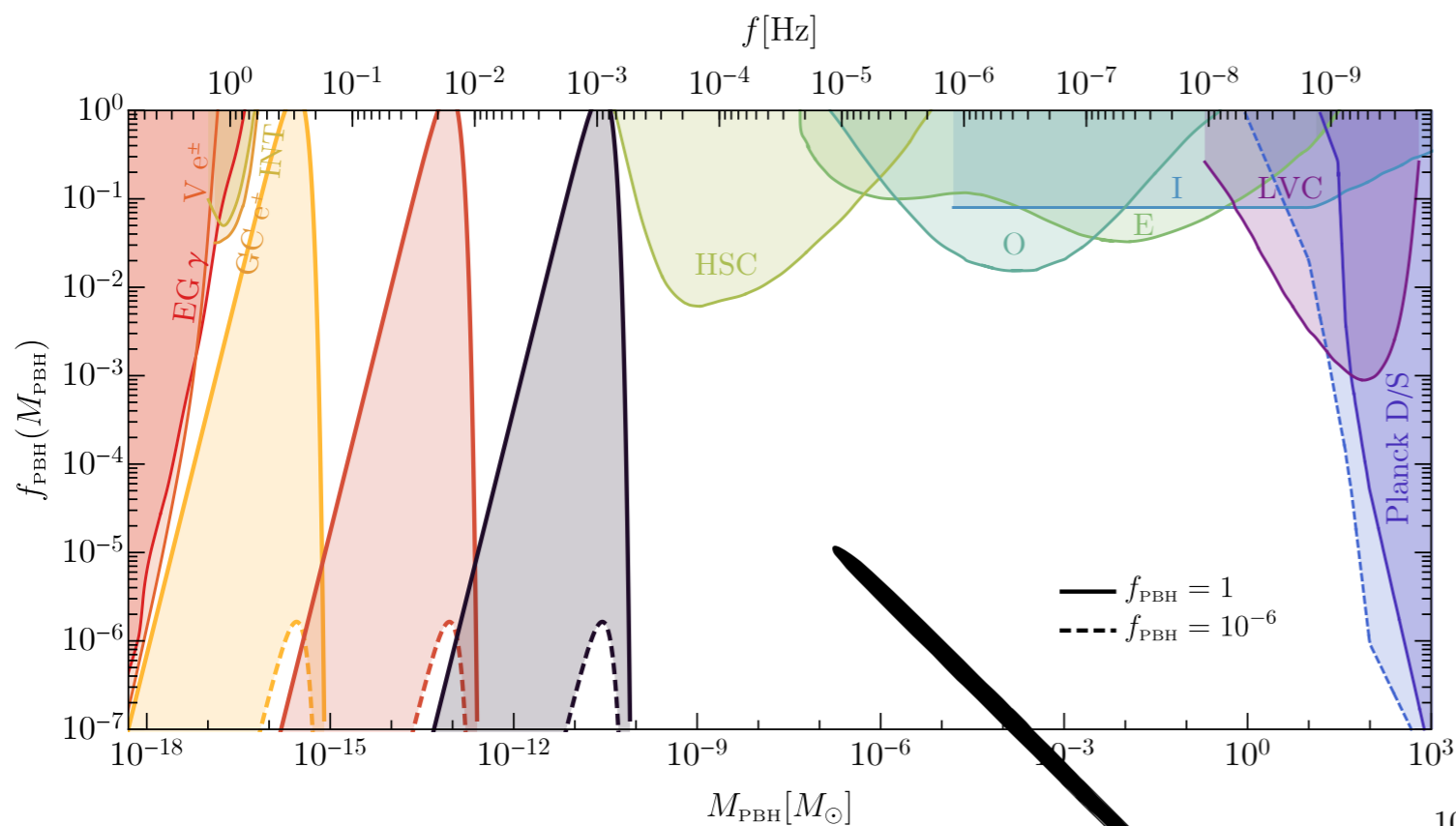
At second order in comoving curvature perturbation, after averaging over the fast oscillating pieces:

$$\Omega_{\text{GW}}(\eta, k) = \frac{\pi^2}{243 \mathcal{H}^2 \eta^2} \int \frac{d^3 p}{(2\pi)^3} \frac{p^4 [1 - \mu^2]^2}{p^3 |\vec{k} - \vec{p}|^3} \mathcal{P}_\zeta(p) \mathcal{P}_\zeta(|\vec{k} - \vec{p}|) \mathcal{I}^2(\vec{k}, \vec{p})$$

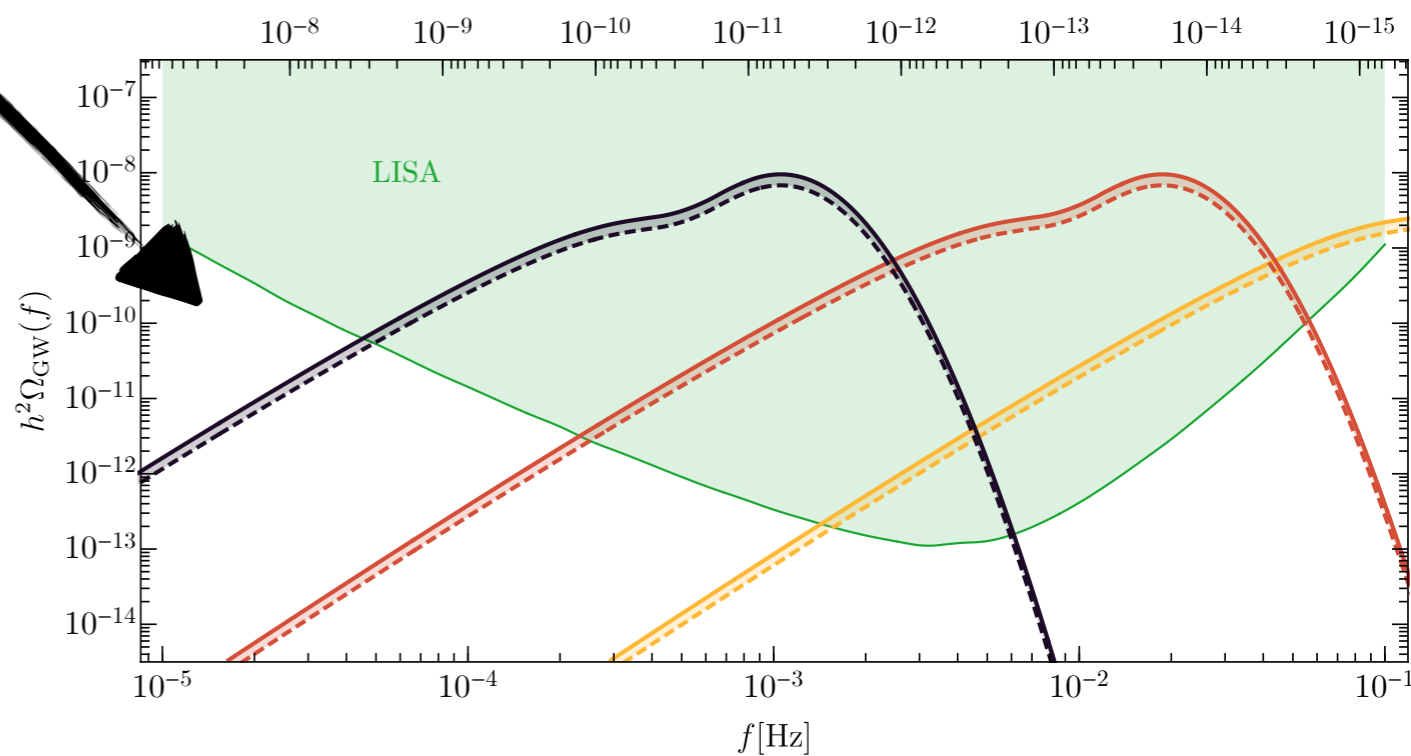


# LISA: asteroidal mass range

N. Bartolo, V. De Luca, G. Franciolini, A. Lewis, M. Peloso and A. Riotto, Phys. Rev. Lett. **122** (2019) no.21, 211301 [arXiv:1810.12218]

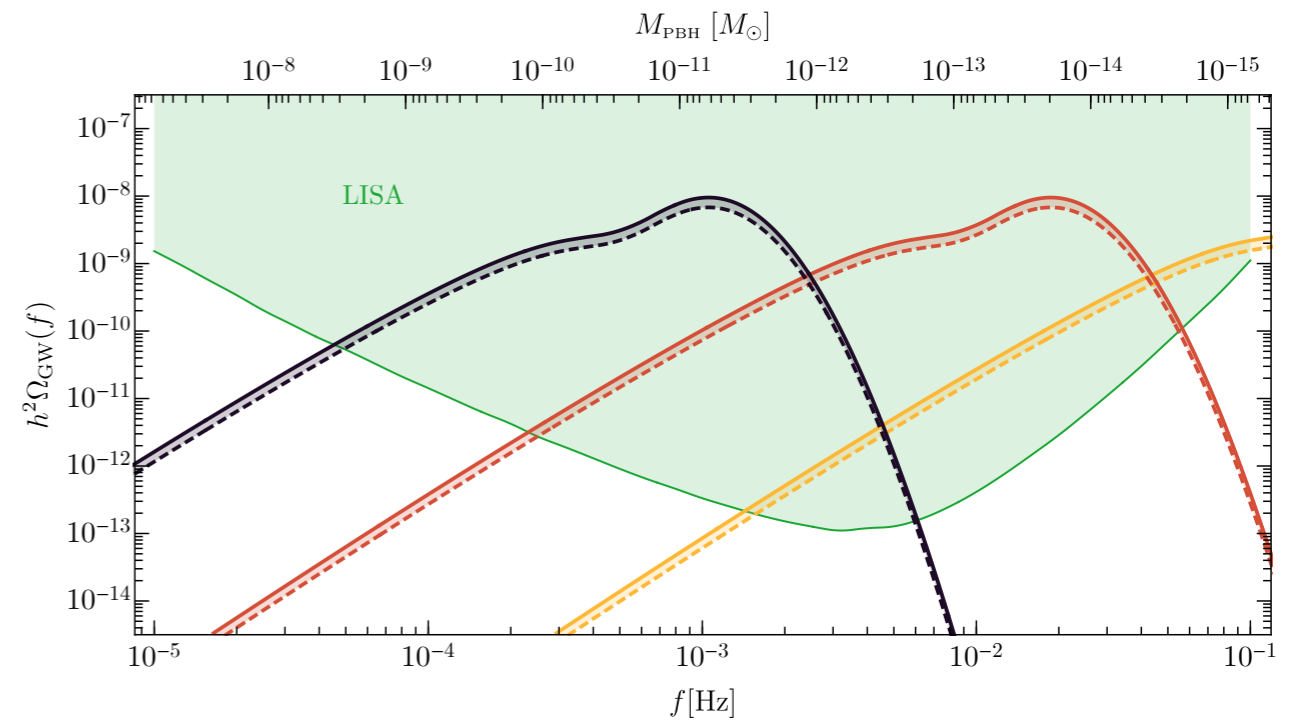
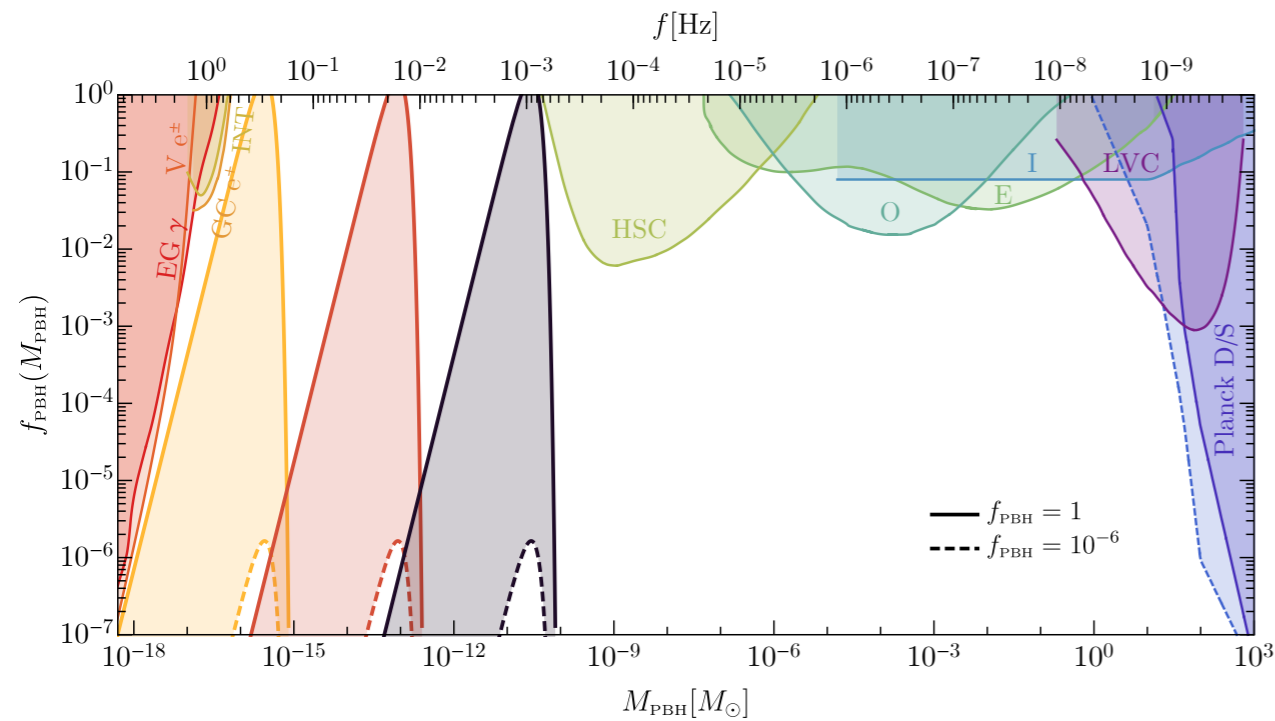


*LISA can rule out standard formation scenarios for PBH dark matter in the open mass range*



# Abundance strongly sensitive to the amplitude

N. Bartolo, V. De Luca, G. Franciolini, A. Lewis, M. Peloso and A. Riotto, Phys. Rev. Lett. **122** (2019) no.21, 211301 [arXiv:1810.12218]



$$f_{\text{PBH}} \sim e^{-\delta_c^2 / 2A^2}$$

$$\Omega_{\text{GW}} \sim A^4$$

*Abundance strongly sensitive to the amplitude*

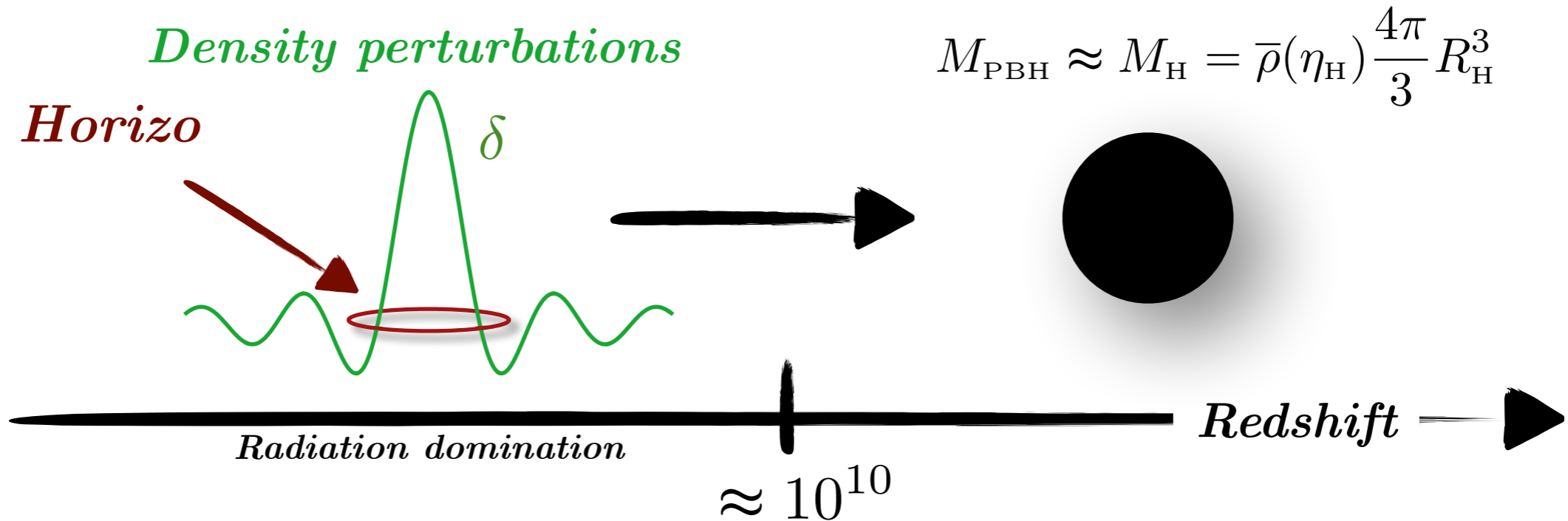
*Necessity to control the model to set reliable bounds*

# *Induced GWs as an “indirect” probe of PBHs*

## *Part I: impact of NGs*

# PBH abundance

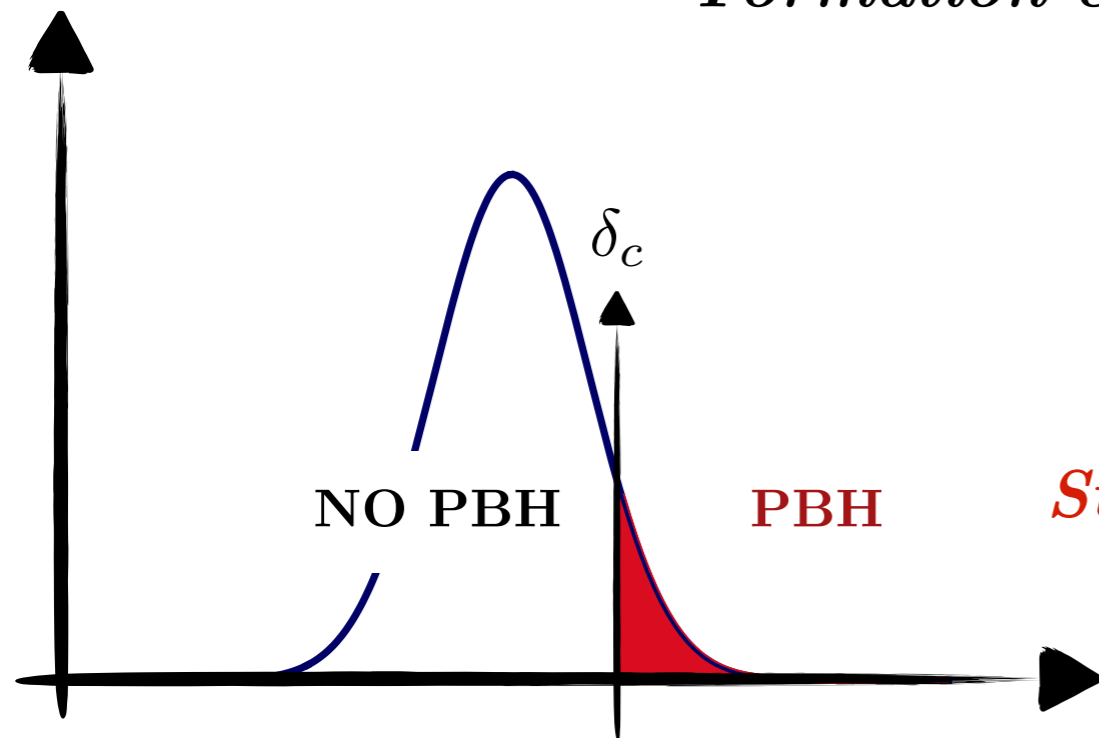
Review: M. Sasaki, T. Suyama, T. Tanaka and S. Yokoyama, *Class. Quant. Grav.* **35**, no.6, 063001 (2018) [arXiv:1801.05235]



*Formation criterion for horizon-crossing perturbations:*

$$\delta \geq \delta_c (\approx 0.5)$$

M. Shibata and M. Sasaki, *Phys. Rev. D* **60**, 084002 (1999) [arXiv:gr-qc/9905064]  
 T. Harada, C. M. Yoo and K. Kohri, *Phys. Rev. D* **88**, no.8, 084051 (2013) [arXiv:1309.4201]  
 C. Germani and I. Musco, *Phys. Rev. Lett.* **122**, no.14, 141302 (2019) [arXiv:1805.04087]  
 ...



*Strong sensitivity to non-Gaussian corrections*

$$\mathcal{C}(r_m) = \frac{\delta M(r, t)}{M_b(r, t)} = \frac{1}{V_b(r, t)} \int_{S_R^2} d^3 \vec{x} \delta \rho(\vec{x}, t)$$

*Density contrast/comaction function*



# Non-Gaussianities vs PBH abundance

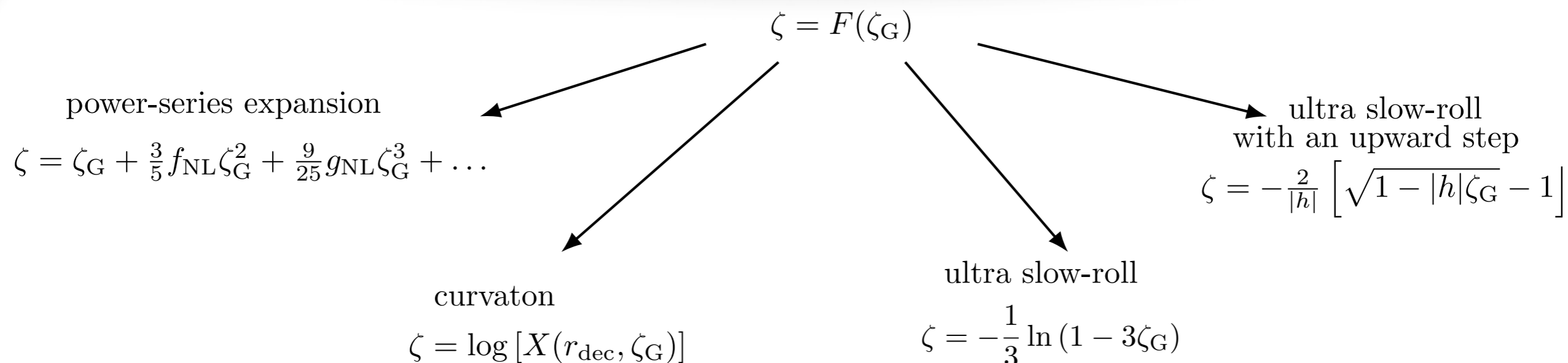
V.De Luca, G.Franciolini, A.Kehagias, M.Peloso, A.Riotto and C.Ünal, JCAP 07 (2019), 048 [arXiv:1904.00970]

S. Young, I. Musco and C. T. Byrnes, JCAP 11 (2019), 012 [arXiv:1904.00984]

## • Non-linearities:

$$\delta(\vec{x}, t) = -\frac{8}{9a^2 H^2} e^{-5\zeta(\vec{x})/2} \nabla^2 e^{\zeta(\vec{x})/2} + \dots \quad (\text{on super-Hubble scales})$$

## • Primordial Non-Gaussianity:



$$\mathcal{C}(r) = \mathcal{C}_G(r) \frac{dF}{d\zeta_G} - \frac{1}{4\Phi} \mathcal{C}_G^2(r) \left( \frac{dF}{d\zeta_G} \right)^2$$



# PBH abundance: Violation of perturbativity

G. Ferrante, G. Franciolini, A. Iovino, Junior. and A. Urbano, Phys. Rev. D **107** (2023) no.4, 043520 [arXiv:2211.01728]  
 (see also: A.Gow, H.Assadullahi, J.Jackson, K.Koyama, V.Vennin,D.Wands, EPL 142 (2023) no.4, 49001 [arXiv:2211.08348])

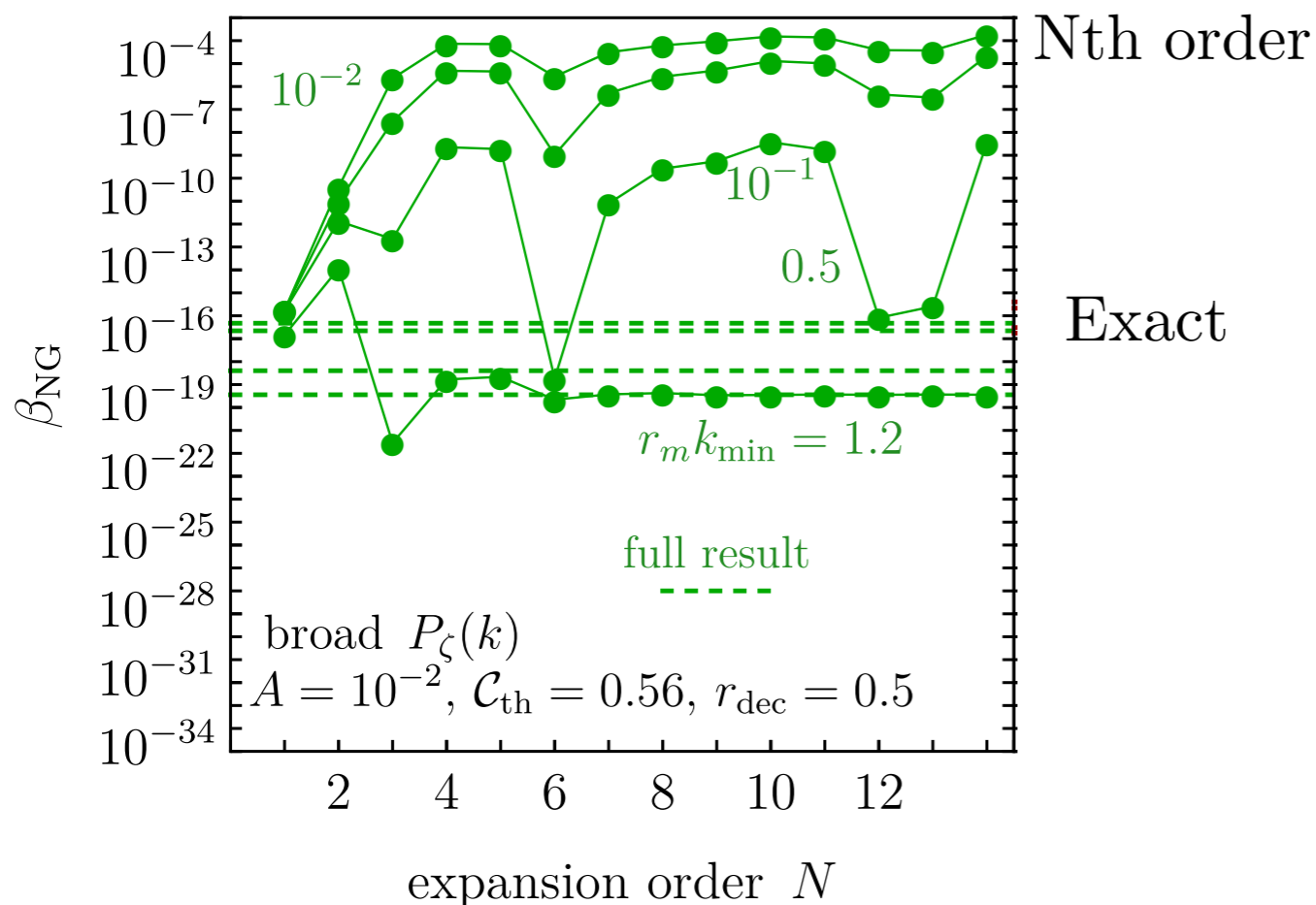
## Threshold statistics on the compaction function

$$\beta_{\text{NG}} = \int_{\mathcal{D}} \mathcal{K}(\mathcal{C} - \mathcal{C}_{\text{th}})^\gamma \mathcal{P}_{\text{G}}(\mathcal{C}_{\text{G}}, \zeta_{\text{G}}) d\mathcal{C}_{\text{G}} d\zeta_{\text{G}},$$

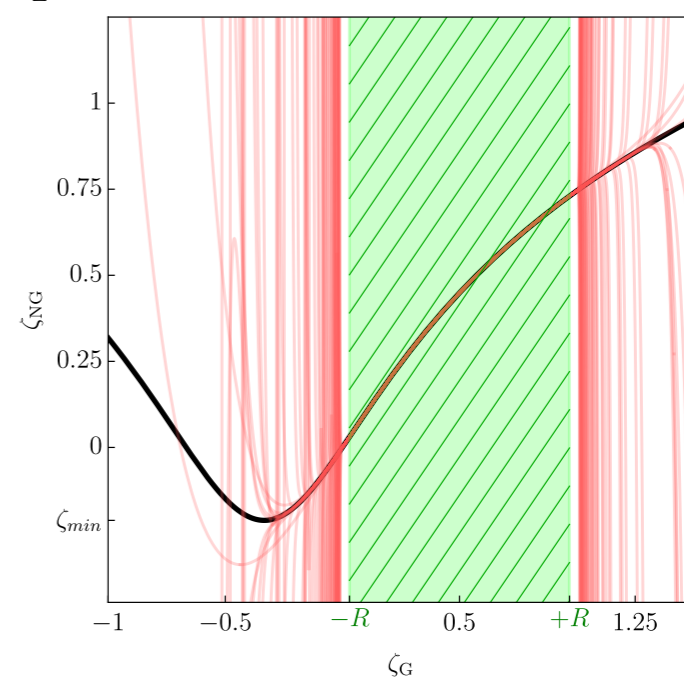
$$\mathcal{P}_{\text{G}}(\mathcal{C}_{\text{G}}, \zeta_{\text{G}}) = \frac{1}{(2\pi)\sigma_c\sigma_r\sqrt{1-\gamma_{cr}^2}} \exp\left(-\frac{\zeta_{\text{G}}^2}{2\sigma_r^2}\right) \exp\left[-\frac{1}{2(1-\gamma_{cr}^2)}\left(\frac{\mathcal{C}_{\text{G}}}{\sigma_c} - \frac{\gamma_{cr}\zeta_{\text{G}}}{\sigma_r}\right)^2\right]$$

$$\mathcal{D} = \{\mathcal{C}_{\text{G}}, \zeta_{\text{G}} \in \mathbb{R} : \mathcal{C}(\mathcal{C}_{\text{G}}, \zeta_{\text{G}}) > \mathcal{C}_{\text{th}} \wedge \mathcal{C}_1(\mathcal{C}_{\text{G}}, \zeta_{\text{G}}) < 2\Phi\},$$

Example: curvaton model with broad spectrum



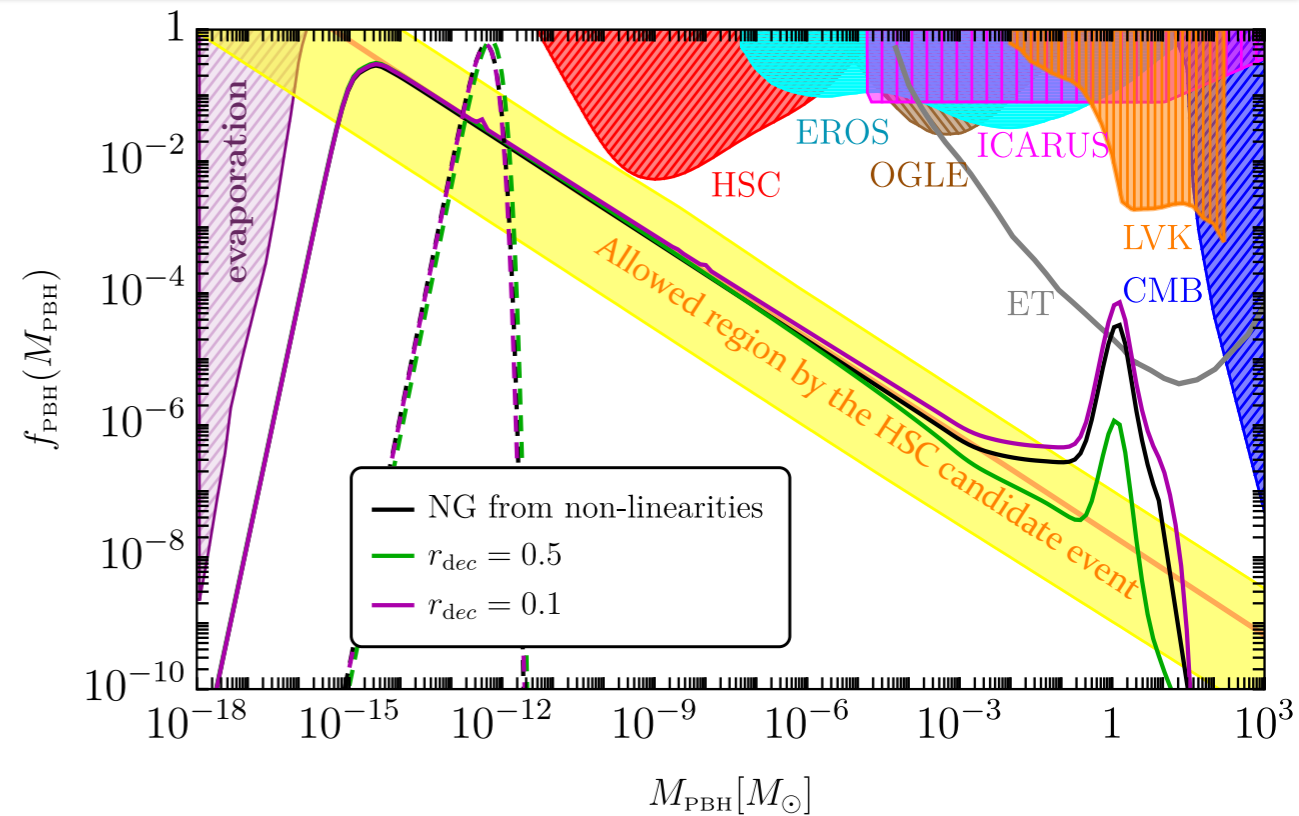
$$\sum_{n=1}^{\infty} c_n(r_{\text{dec}}) \zeta_{\text{G}}^n = \log [X(r_{\text{dec}}, \zeta_{\text{G}})]$$



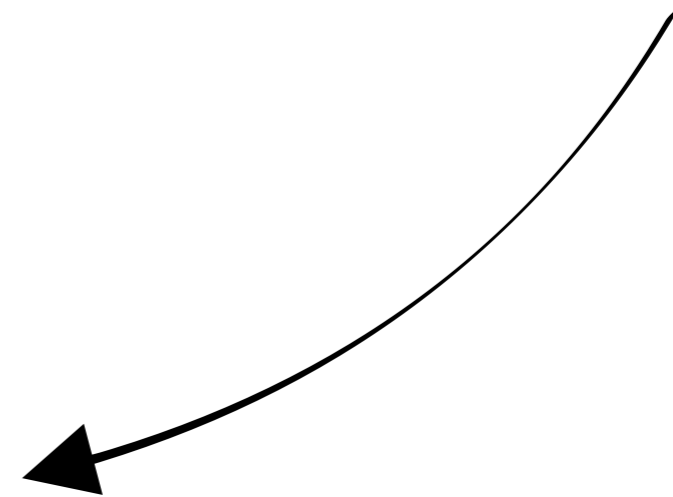
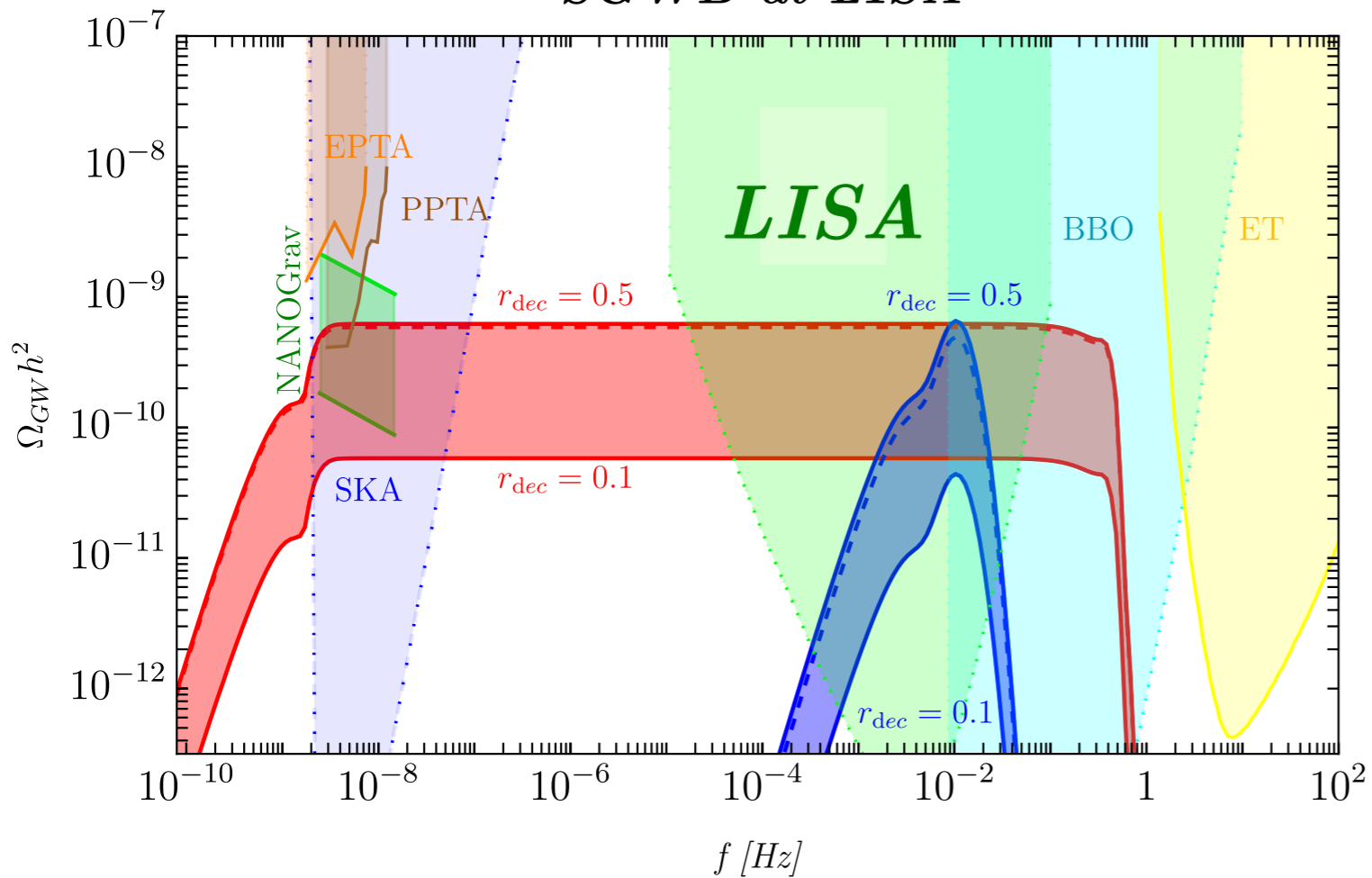
Over-threshold perturbations computed outside the radius of convergence

# Impact on phenomenology

Curvaton scenarios with an abundance  $O(1)$



SGWB at LISA

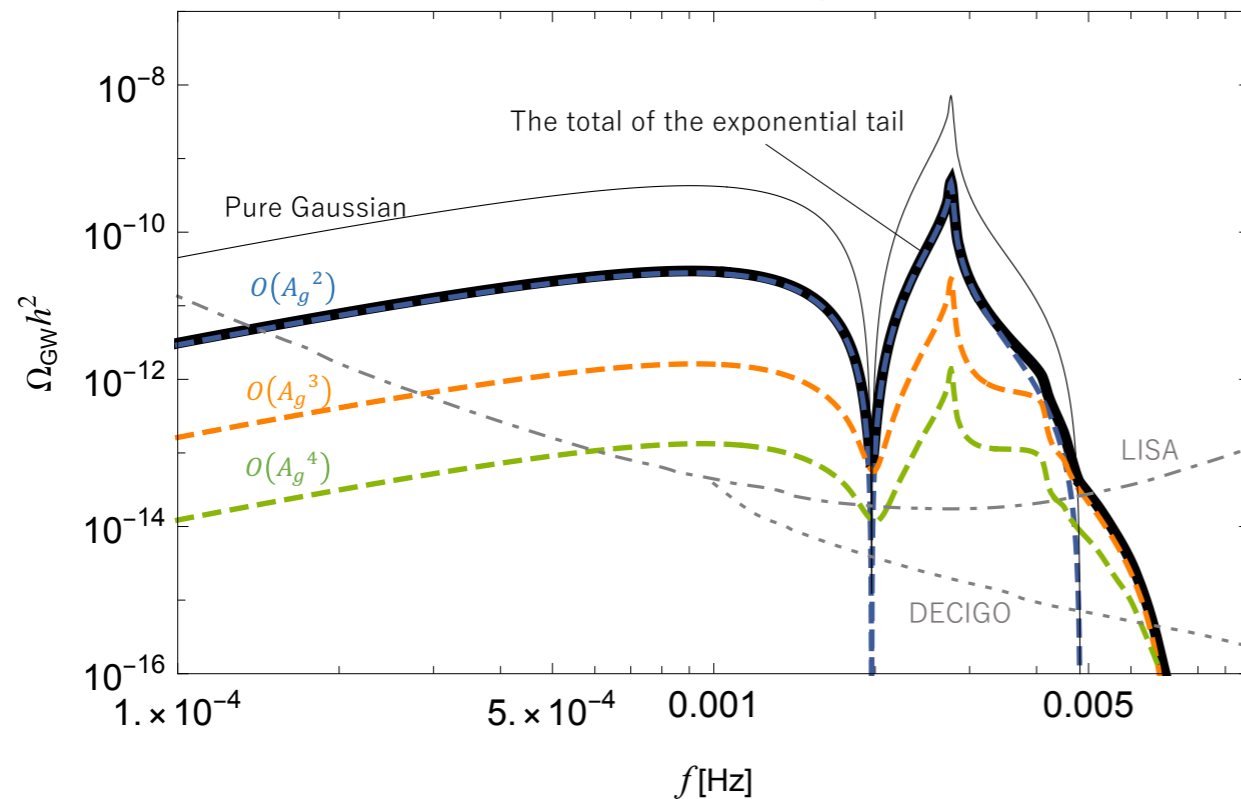


# Can we constrain NGs from the spectrum?

(first NG orders sufficient for the computation of the spectrum)

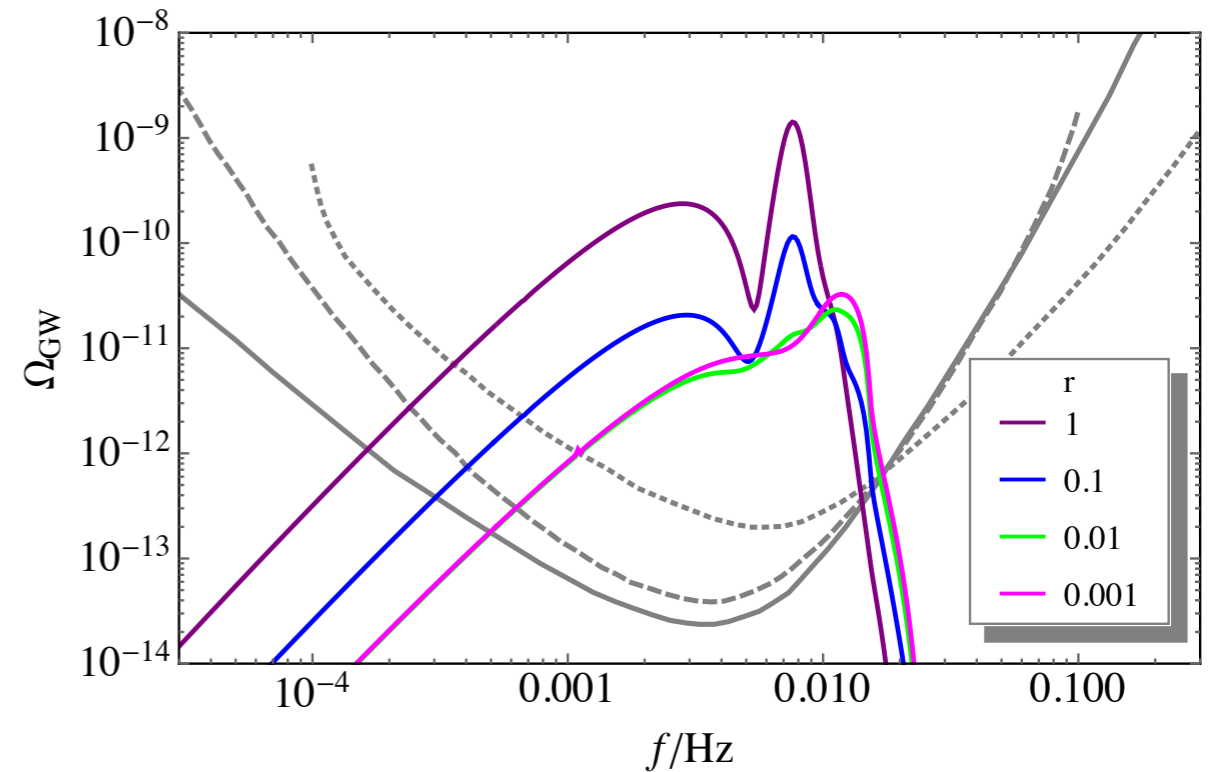
## Result for narrow PS

NGs USR:  $\zeta = -\frac{1}{3} \ln(1 - 3\zeta_G)$



K. Abe, R. Inui, Y. Tada, S. Yokoyama, JCAP **05** (2023), 044  
[arXiv:2209.13891]

Curvaton:  $F_{NL} = 3/(4r)$



S. Pi and M. Sasaki, [arXiv:2112.12680]

- *Additional high-frequency features (?)*

See also:

C. Unal, Phys. Rev. D **99** (2019) no.4, 041301 [arXiv:1811.09151]

R.g.Cai, S.Pi and M.Sasaki, Phys. Rev. Lett. **122** (2019) no.20, 201101 [arXiv:1810.11000]

P. Adshead, K. D. Lozanov and Z. J. Weiner, JCAP **10** (2021), 080 [arXiv:2105.01659]

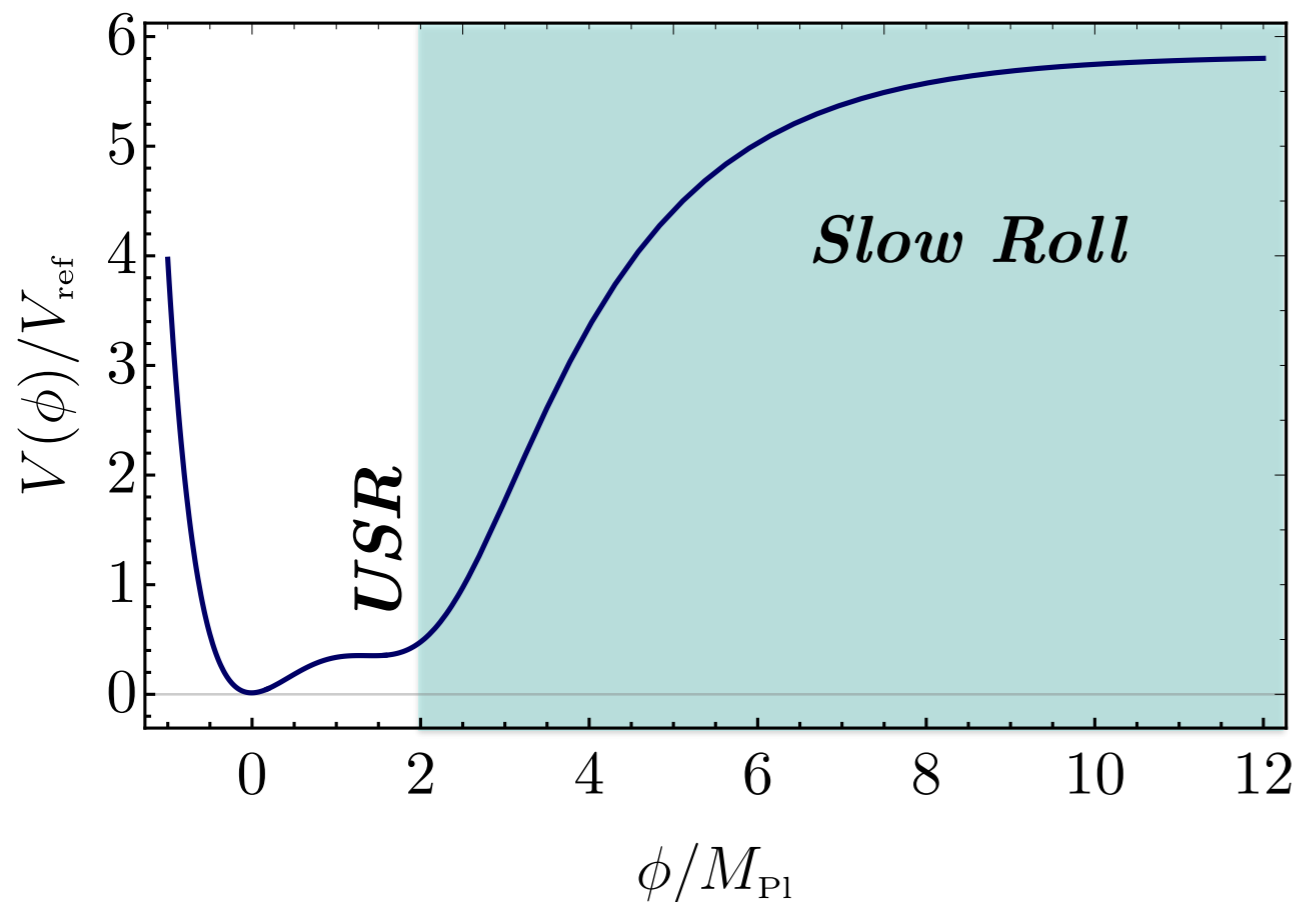
*Induced GWs as an “indirect” probe of PBHs*

*and inflation*

*Part II: reverse engineering the inflationary potential*

# Single field formation scenario

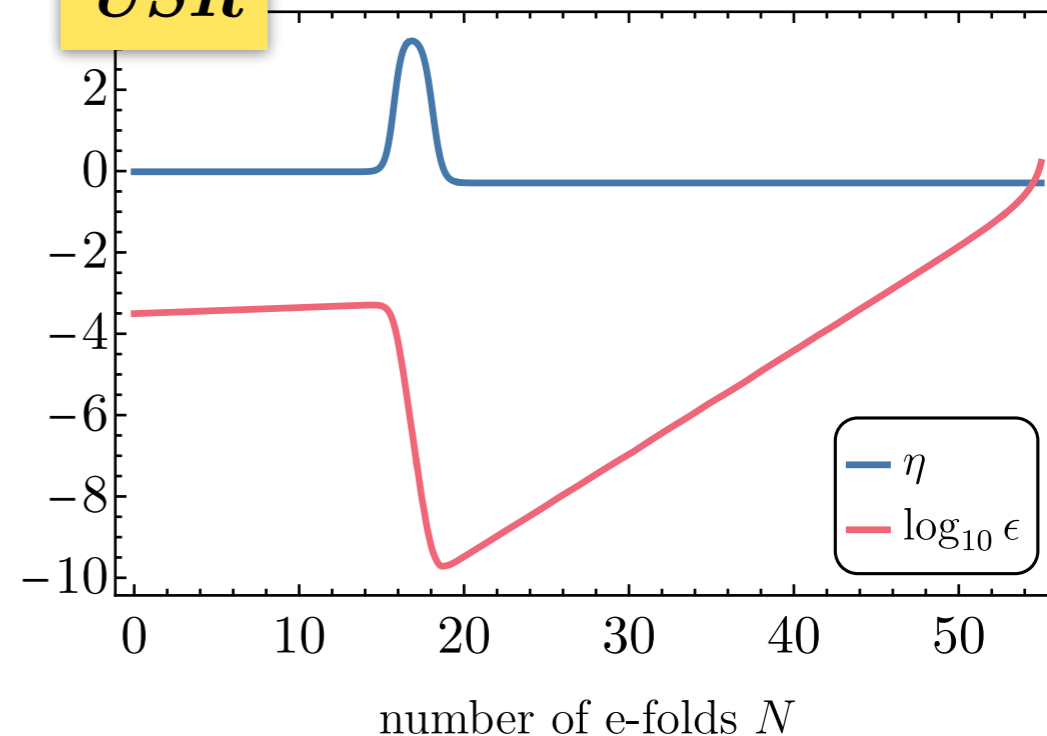
## Inflection point in the inflaton potential



**SR**

$$\mathcal{P}_\zeta = \frac{8\pi G H^2}{\epsilon} \Big|_{aH=k}$$

**USR**



*USR phase requires careful treatment of perturbations beyond slow roll approx.*

$$\ddot{\phi} + 3H\dot{\phi} \approx 0 \quad \text{Deceleration becomes dominant}$$

$$\epsilon = -\dot{H}/H^2 \propto a^{-6}$$

$$\eta = -\ddot{H}/(2H\dot{H}) \sim 3$$

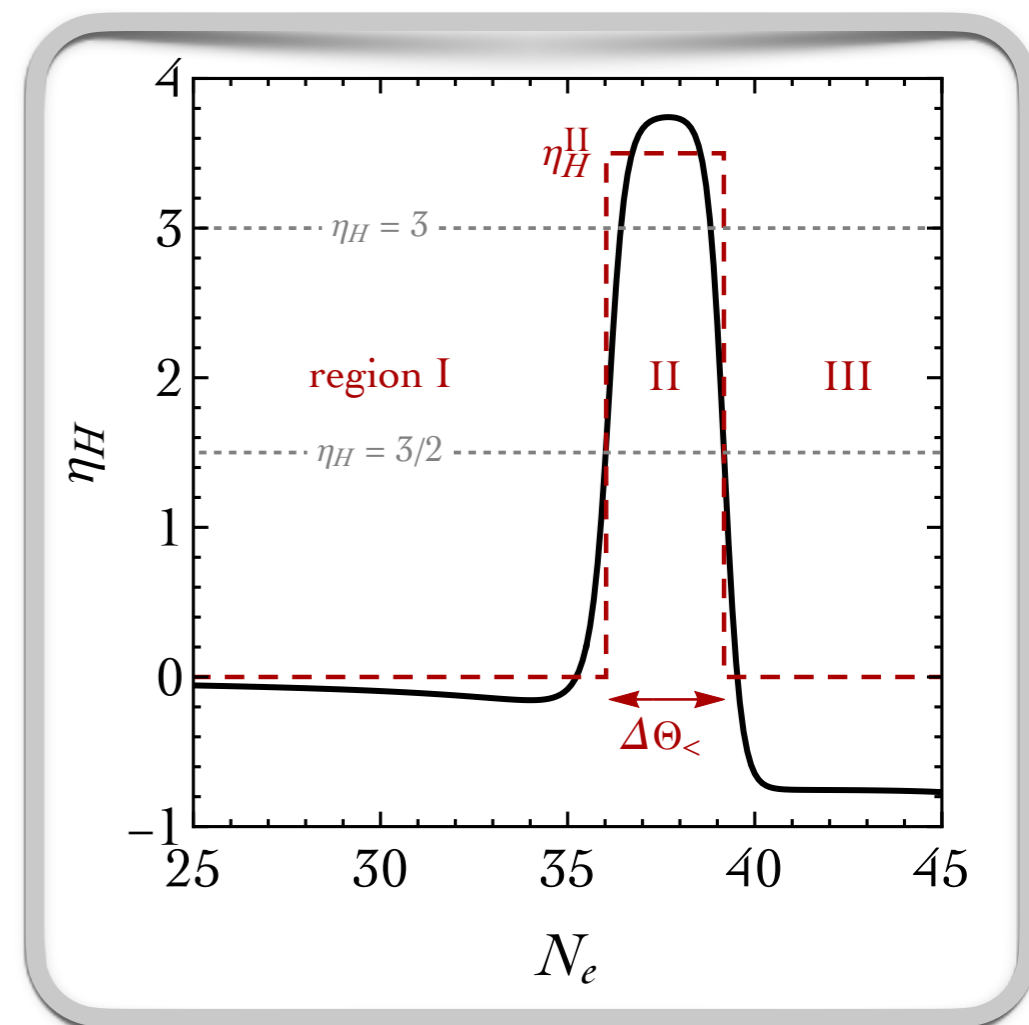
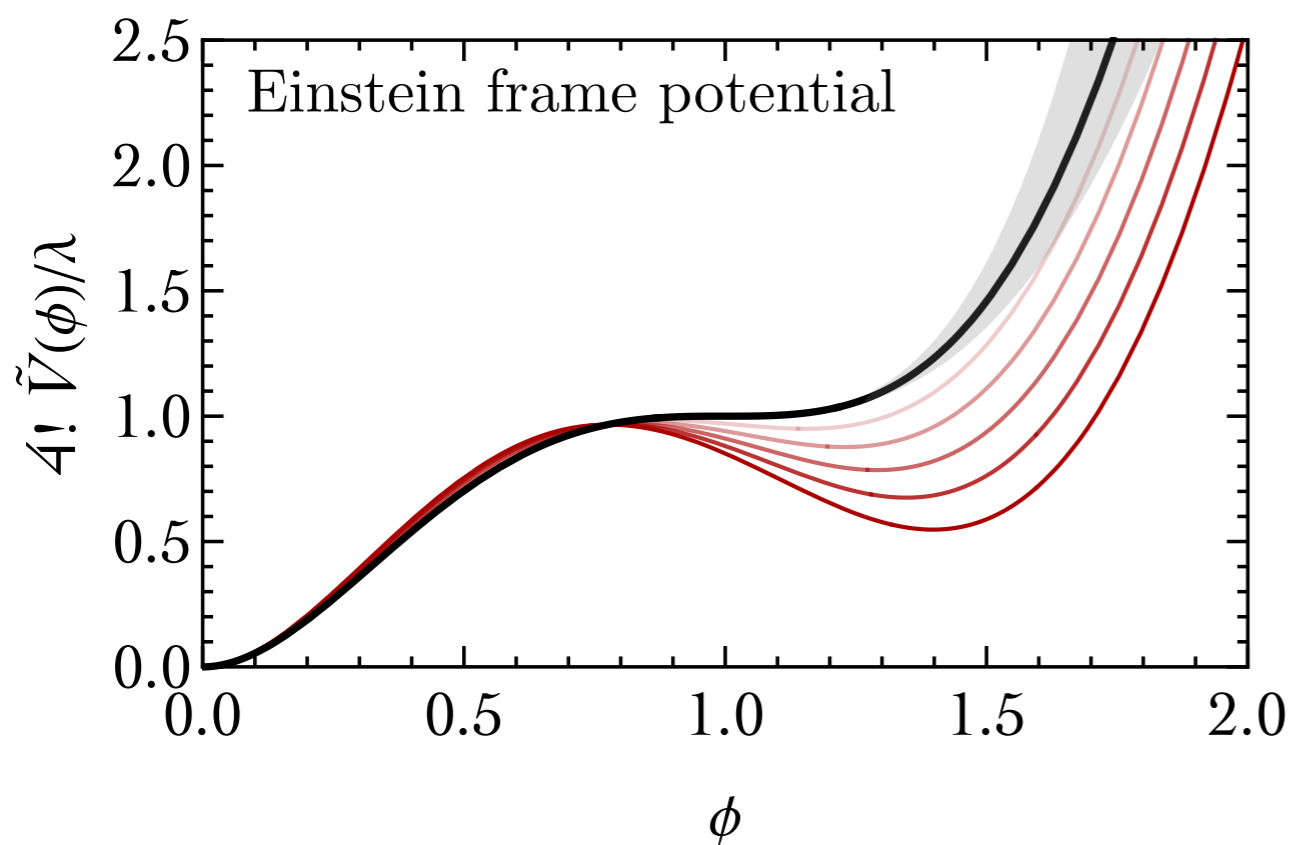
# Single field formation scenario

## Example: polynomial inflation

$$\mathcal{S} = \int d^4x \sqrt{-g} \left( -\frac{1}{2} (M_P^2 + \xi \phi^2) R + \frac{1}{2} g_{\mu\nu} \partial^\mu \phi \partial^\nu \phi - V(\phi) \right)$$

$$V(\phi) = a_2 \phi^2 + a_3 \phi^3 + a_4 \phi^4$$

G. Ballesteros, J. Rey, M. Taoso and A. Urbano, JCAP **07** (2020), 025 [arXiv:2001.08220]



See also:

J. Garcia-Bellido and E. Ruiz Morales, Phys. Dark Univ. **18** (2017), 47-54 [arXiv:1702.03901]

G. Ballesteros, M. Taoso, Phys. Rev. D **97**, no. 2, 023501 (2018) [arXiv:1709.05565]

# Reverse engineering inflationary dynamics

G. Franciolini and A. Urbano, Phys. Rev. D **106** (2022) no.12, 123519 [arXiv:2207.10056]

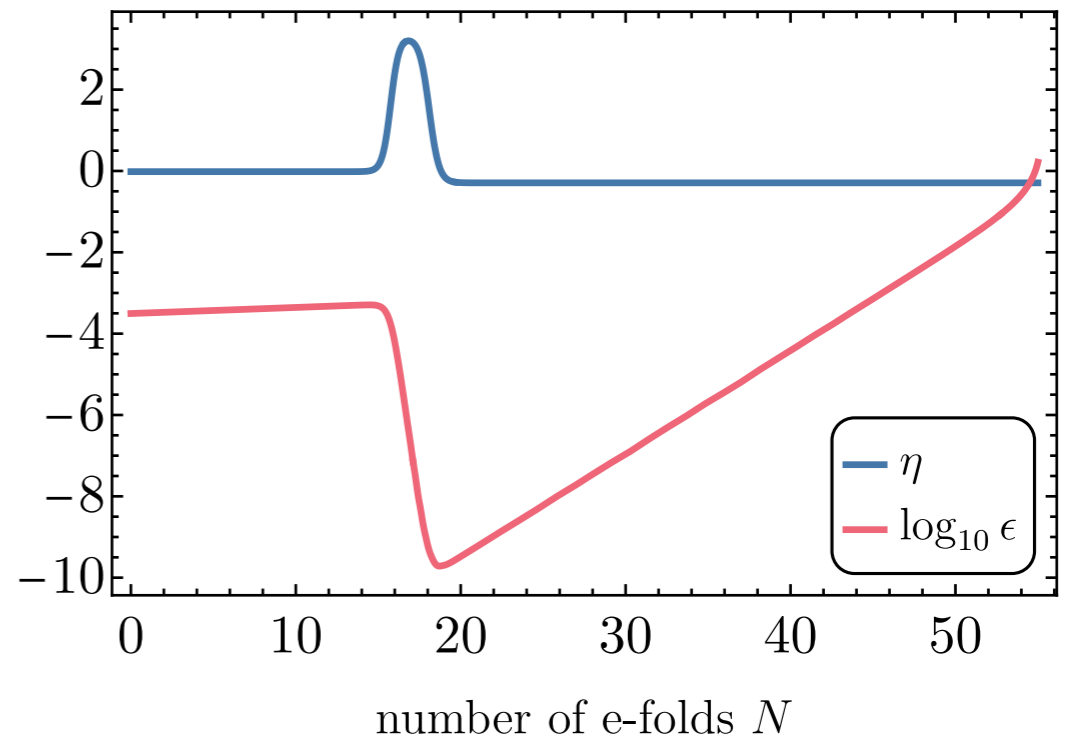
(see also A.Karam, N.Koivunen, E.Tomberg, V.Vaskonen and H.Veermäe, JCAP **03** (2023), 013 [arXiv:2205.13540])

*Reverse engineering starting from modelling Hubble parameter evolution to include USR*

$$\eta(N) = \frac{1}{2} \left\{ \left[ \eta_{\text{I}} - \eta_{\text{II}} + (\eta_{\text{II}} - \eta_{\text{I}}) \tanh \left( \frac{N - N_{\text{I}}}{\delta N_{\text{I}}} \right) \right] + \left[ \eta_{\text{II}} + \eta_{\text{III}} + (\eta_{\text{III}} - \eta_{\text{II}}) \tanh \left( \frac{N - N_{\text{II}}}{\delta N_{\text{II}}} \right) \right] \right\}$$

$$\epsilon - \frac{1}{2} \frac{d \log \epsilon}{dN} = \eta$$

*Compute the primordial power spectrum with Muchanov-Sasaki equation\* to match observations*



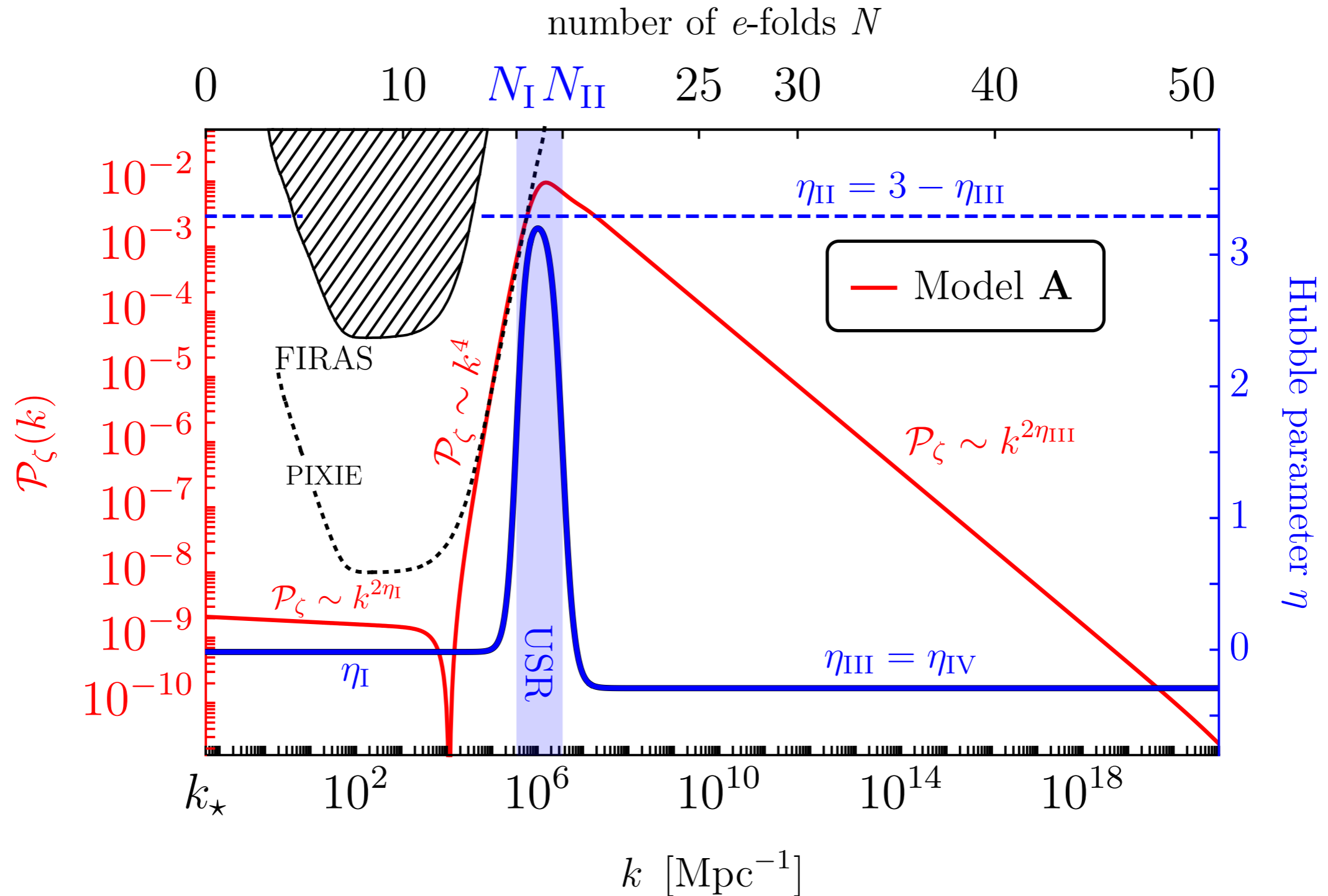
*To arrive at the inflaton potential*

$$V(N) = V(N_{\text{ref}}) \exp \left\{ -2 \int_{N_{\text{ref}}}^N dN' \left[ \frac{\epsilon(3 - \eta)}{3 - \epsilon} \right] \right\}$$

$$\phi(N) = \phi(N_{\text{ref}}) - \int_{N_{\text{ref}}}^N dN' \sqrt{2\epsilon}$$

# Data driven reverse engineering

G. Franciolini, I. Musco, P. Pani and A. Urbano, Phys. Rev. D **106** (2022) no.12, 123526 [arXiv:2209.05959]

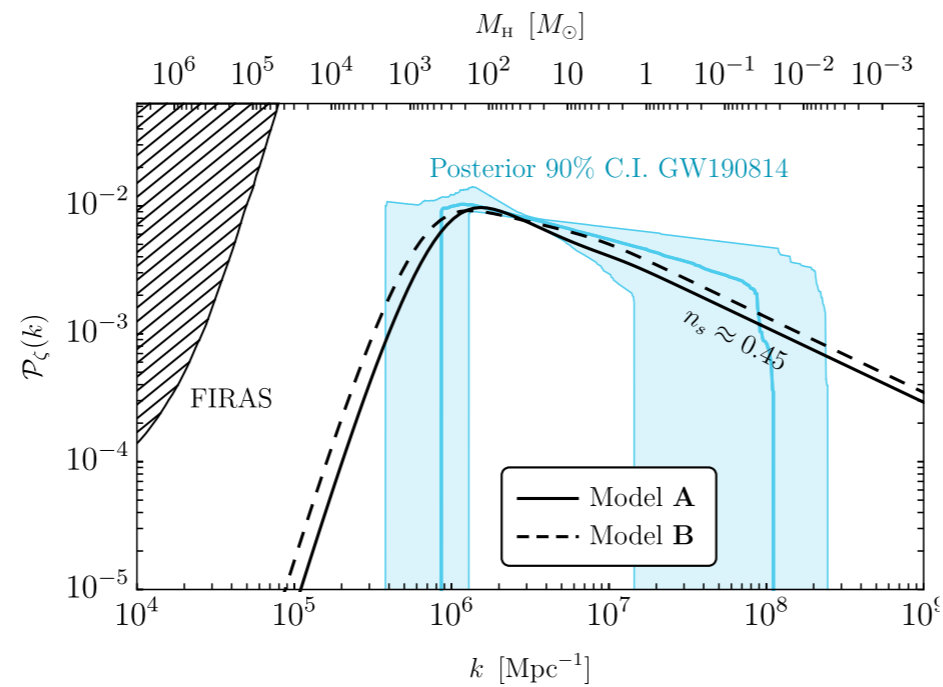


(example scenario from the LVK bounds)



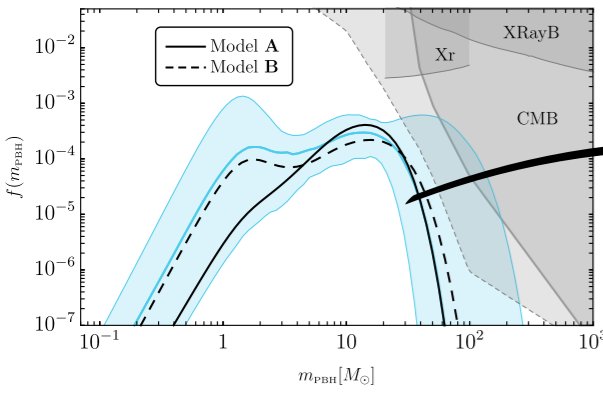
# Constraints on the inflationary dynamics

G. Franciolini, I. Musco, P. Pani and A. Urbano, Phys. Rev. D **106** (2022) no.12, 123526 [arXiv:2209.05959]

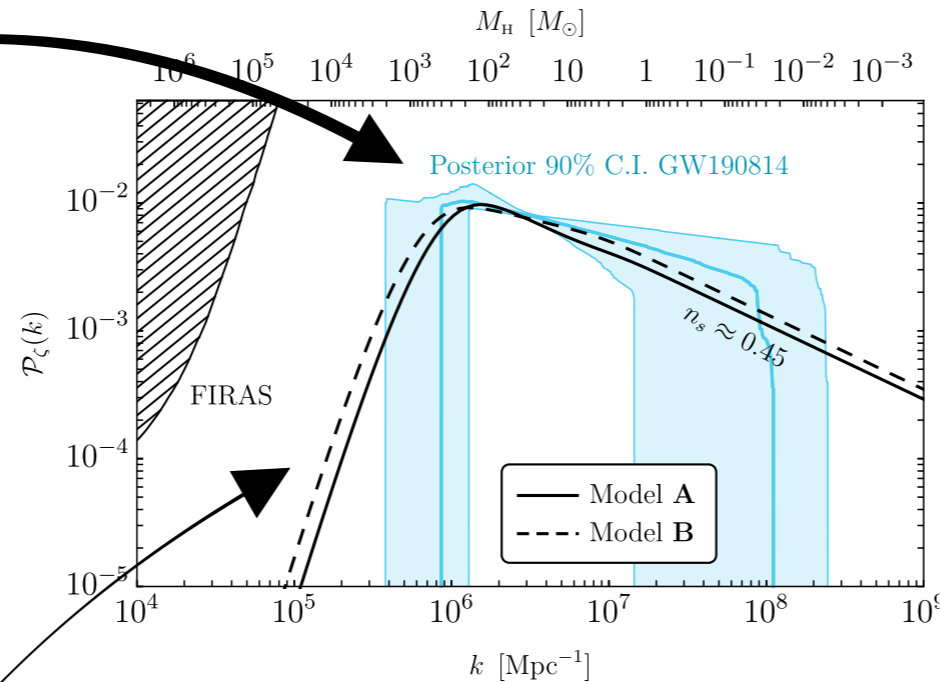


# Constraints on the inflationary dynamics

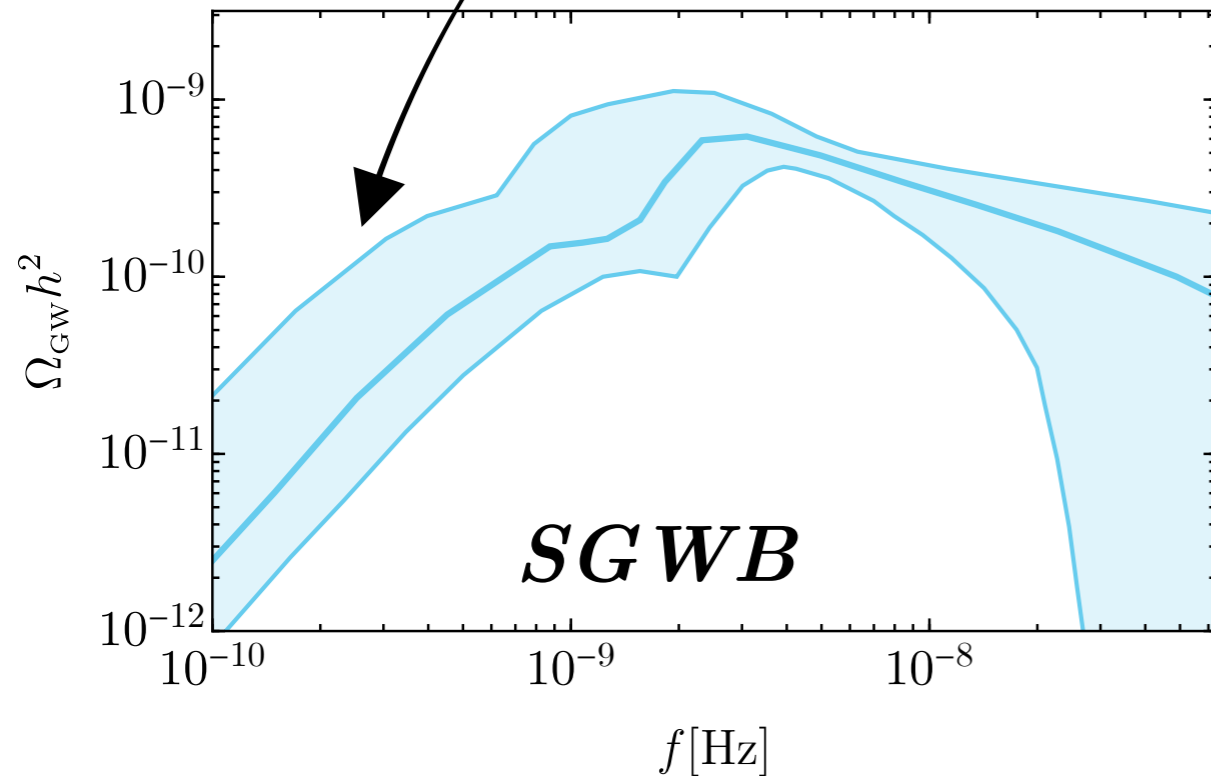
G. Franciolini, I. Musco, P. Pani and A. Urbano, Phys. Rev. D **106** (2022) no.12, 123526 [arXiv:2209.05959]



*Mass function*

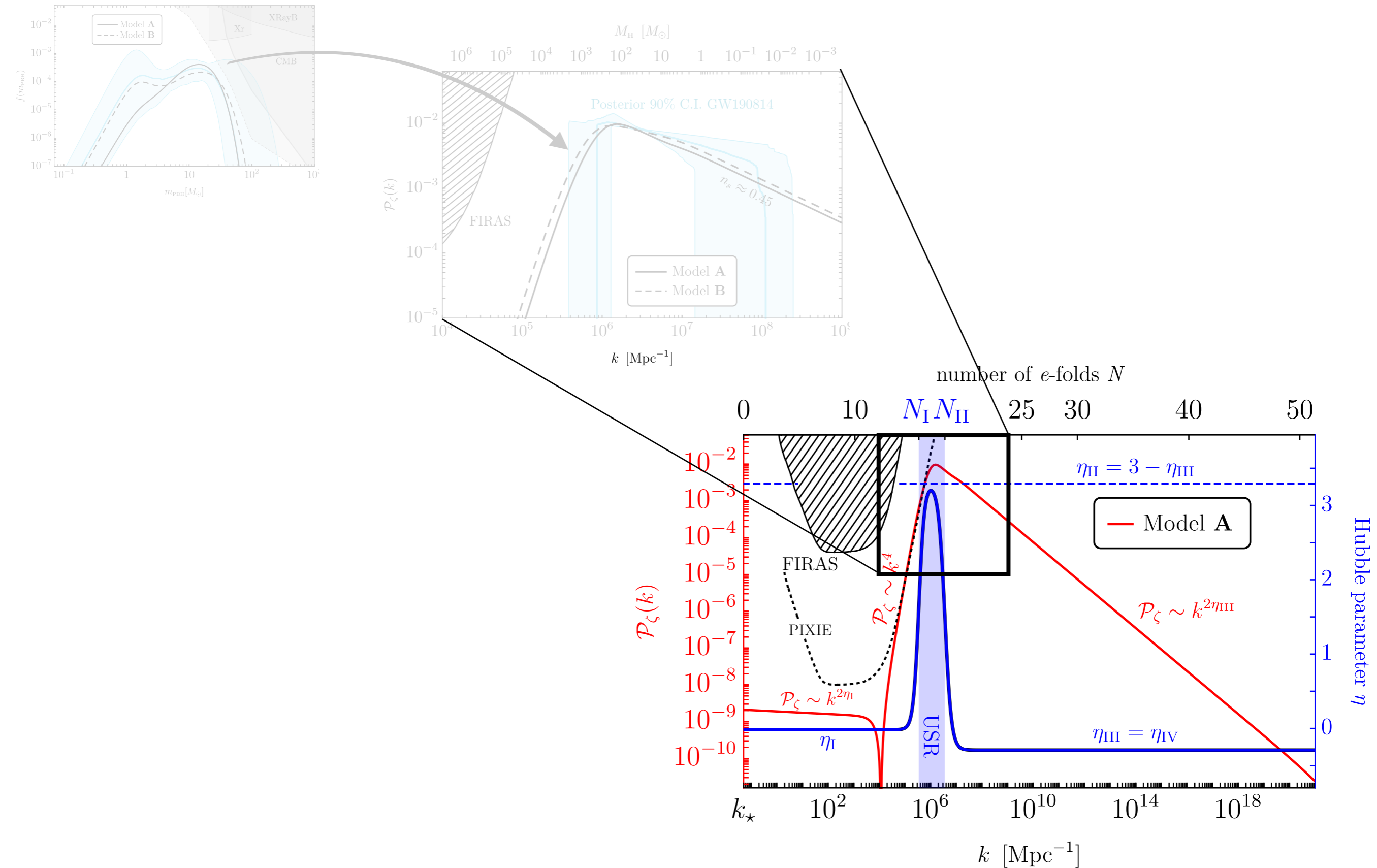


( *Small scale primordial power spectrum needed to interpret GW190814 as a primordial binary* )

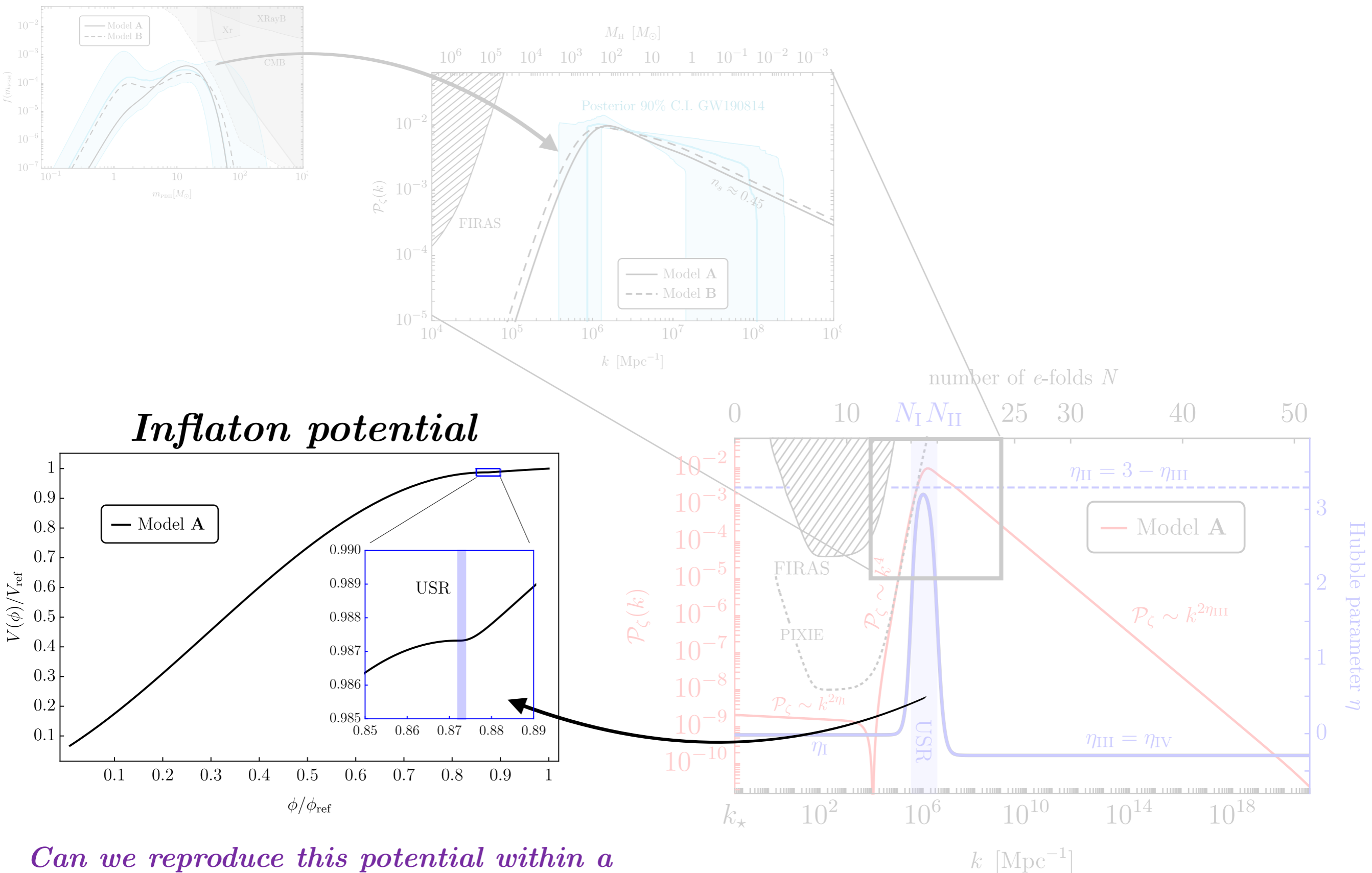


*SGWB*

# Constraints on the inflationary dynamics

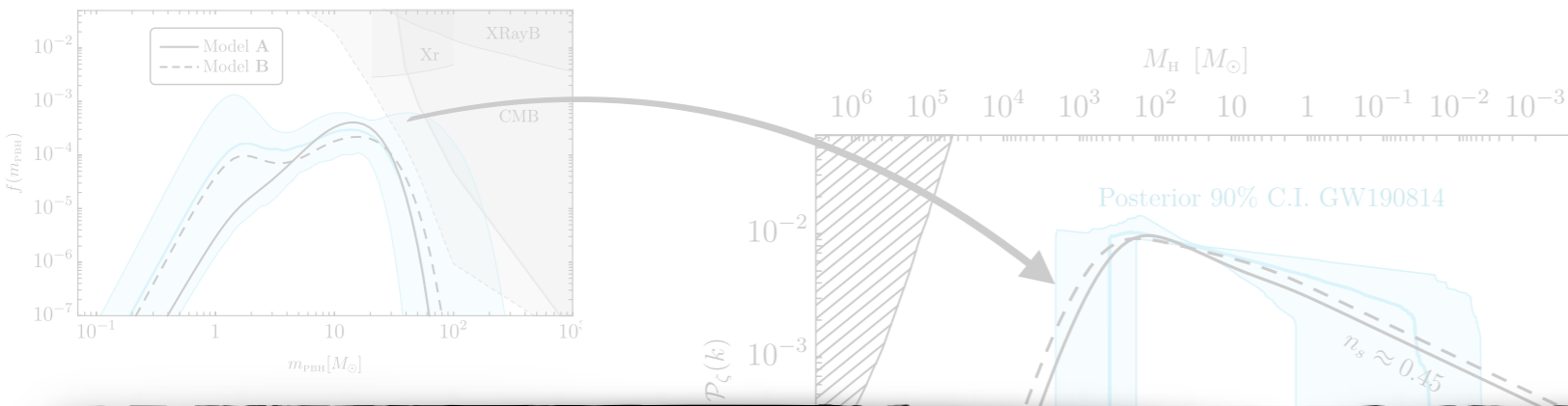


# Constraints on the inflationary dynamics



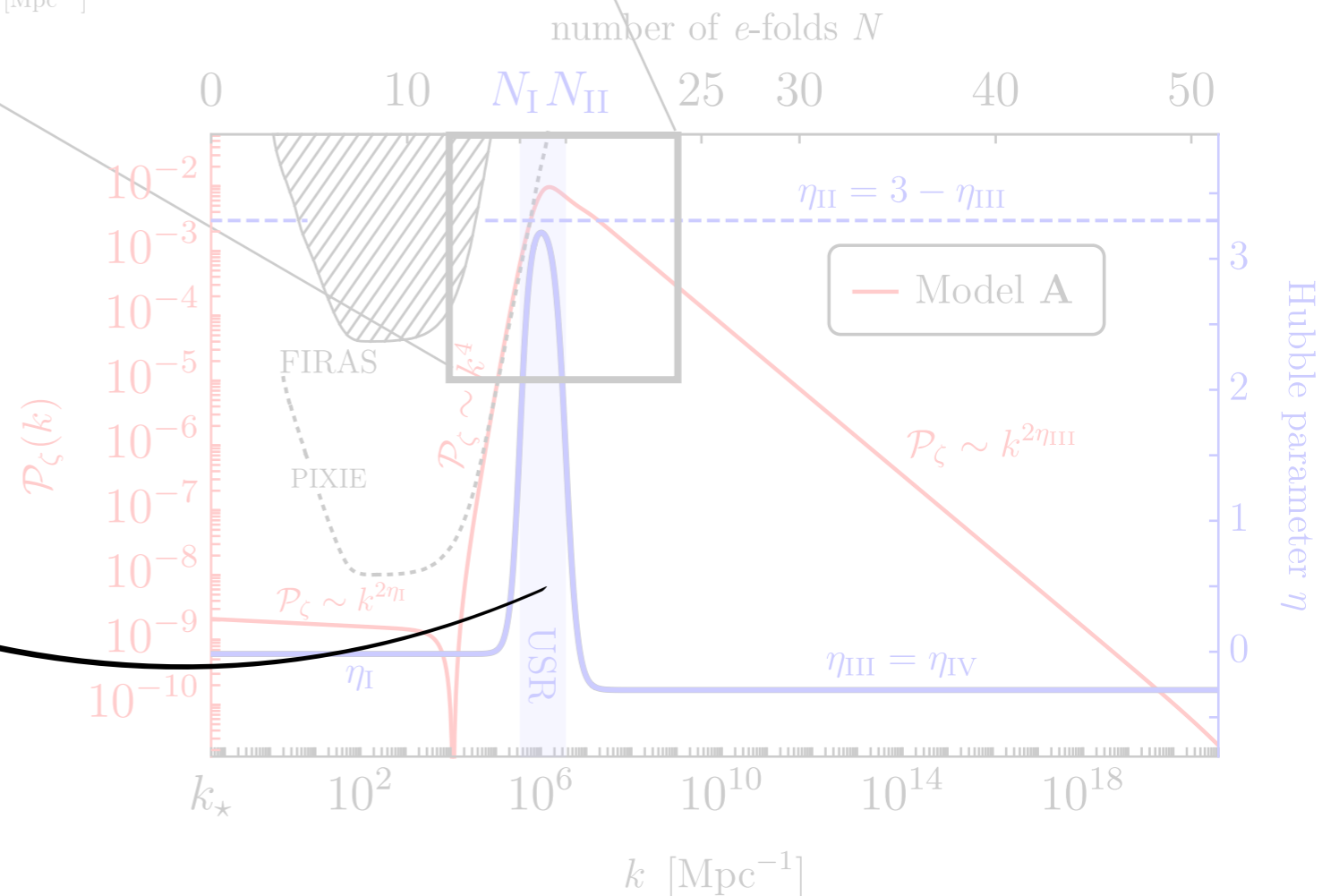
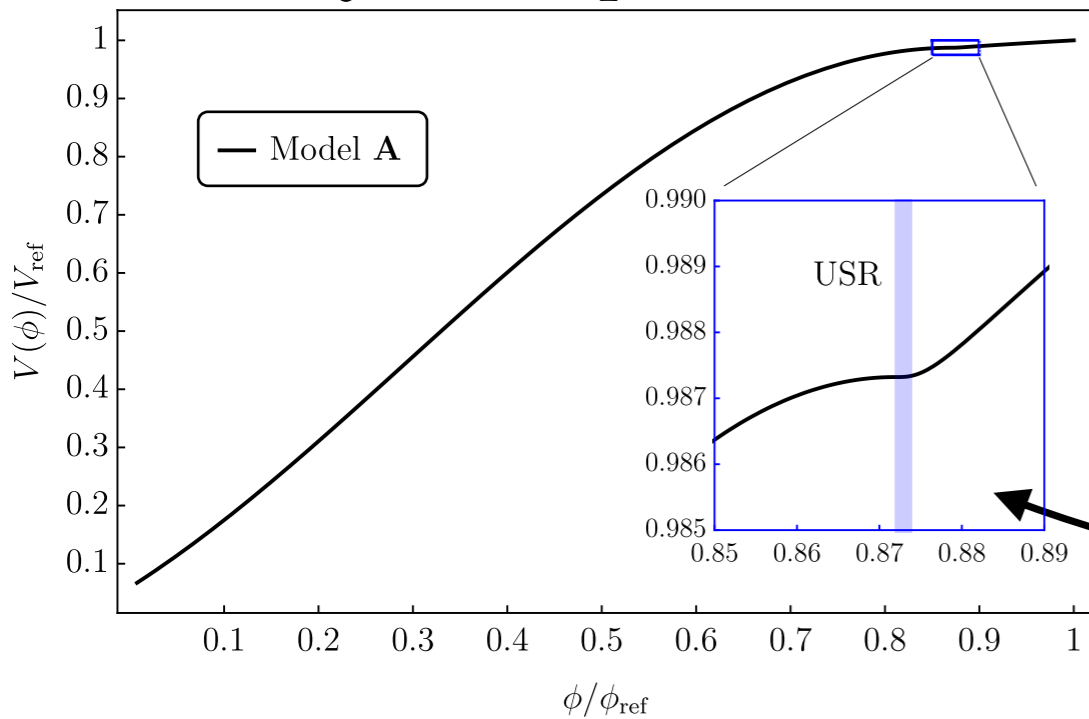
Can we reproduce this potential within a particle physics model of inflation?

# Constraints on the inflationary dynamics



*Same procedure can be applied to LISA results/forecasts*

## Inflaton potential



*Can we reproduce this potential within a particle physics model of inflation?*

# Conclusions

- *LISA will be able to test the formation of asteroidal mass PBHs as dark matter, potentially closing the remaining window*
- *Non-Gaussianities have large impact on the constraints, and it requires non-perturbative computation of the abundance.*
- *One can reverse engineer inflationary dynamics compatible with an eventual SGWB detection*

## Outlook

- *Develop solid tests to distinguish signals of different nature*
- *Explore different PBH formation mechanisms (early matter era, phase transitions, ...)*
- *Contribute to the science case of LISA, Einstein Telescope experiments*



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*Thanks!*

*08-06-2023*

*LISA CosmoWG  
Workshop Stavanger*





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# *Backup*

*08-06-2023*

*LISA CosmoWG  
Workshop Stavanger*

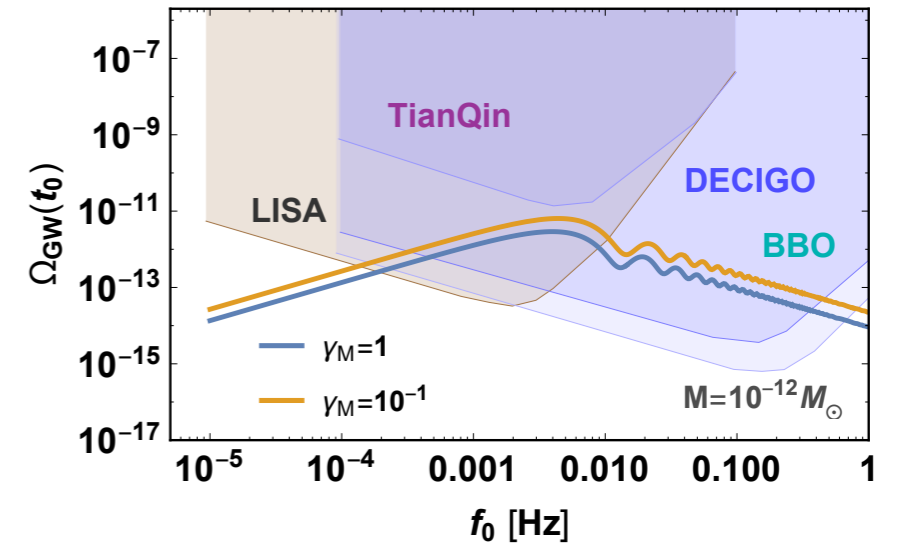




# What about alternative formation scenarios?

- *Early Matter dominated era:*

I.Dalianis and C.Kouvaris, JCAP 07 (2021), 046 [arXiv:2012.09255]

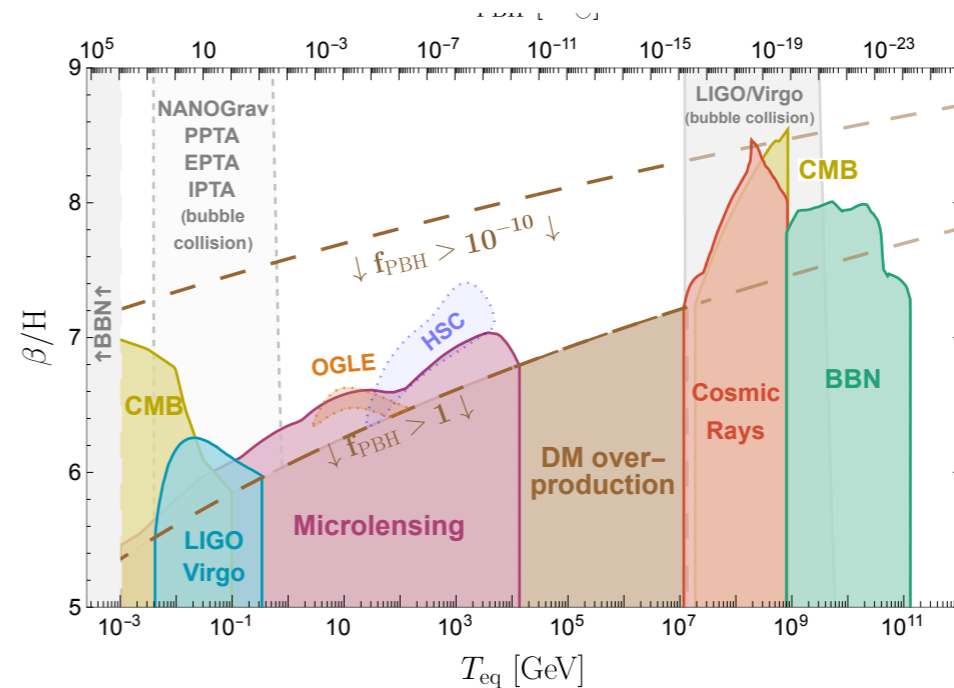
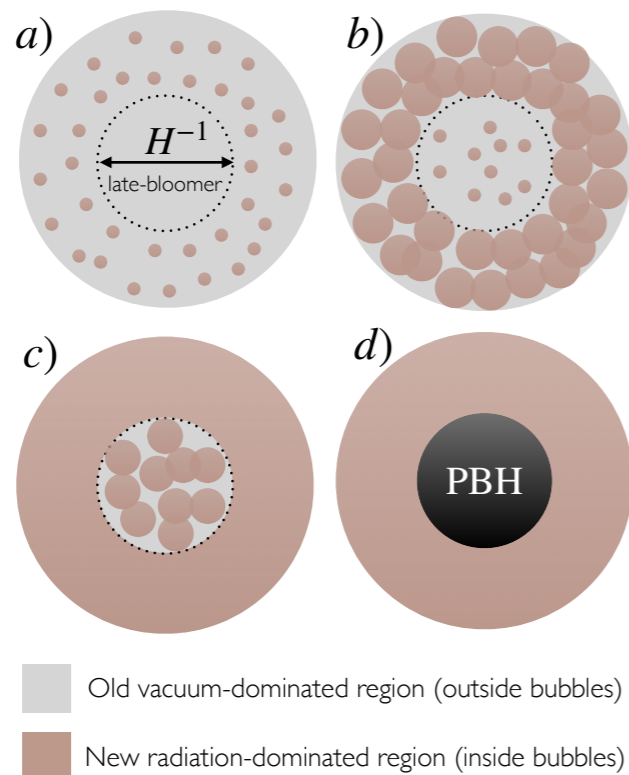


- *Phase transitions:*

J. Liu *et al*, Phys. Rev. D 105 (2022) no.2, L021303 [arXiv:2106.05637]

Lewicki, Toczek, Vaskonen, 2305.04924

Y.Gouttenoire and T.Volansky, [arXiv:2305.04942]



+ *Cosmic strings, etc. ...*

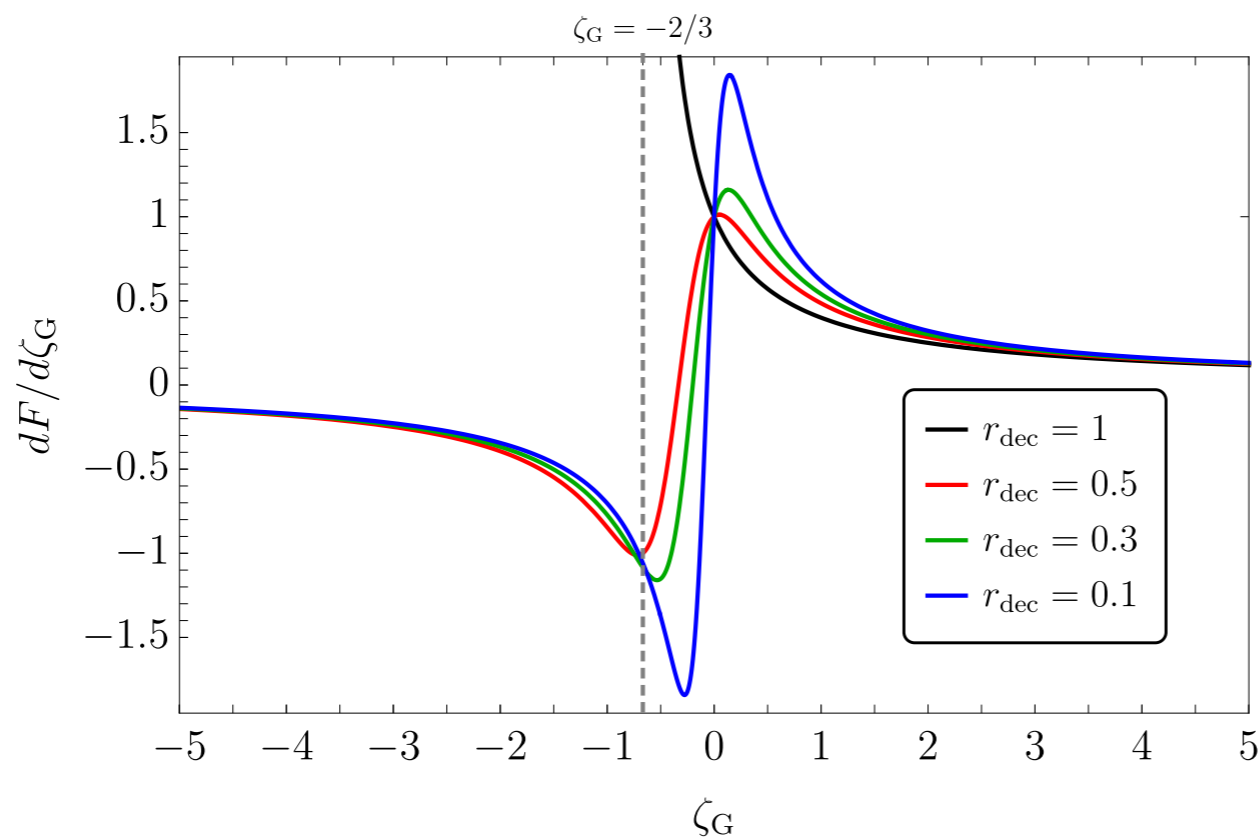
# Curvaton model - NGs

G. Ferrante, G. Franciolini, A. Iovino, Junior. and A. Urbano, Phys. Rev. D **107** (2023) no.4, 043520 [arXiv:2211.01728]

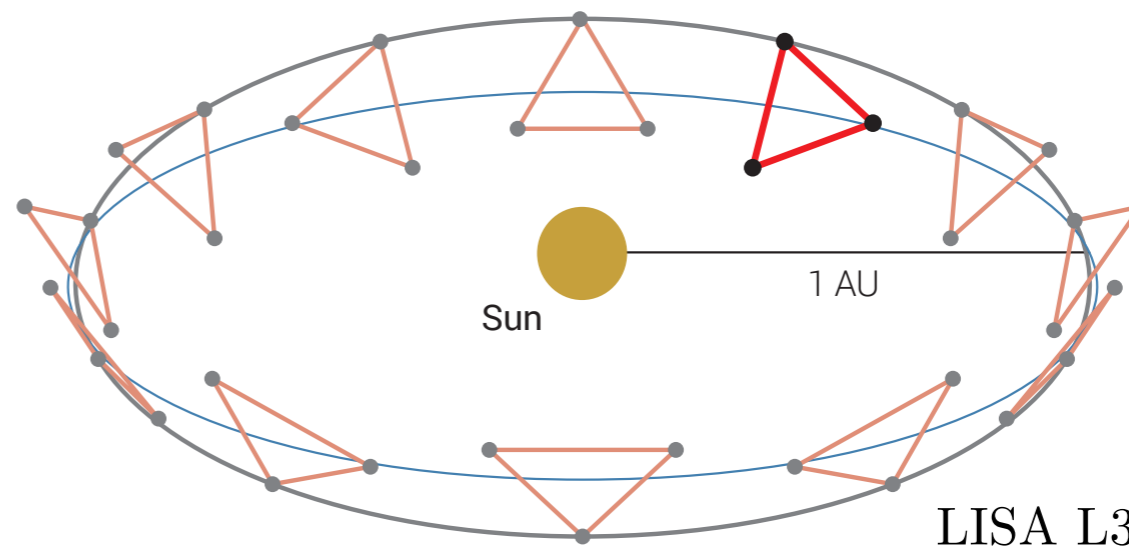
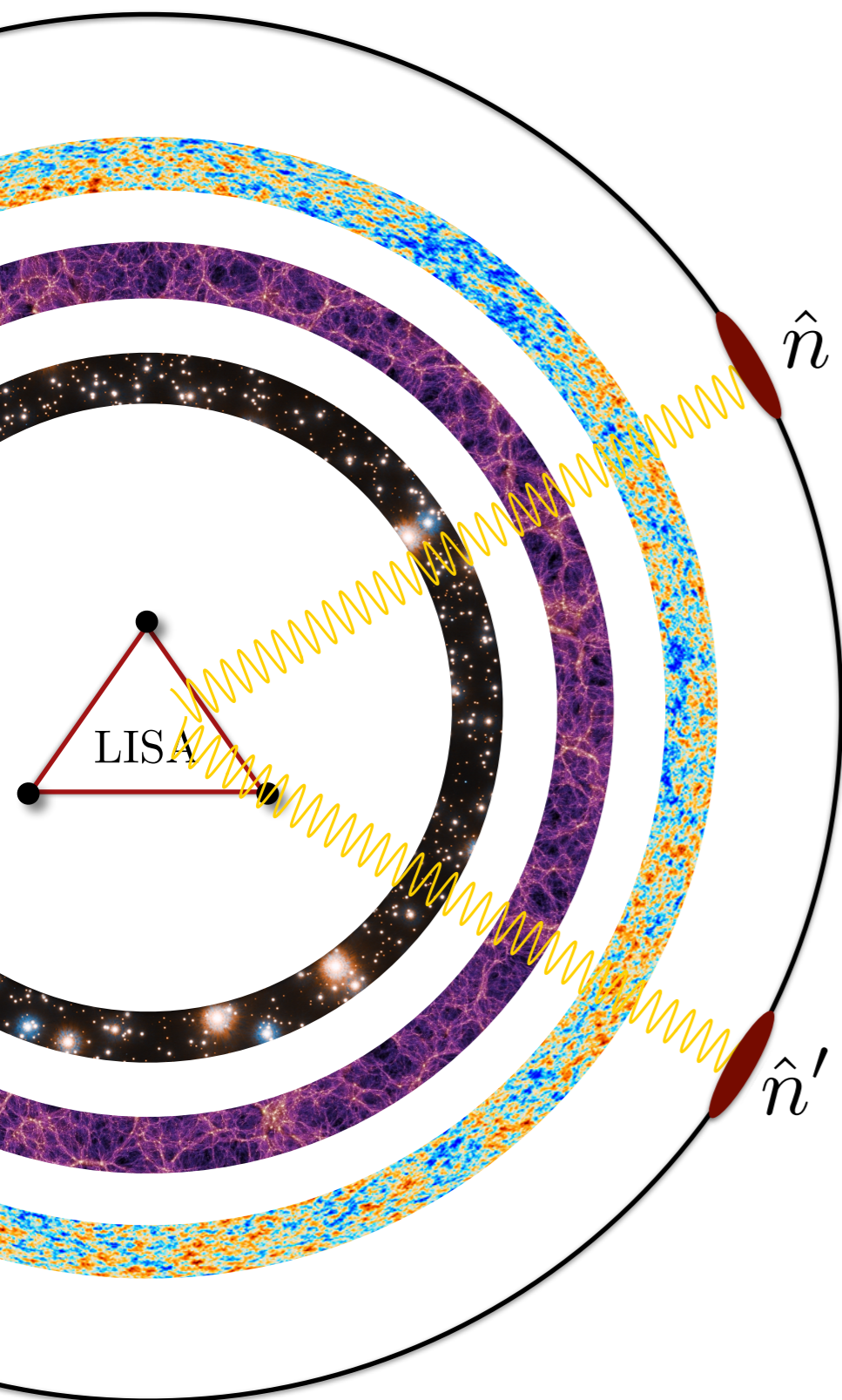
$$\zeta = \log [X(r_{\text{dec}}, \zeta_{\text{G}})] ,$$

$$X(r_{\text{dec}}, \zeta_{\text{G}}) \equiv \frac{1}{\sqrt{2(3+r_{\text{dec}})^{1/3}}} \left\{ \sqrt{\frac{-3+r_{\text{dec}}(2+r_{\text{dec}}) + [(3+r_{\text{dec}})P(r_{\text{dec}}, \zeta_{\text{G}})]^{2/3}}{(3+r_{\text{dec}})P^{1/3}(r_{\text{dec}}, \zeta_{\text{G}})}} \right. \\ \left. + \sqrt{\frac{(1-r_{\text{dec}})}{P^{1/3}(r_{\text{dec}}, \zeta_{\text{G}})} - \frac{P^{1/3}(r_{\text{dec}}, \zeta_{\text{G}})}{(3+r_{\text{dec}})^{1/3}} + \frac{(2r_{\text{dec}}+3\zeta_{\text{G}})^2 P^{1/6}(r_{\text{dec}}, \zeta_{\text{G}})}{r_{\text{dec}} \sqrt{-3+r_{\text{dec}}(2+r_{\text{dec}}) + [(3+r_{\text{dec}})P(r_{\text{dec}}, \zeta_{\text{G}})]^{2/3}}}} \right\} ,$$

$$P(r_{\text{dec}}, \zeta_{\text{G}}) \equiv \frac{(2r_{\text{dec}}+3\zeta_{\text{G}})^4}{16r_{\text{dec}}^2} + \sqrt{(1-r_{\text{dec}})^3(3+r_{\text{dec}}) + \frac{(2r_{\text{dec}}+3\zeta_{\text{G}})^8}{256r_{\text{dec}}^4}} .$$



# Additional probe of abundance anisotropies



LISA L3 proposal (2017)

In addition LISA will probably have an angular resolution up to around  $\ell \approx 10$

$$\Omega(\eta_0, k, \hat{n}) \equiv \Omega(\eta_0, k) + \delta\Omega(\eta_0, k, \hat{n})$$

Small perturbations (direction dependent)  
in the abundance

- 1) Anisotropies at **emission**
- 2) Anisotropies due to **propagation**

Bartolo et al. [1908.00527]

# *GW anisotropies vs Isocurvature bounds*

N. Bartolo, *et al* JCAP **02** (2020), 028 [arXiv:1909.12619]

**Anisotropies at emission:**  $\langle \delta\Omega(\eta_e, \vec{x}) \delta\Omega(\eta_e, \vec{y}) \rangle \propto \left( \frac{1}{k_* |\vec{x} - \vec{y}|} \right)^2$

**At unreachable scales:**  $k_* \approx 1/R_H(\eta_e)$

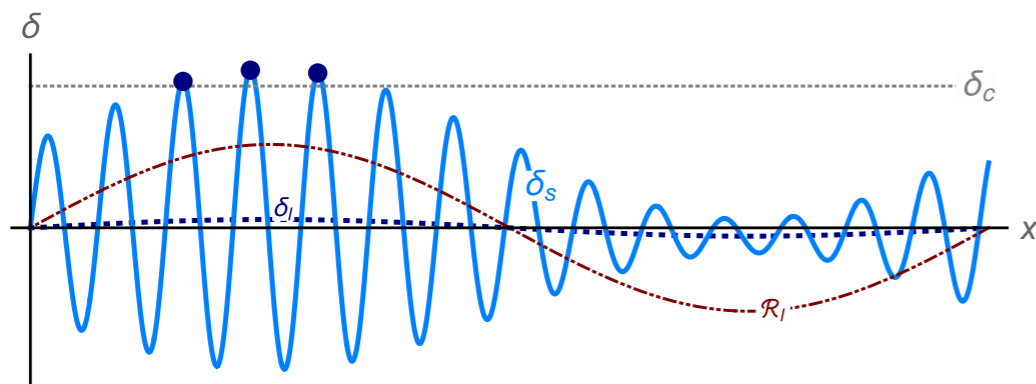
**One can have large-scale initial anisotropies due to Non-Gaussianities**

$$\zeta_{\text{NG}}(\vec{x}) = \zeta(\vec{x}) + \frac{3}{5} f_{\text{NL}} (\zeta^2(\vec{x}) - \langle \zeta^2(\vec{x}) \rangle) \quad \Omega_{\text{GW}}(\eta, \vec{x}, k) = \bar{\Omega}_{\text{GW}}(\eta, k) \left[ 1 + \frac{24}{5} f_{\text{NL}} \int \frac{d^3q}{(2\pi)^3} e^{i\vec{q}\cdot\vec{x}} \zeta_L(\vec{q}) \right]$$

**This also modulate PBH abundance = isocurvature modes**

$$S := \delta_{\text{PBH}} - \frac{3}{4} \delta.$$

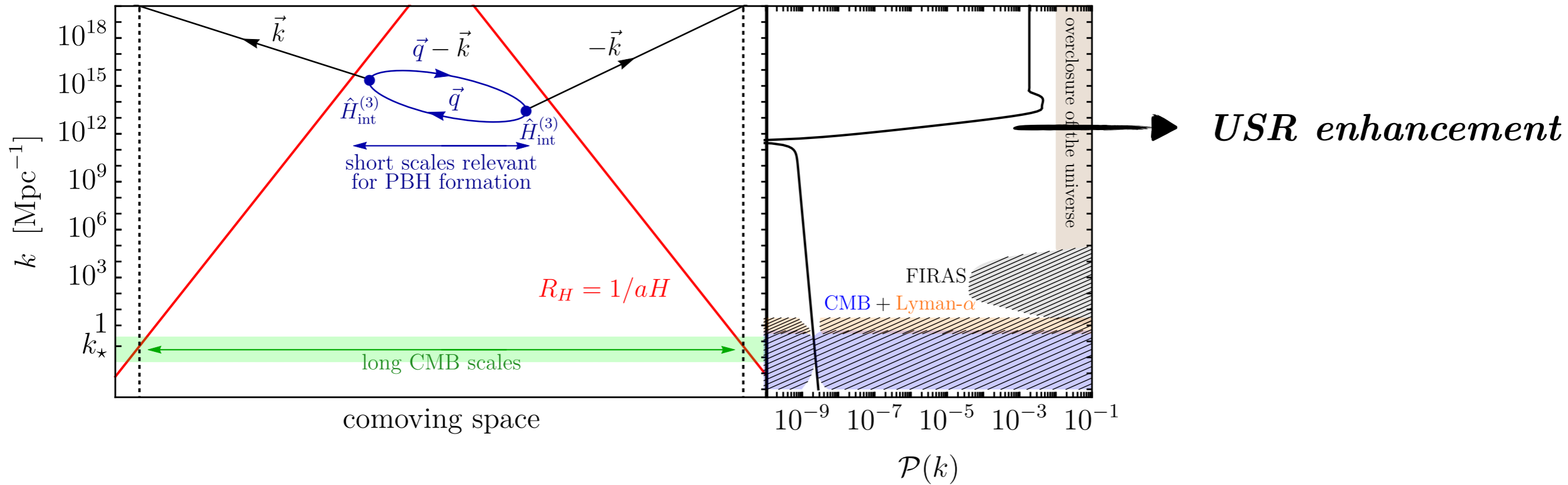
$$\mathcal{P}_S(k_{\text{CMB}}) \simeq (2f_{\text{NL}}\nu^2)^2 \mathcal{P}_{\mathcal{R}}(k_{\text{CMB}}).$$



$$|f_{\text{NL}}|\nu^2 \lesssim \begin{cases} \frac{\sqrt{0.0025}}{2} = 0.025. & (f_{\text{NL}} > 0) \\ \frac{\sqrt{0.0087}}{2} = 0.047. & (f_{\text{NL}} < 0) \end{cases}$$

Planck constraints

# Loops in single field models with USR phase



$$S[\zeta] = S^{(2)}[\zeta] + S_{\text{bulk}}[\zeta] = M_{\text{pl}}^2 \int d\tau d^3x a^2 \epsilon \left[ (\zeta')^2 - (\partial_i \zeta)^2 + \frac{1}{2} \eta' \zeta' \zeta^2 \right].$$

$$\zeta_{\mathbf{p}}'' + \frac{(a^2 \epsilon)'}{a^2 \epsilon} \zeta_{\mathbf{p}}' + \frac{(a^2 \epsilon \eta')'}{4a^2 \epsilon} \int \frac{d^3k}{(2\pi)^3} \zeta_{\mathbf{k}} \zeta_{\mathbf{p}-\mathbf{k}} = 0,$$

*Tree level*

*Dangerous?*

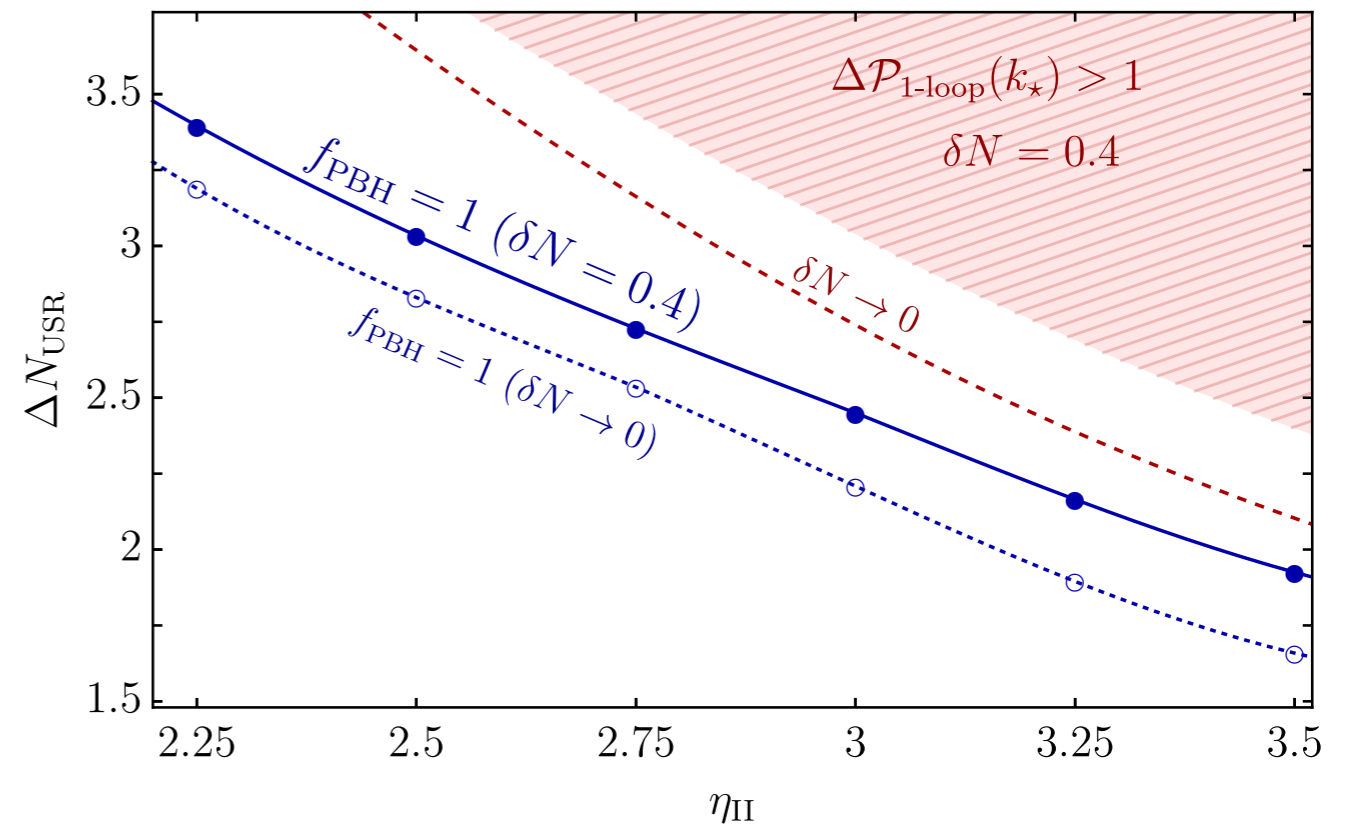
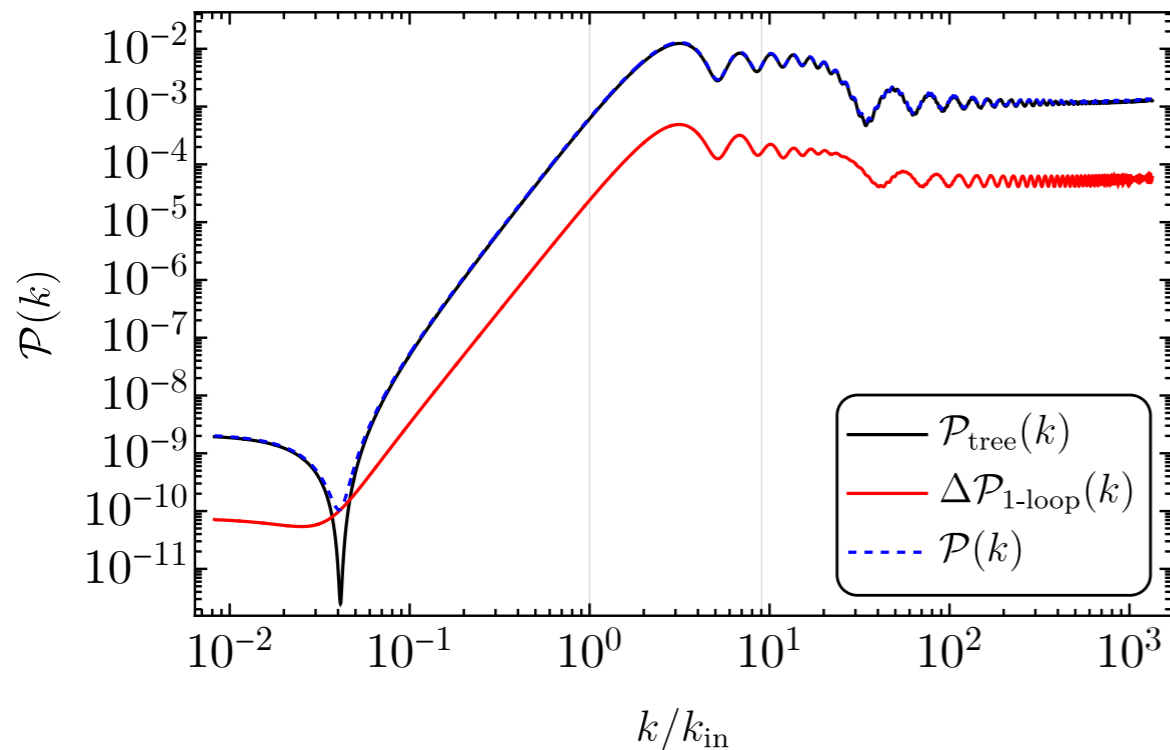
*Poisson suppressed*

**CMB PS:**  $\langle\langle \zeta_{\mathbf{p}}(\tau_0) \zeta_{-\mathbf{p}}(\tau_0) \rangle\rangle = \langle\langle \zeta_{\mathbf{p}}^f(\tau_0) \zeta_{-\mathbf{p}}^f(\tau_0) \rangle\rangle + 2\langle\langle \zeta_{\mathbf{p}}^s(\tau_0) \zeta_{-\mathbf{p}}^f(\tau_0) \rangle\rangle + \langle\langle \zeta_{\mathbf{p}}^s(\tau_0) \zeta_{-\mathbf{p}}^s(\tau_0) \rangle\rangle.$

- J. Kristiano and J. Yokoyama, (2022), [arXiv:2211.03395,2303.00341]
- A. Riotto, (2023), [arXiv:2301.00599,2303.01727]
- H. Firouzjahi, (2023), [arXiv:2303.12025]
- S. Choudhury, M. R. Gangopadhyay, and M. Sami, (2023), [arXiv:2301.10000]
- G. Tasinato, A large  $|\eta|$  approach to single field inflation, [arXiv:2305.11568].
- S. L. Cheng, D. S. Lee and K. W. Ng, [arXiv:2305.16810].
- J. Fumagalli, [arXiv:2305.19263]

# Loops in single field models with USR phase

*Realistic models: no violation of perturbativity from USR modes*



*Loop correction to any scale of around few percent*

G. Franciolini, A. Iovino, Junior., M. Taoso and A. Urbano, [arXiv:2305.03491]

*Still open questions remain...*