

# Modern perturbation theory

## From Thermal Field Theory to real-time observables



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# The plan

- QFT at finite temperature, the role of time and the Schwinger-Keldysh contour
- Bases for real-time perturbation theory
- Soft modes and HTL resummation
- Collinear modes and LPM resummation
- All this in the frame of the LO photon production rate

# Bibliography

Never ask the innkeeper if the wine is good

- Perturbative Thermal QCD: Formalism and Applications  
[J. Ghiglieri, A. Kurkela, M. Strickland, A. Vuorinen, 2002.10188](#)  
chapters 2-3-4 will be the basis of these lectures
- Basics of Thermal Field Theory  
[M. Laine, A. Vuorinen, 1701.01554](#)  
solid reference for perturbative calculations
- Some Aspects of the Theory of Heavy Ion Collisions  
[F. Gelis, 2102.07604](#)  
to learn about applications to heavy-ion physics

# QFT at T=0

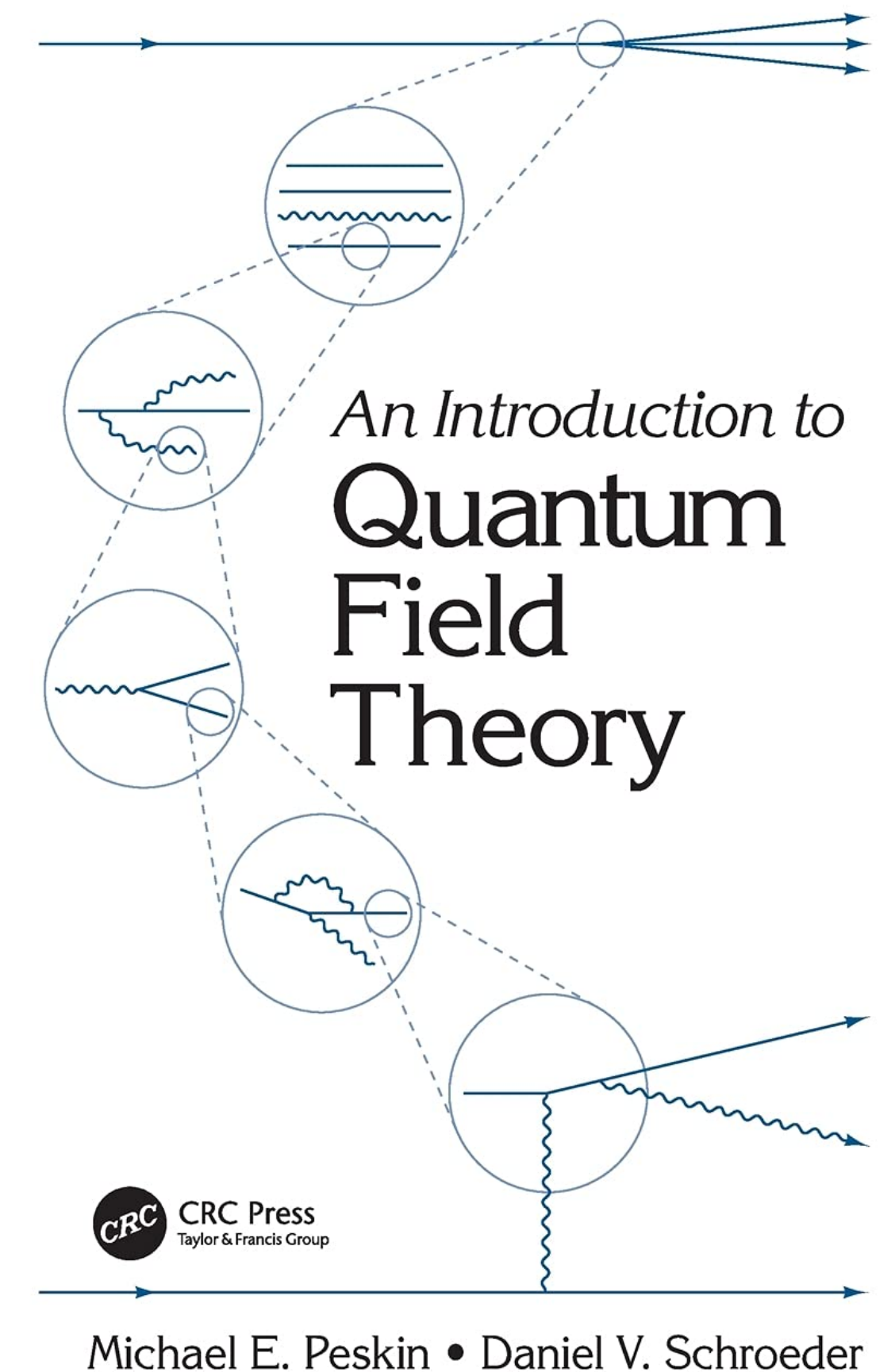
A brief recap of what we learned during our master's

- small number of particles
- the primary observable is the scattering amplitude
- we have well defined asymptotic states at  $t = \pm \infty$  (or sort of, think of collinear factorisation)

$$\langle \vec{P}_1 \vec{P}_2 | S | \vec{K}_1 \vec{K}_2 \rangle \propto \text{LSZ reduction} \langle \text{vac.} | T \{ \phi(x_1) \phi(x_2) \phi(y_1) \phi(y_2) | \text{vac.} \rangle$$



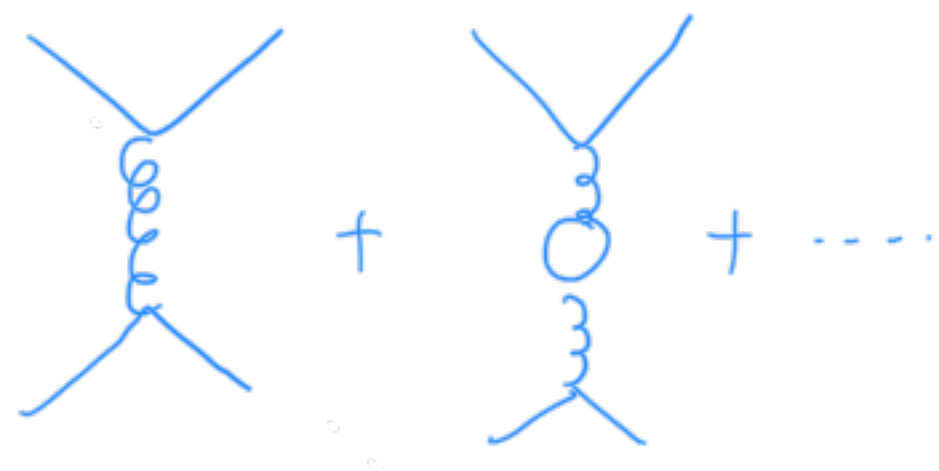
vacuum to vacuum amplitudes, fields act on vacuum to create the asymptotic states



# QFT at T=0

A brief recap of what we learned during our master's

- Perturbatively



includes loops: quantum-mechanical **vacuum** fluctuations (Heisenberg principle)

- Indeed, the vacuum is a quantum-mechanical **pure state**. Vacuum expectation values only accounts for vacuum fluctuations

# QFT in a medium

## Statistical fluctuations

- In a medium we also have statistical fluctuations, arising from our *limited, statistical knowledge* of the system
- If at  $t = t_0$  the system is described by states  $|i\rangle$  with **probabilities**  $P_i(t_0)$  (a **mixed state**) then

$$\langle \hat{O}(t_0) \rangle \equiv \sum_i P_i(t_0) \langle i | \hat{O} | i \rangle = \text{Tr}[\hat{\rho}(t_0) \hat{O}(t_0)]$$

with  $\hat{\rho}(t_0) = \sum_i P_i(t_0) |i\rangle \langle i|$  the density operator

- In what follows we will concentrate on **thermal equilibrium**. Out-of-equilibrium is very fascinating&important, see Aleksis's lectures

# Thermal equilibrium

## The grand canonical ensemble

- The density operator is now time-independent

$$\hat{\rho}_{\text{eq}} = \frac{1}{Z} e^{-\beta(\hat{H} - \mu_i \hat{N}_i)}, \quad Z = \text{Tr} e^{-\beta(\hat{H} - \mu_i \hat{N}_i)},$$

- $Z$  is the partition function (Zustandssumme),  $\beta \equiv 1/T$ ,  $\hat{H}$  the Hamiltonian,  $\hat{N}_i$  the number operators for conserved global charges, with associated chemical potentials  $\mu_i$
- For instance, in QCD  $\hat{N}_f = \int d^3x \bar{q}_f(x) \gamma^0 q_f(x)$

# Observables

- Typical observables
  - thermodynamics ( $p$ ,  $e$ , susceptibilities...)
  - transport coefficients ( $\eta$ , diffusion,...)
  - thermal production rates
  - hard-probe observables (jets, quarkonia)
  - equilibration and thermalisation rates
  - .....



# Classifying observables

## An important difference

- Even if the equilibrium state is time-independent, we can classify these observables by how they are affected by time
- For thermodynamics,  $T^{\mu\nu} = \text{diag}(e, p, p, p)$  for an ideal fluid in its rest frame. In QFT  $T^{\mu\nu} \rightarrow \Theta^{\mu\nu}$ , which is a local operator ( $\Theta^{\mu\nu}(X)$ ). Then  $e = \langle \Theta^{00} \rangle$  (with vacuum subtraction)
- Thermodynamics deals with operators which are local in time. As we shall soon see, that is a big simplification
- These lectures will mostly be about observables that are non-local in time

# Dealing with local observables

## The Matsubara formalism

- $\langle \hat{O}(t) \rangle = \text{Tr}[\hat{\rho}(t)\hat{O}(t)] = \sum_i \langle i | \hat{\rho}(t)\hat{O}(t) | i \rangle$ : used  $t$ -invariance of eq. operator
- Now use  $\hat{\rho} = e^{-\beta(\hat{H}-\mu_i\hat{N}_i)}/Z$  and identify  $e^{-\beta\hat{H}}$  as a time-evolution operator in the imaginary direction  $\tau$ , i.e.  $it \leftrightarrow \beta$  ( $U(t) = e^{-i\hat{H}t}$ )

$$\langle \hat{O} \rangle = \frac{\int \mathcal{D}\phi \hat{O} e^{-S_E}}{\int \mathcal{D}\phi e^{-S_E}} \quad S_E \equiv \int_0^\beta d\tau L_E$$

- The trace, when transformed into a path integral, implies  
 $\phi(0, \vec{x}) = \phi(\beta, \vec{x})$  for bosons (periodicity)  
 $\psi(0, \vec{x}) = -\psi(\beta, \vec{x})$  for fermions (antiperiodicity)

# Dealing with local observables

## The Matsubara formalism

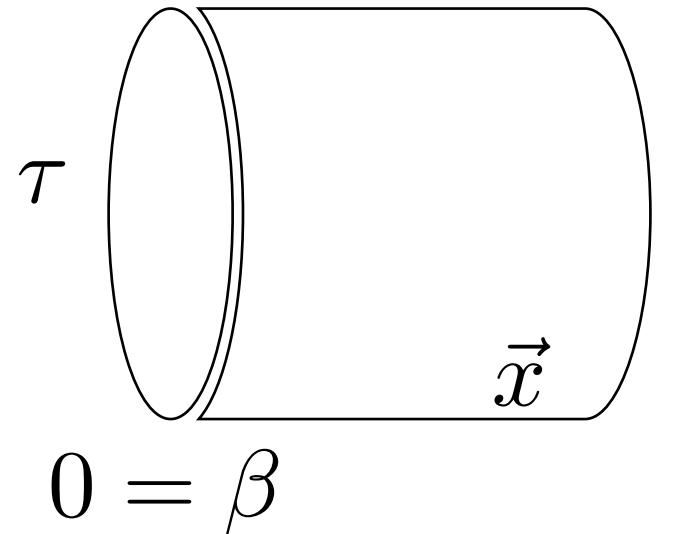
- We thus have 3D Euclidean space  $X$  compactified Euclidean time
- Ideal (at vanishing chem. pots) for lattice
- Perturbatively: Euclidean field theory with discrete *Matsubara frequencies*

$\omega_n = 2\pi Tn$  for bosons,  $\tilde{\omega}_n = \pi T(2n + 1)$  for fermions,  $n \in \mathbb{Z}$ .

$$\int d\omega / (2\pi) \rightarrow T \sum_n$$

- **Exercise:** for a theory of massless, non-interacting real scalars compute the energy density using dim reg. Recall that  $\Theta_{\mu\nu} = \partial_\mu \phi \partial_\nu \phi - \frac{\delta_{\mu\nu}}{2} \partial_\rho \phi \partial_\rho \phi$ .

**Solution:**  $e = 4\pi^2 T^4 \zeta(-3) = \frac{\pi^2 T^4}{30}$



# The thermal photon rate

## A non-local observable

- Photons are not in equilibrium in the short-lived QCD plasma in a heavy-ion collision
- If a photon is produced from the QCD plasma (rare event,  $\alpha \ll 1$ ) it is unlikely to rescatter (rare event,  $\alpha \ll 1$ )<sup>2</sup>
- They are thus a good **hard probe**: they carry information from the thermal phase, unaffected by later stages such as hadronisation

# The thermal photon rate

## A non-local observable

- Since  $\alpha \ll 1$  we can work to first order in the EM coupling. Photon production is then Poissonian and back-reaction (cooling of the plasma) negligible
- Compute single photon production

$$2k(2\pi)^3 \frac{dP}{d^3k} = \sum_X \text{Tr} \rho_{\text{eq}} U^\dagger(t) |X, \gamma\rangle \langle X, \gamma| U(t)$$

$P$  probability,  $U(t)$  time evolution operator

- The rate is 
$$\frac{dN_\gamma}{d^4X d^3k} \equiv \frac{d\Gamma_\gamma}{d^3k} \stackrel{k \parallel z}{=} \frac{-e^2}{(2\pi)^3 2k} \int d^4X e^{ik(t-z)} \langle J^\mu(0) J_\mu(X) \rangle$$

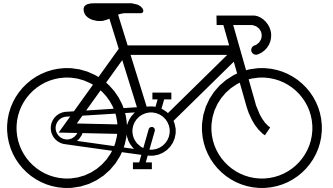
# The thermal photon rate

## A non-local observable

- The rate is 
$$\frac{dN_\gamma}{d^4X d^3k} \equiv \frac{d\Gamma_\gamma}{d^3k} \stackrel{k\parallel z}{=} \frac{-e^2}{(2\pi)^3 2k} \int d^4X e^{ik(t-z)} \langle J^\mu(0) J_\mu(X) \rangle$$
- Two-point function of the em current  $J^\mu = \sum_i Q_i \bar{q}_i \gamma^\mu q_i$
- $\langle J^\mu \rangle$  would be the current density of the plasma. Vanishes for a charge-neutral plasma. But a charge-neutral plasma still produces photons
- The formula is valid to first order in electromagnetism but to all orders in the QCD coupling. For practical and pheno reasons require  $k \sim T$

# Dealing with non-local observables

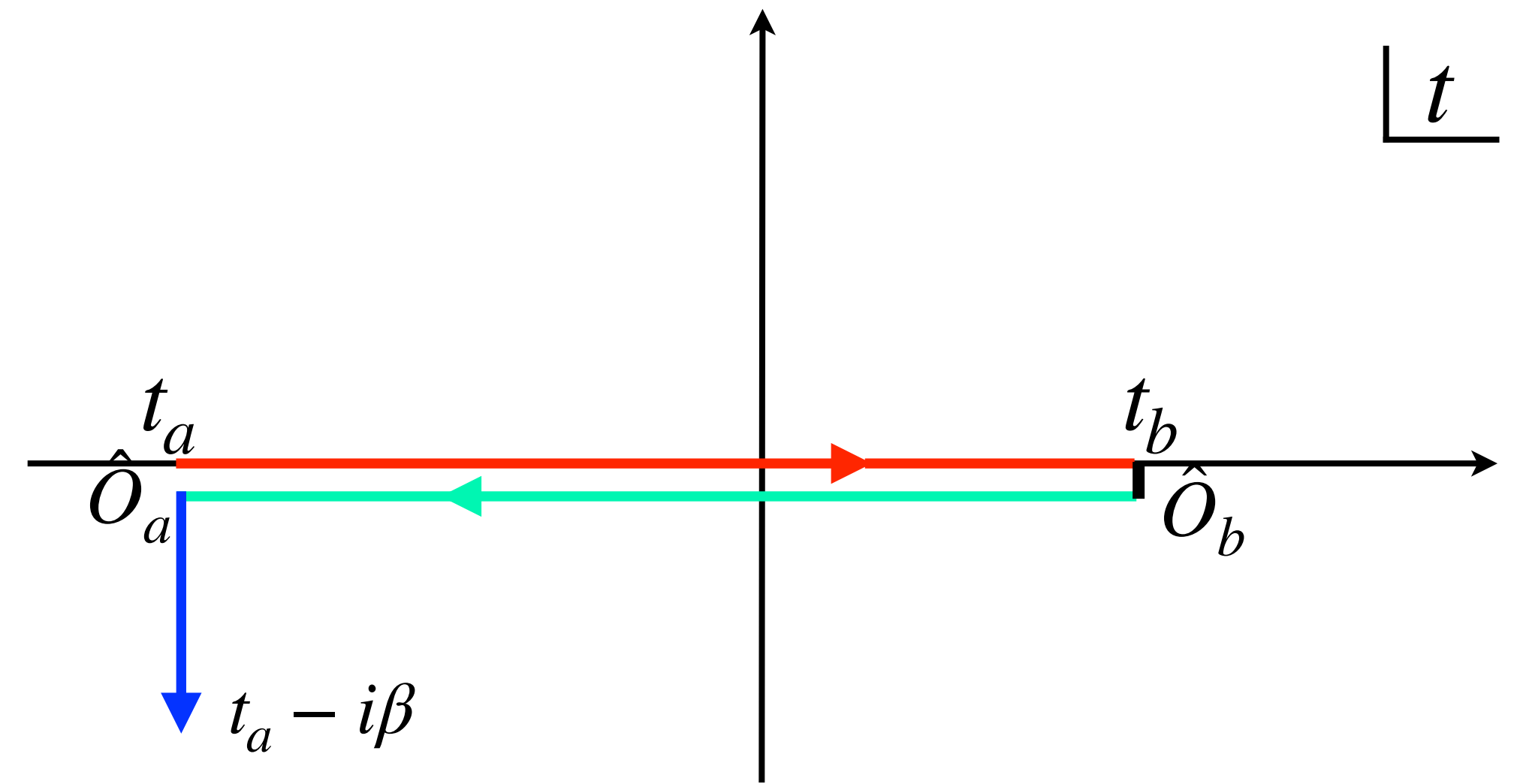
## The Schwinger-Keldysh formalism

- $\langle \hat{O}_a(t_a) \hat{O}_b(t_b) \rangle = \text{Tr}[\hat{\rho}(t_a) \hat{O}_a(t_a) \hat{O}_b(t_b)]$ : chose  $t_a$  for the density op.  
and assume  $t_b > t_a$
- $\hat{\rho}_a(t_a) \equiv \hat{\rho}(t_a) \hat{O}_a(t_a)$  can be considered as a new, non-equilibrium state
- $[\hat{H}, \hat{\rho}_a] \neq 0$ , we must evolve it to  $t_b$ ,  $\hat{\rho}_a(t_b) = U(t_b, t_a) \hat{\rho}_a(t_a) U(t_a, t_b)$
- Plug this into the trace above and use **cyclicity**   
 $\text{Tr}[\hat{\rho}_a(t_b) \hat{O}_b(t_b)] = \text{Tr}[\hat{\rho}(t_a) \hat{O}_a(t_a) U(t_a, t_b) \hat{O}_b(t_b) U(t_b, t_a)]$
- Translating this into path integrals we get the **Schwinger-Keldysh contour**

# The Schwinger-Keldysh contour

$$\text{Tr}[\hat{\rho}_a(t_b)\hat{O}_b(t_b)] = \text{Tr}[\hat{\rho}(t_a)\hat{O}_a(t_a)U(t_a, t_b)\hat{O}_b(t_b)U(t_b, t_a)]$$

- Time ordered branch
- Anti-time ordered branch
- Statistical (Euclidean) branch



$$O_{li} = \langle l | \hat{O}_a \hat{O}_b | i \rangle$$

$$\langle \hat{O}_a(t_a) \hat{O}_b(t_b) \rangle = \frac{1}{Z} \sum_{li} \int_{\phi_1(t_a)=\phi_E(t_0-i\beta)}^{\phi_1(t_b)=\phi_i} \mathcal{D}\phi_1 \int_{\phi_2(t_a)=\phi_E(t_0)}^{\phi_2(t_b)=\phi_l} \mathcal{D}\phi_2 e^{i(S(\phi_1)-S(\phi_2))} \int_{\phi_E(t_0)}^{\phi_E(t_0-i\beta)} \mathcal{D}\phi_E e^{-S_E(\phi_E)} O_{li}$$

- Applicable out of equilibrium too
- ~~Doubling of the degrees of freedom~~, an imprecise statement

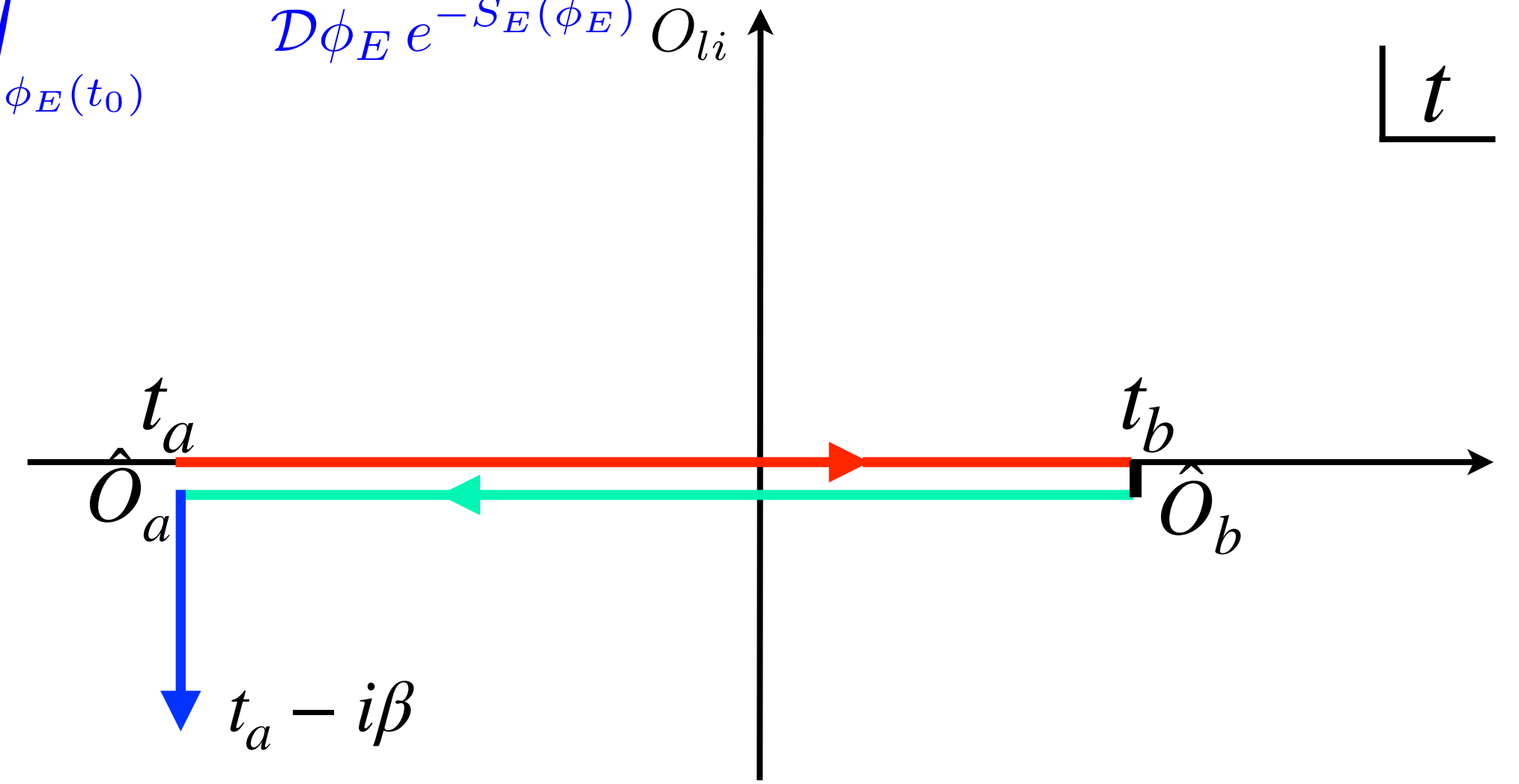


# The Schwinger-Keldysh contour

## ~~Doubling of the degrees of freedom~~

$$\langle \hat{O}_a(t_a) \hat{O}_b(t_b) \rangle = \frac{1}{Z} \sum_{l_i} \int_{\phi_1(t_a)=\phi_E(t_0-i\beta)}^{\phi_1(t_b)=\phi_i} \mathcal{D}\phi_1 \int_{\phi_2(t_a)=\phi_E(t_0)}^{\phi_2(t_b)=\phi_i} \mathcal{D}\phi_2 e^{i(S(\phi_1)-S(\phi_2))} \int_{\phi_E(t_0)}^{\phi_E(t_0-i\beta)} \mathcal{D}\phi_E e^{-S_E(\phi_E)} O_{li} \quad \boxed{t}$$

- An imprecise statement: as we shall see, the propagator becomes a matrix in 1 and 2 fields. If one computes a time-ordered product of operators, then external fields are of type 1 and internally these ``2'' dofs pop in. But T-ordered operators are not that important in thermal eq. (with some exceptions)
- The more important operator orderings are the Wightman  $\langle \phi(t)\phi(0) \rangle$  and retarded two-point fncs. They measure correlation and causation respectively



# The Schwinger-Keldysh contour

## Operator orderings

- Wightman functions  $D^>(t_1, t_0) = \langle \phi(t_1)\phi(t_0) \rangle$      $D^<(t_1, t_0) = \langle \phi(t_0)\phi(t_1) \rangle$
- Retarded and advanced functions  $D^R(t_1, t_0) = \theta(t_1 - t_0)\rho_B(t_1, t_0)$ ,     $D^A(t_1, t_0) = -\theta(t_0 - t_1)\rho_B(t_1, t_0)$
- Their difference: the *spectral function*  $\rho_B(t_1, t_0) = \langle [\phi(t_1), \phi(t_0)] \rangle$   
 $\rho_B(t_1, t_0) = D^R(t_1, t_0) - D^A(t_1, t_0) = D^>(t_1, t_0) - D^<(t_1, t_0)$
- Cyclicity of the trace and the (exponential) form of eq. density yield the **Kubo-Martin-Schwinger (KMS)** relation between the Wightman functions  $D^>(t) \equiv D^>(t, 0) = D^<(t + i\beta)$ ,  $S^>(t) = -e^{\beta\mu} S^<(t + i\beta)$

# The Schwinger-Keldysh contour

## Operator orderings

- In Fourier space 
$$D^>(\omega) \equiv \int dt e^{i\omega t} D^>(t) = e^{\beta\omega} D^<(\omega),$$
$$S^>(\omega) \equiv \int dt e^{i\omega t} S^>(t) = -e^{\beta(\omega-\mu)} S^<(\omega)$$
- Hence we get the Wightman functions in terms of the spectral function
$$D^<(\omega) = (e^{\beta\omega} - 1)^{-1} \rho_B(\omega) \equiv n_B(\omega) \rho_B(\omega),$$
$$D^>(\omega) = (1 + n_B(\omega)) \rho_B(\omega)$$
$$S^<(\omega) = - (e^{\beta(\omega-\mu)} + 1) \rho_F(\omega) \equiv - n_F(\omega) \rho_F(\omega)$$
- In equilibrium **all operator orderings** are determined from the spectral function through KMS and causality

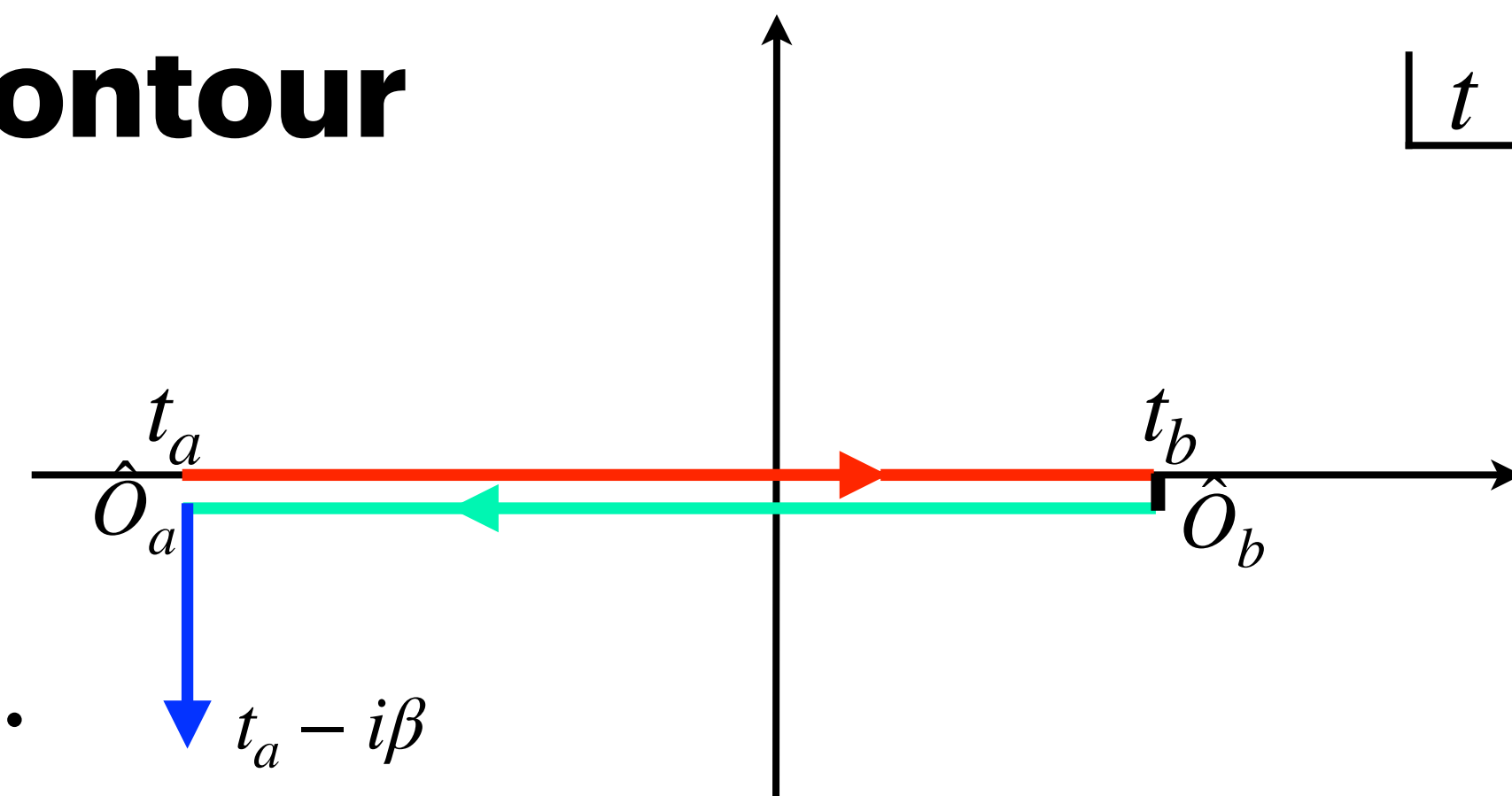
# The ``1-2'' formalism

## Operator orderings and the contour

- Go back to the contour: ``2'' fields are always to the left (contour-later) of ``1'' fields,

$$\langle \phi_2(t)\phi_1(0) \rangle = D^>(t), \quad \langle \phi_1(t)\phi_2(0) \rangle = D^<(t).$$

This determines the off-diagonal elements of the propagator.



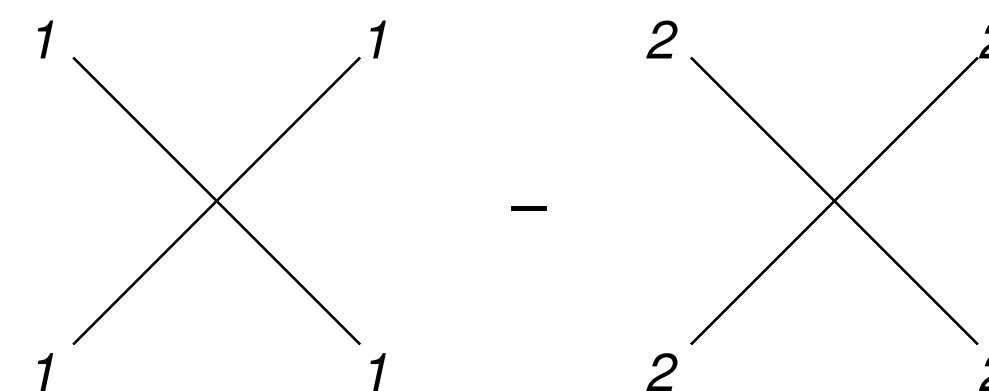
- The two contours are (anti)-time ordered  $\Rightarrow$  Feynman and anti-F propagators

$$\mathbf{D} = \begin{pmatrix} \langle \phi_1\phi_1 \rangle & \langle \phi_1\phi_2 \rangle \\ \langle \phi_2\phi_1 \rangle & \langle \phi_2\phi_2 \rangle \end{pmatrix} = \begin{pmatrix} D^F & D^< \\ D^> & D^{\bar{F}} \end{pmatrix}$$

$$D^{F,\bar{F}}(t) = \theta(\pm t)D^>(t) + \theta(\mp t)D^<(t)$$

$$D^{F,\bar{F}}(\omega) = \pm \frac{1}{2}[D_R(\omega) + D_A(\omega)] + \left( \frac{1}{2} + n_B(\omega) \right) \rho_B(\omega)$$

- Vertices are diagonal in ``1-2'' indices, ``2'' indices have opposite sign



# The ``1-2'' formalism

## Operator orderings and the contour

- We can now go back to our energy density problem and see how it appears more transparent in the real-time formalism

$$e = \int \frac{d^4 P}{(2\pi)^4} p^2 D^>(P)$$

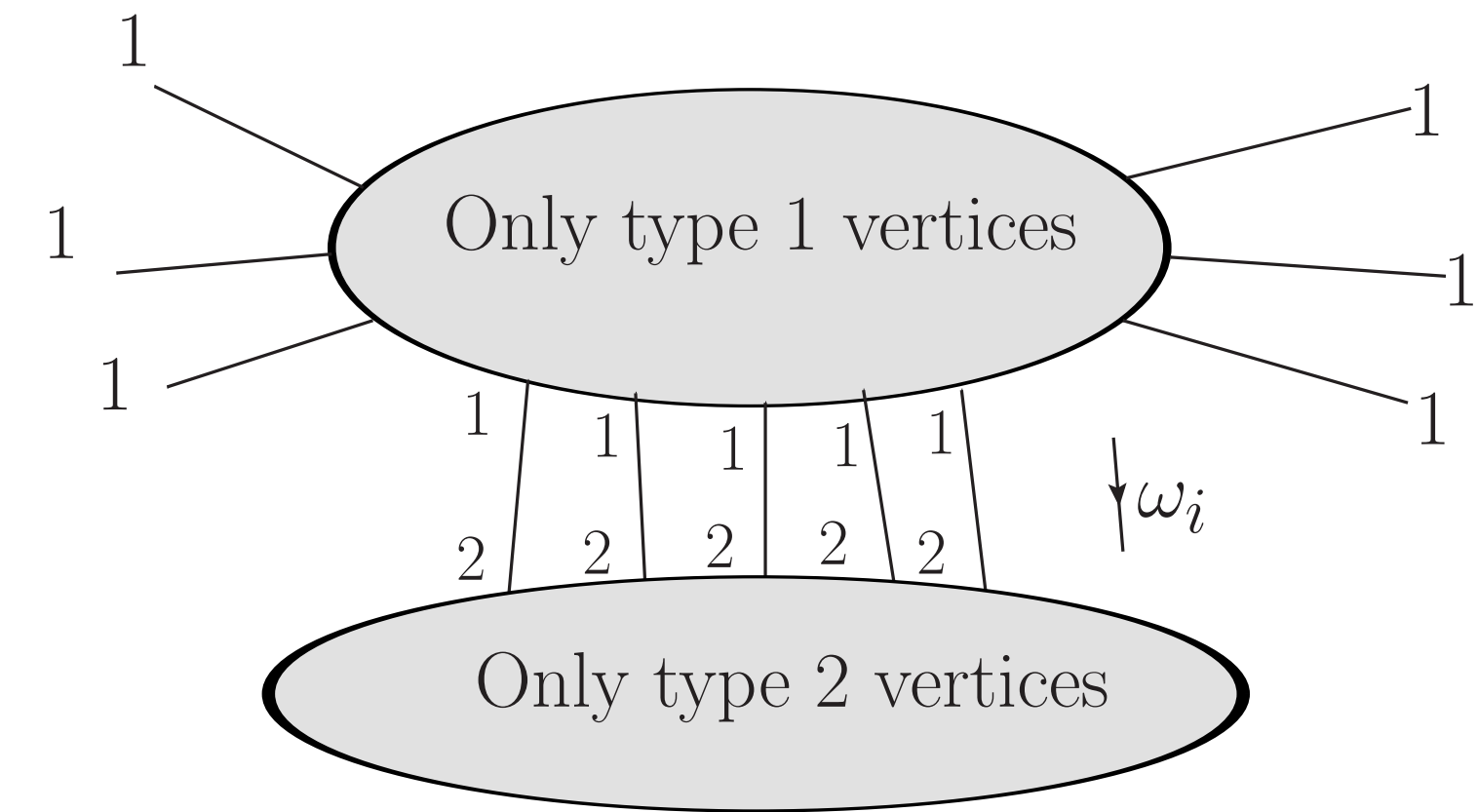
- Recall that the bare spf is  $\rho_B(\omega) = 2\pi\epsilon(\omega)\delta(\omega^2 - E_k^2)$
- Important: time-dependent observables can also be obtained from the Matsubara formalism, but one needs to perform analytical continuations (after all the sums have been performed).

$$D^>(-i\tau) = G_E(\tau)$$

# The ``1-2'' formalism

## Why there is no doubling in vacuum

- For a time-ordered vacuum expectation value all external fields are type ``1''. ``2'' fields can only appear in islands
- They are thus connected to the rest of the diagram by  $D^>(\omega_i)$  propagators
- $n \geq 1$   $\omega_i$  have to be negative by momentum conservation.
- Recall that  $D^>(\omega) = (1 + n_B(\omega))\rho_B(\omega) \rightarrow \theta(\omega)\rho_B(\omega)$  at zero temperature: the familiar statement that forward Wightman vac. amplitudes have support at positive frequencies only is what prevents these islands from popping up



# The ``1-2'' formalism

Are we ready to go?

$$\frac{dN_\gamma}{d^4 X d^3 k} \equiv \frac{d\Gamma_\gamma}{d^3 k} \stackrel{k\parallel z}{=} \frac{-e^2}{(2\pi)^3 2k} \int d^4 X e^{ik(t-z)} \langle J^\mu(0) J_\mu(X) \rangle$$

- This is a < Wightman function,  $\Pi^<(K)$ . We could in principle just take these propagators and Feynman rules and compute. However
  - this basis is not optimal, and we have not discussed **cutting rules** yet
  - we will rapidly run into failures of the loop expansion