



Bubble nucleation from vacuum initial conditions

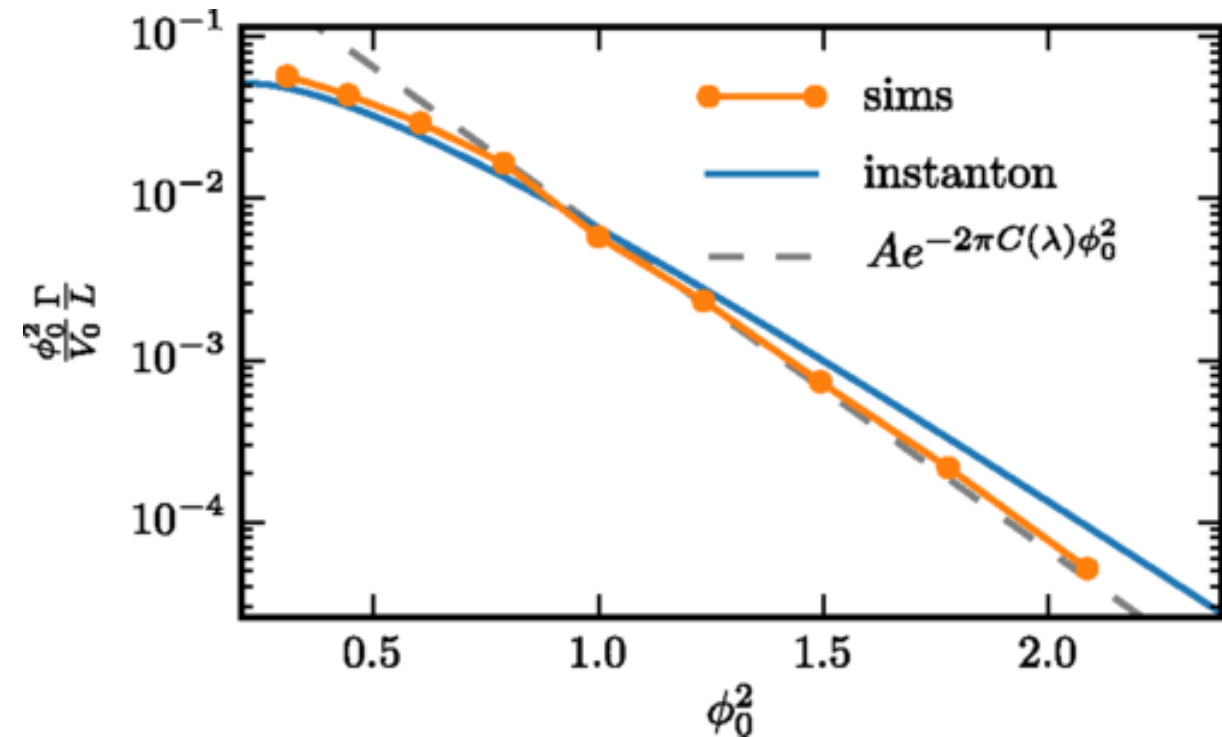
Testing the classical-statistical approximation for quantum tunneling
[\[JHEP09\(2022\)206\]](#)

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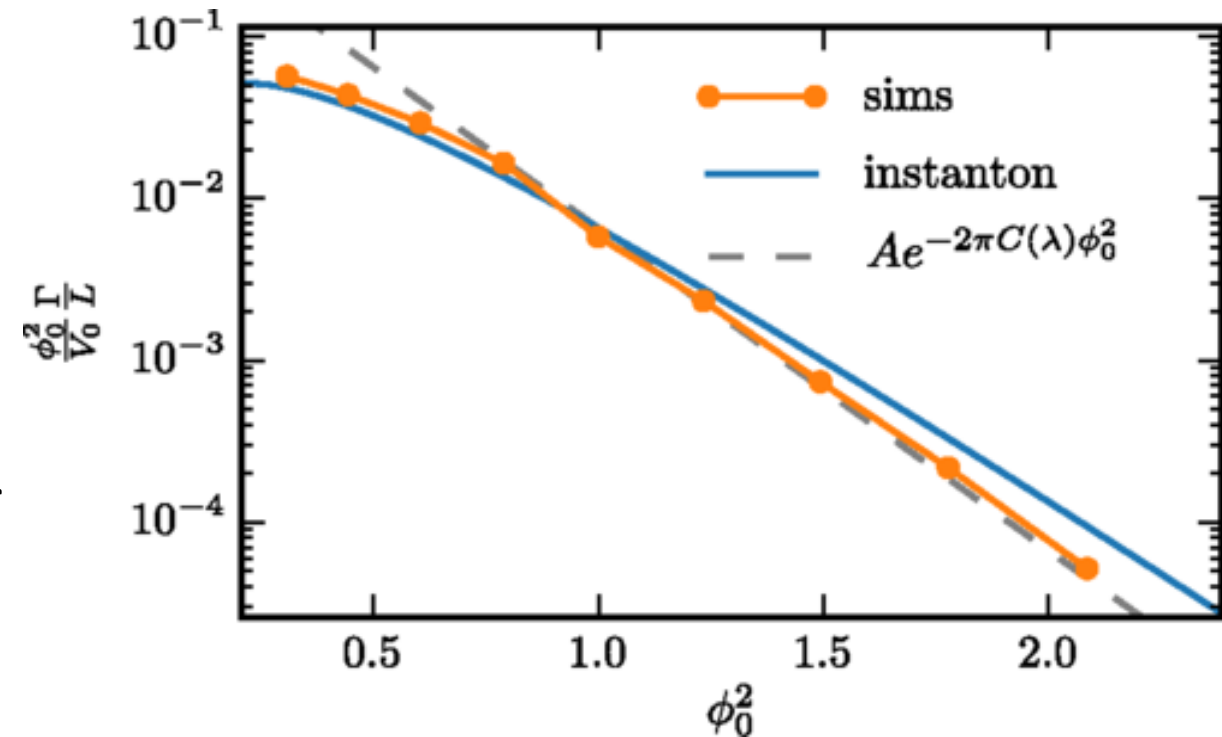
Previous work on semiclassical vacuum decay

- Braden et al: A New Semiclassical Picture of Vacuum Decay [[PhysRevLett.123.031601](#)]



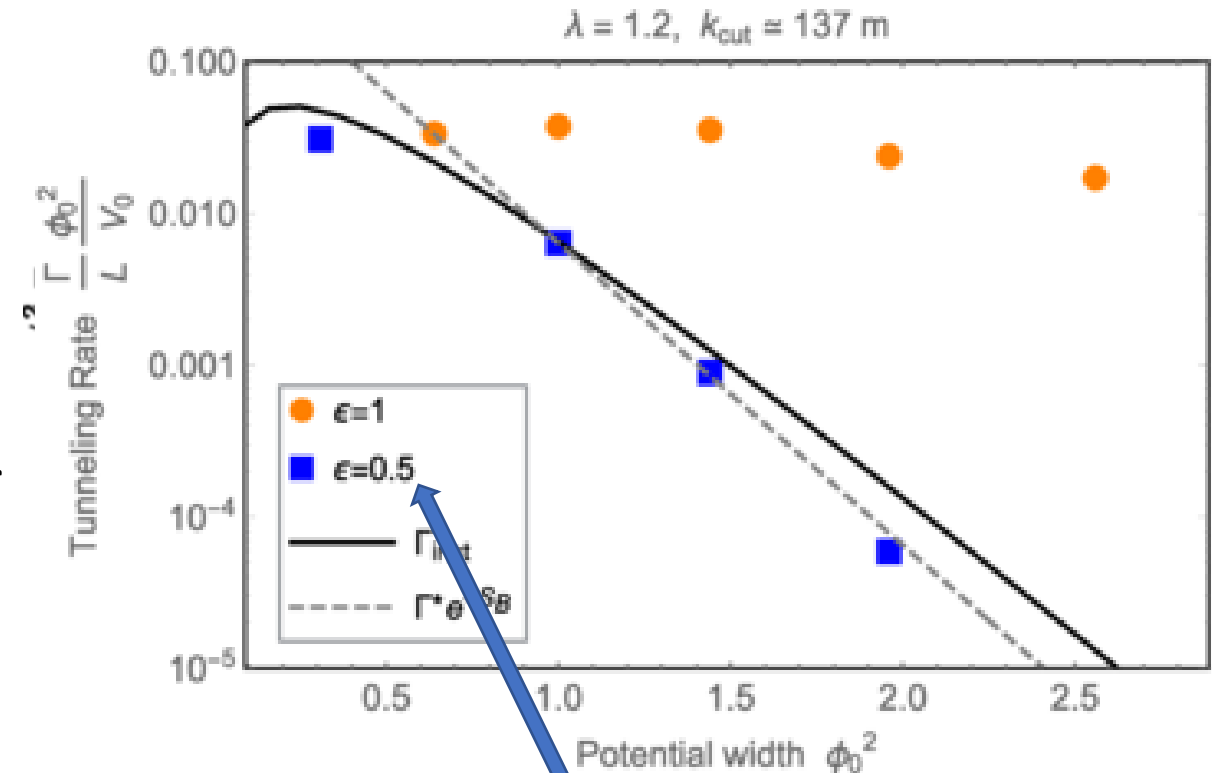
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Previous work on semiclassical vacuum decay

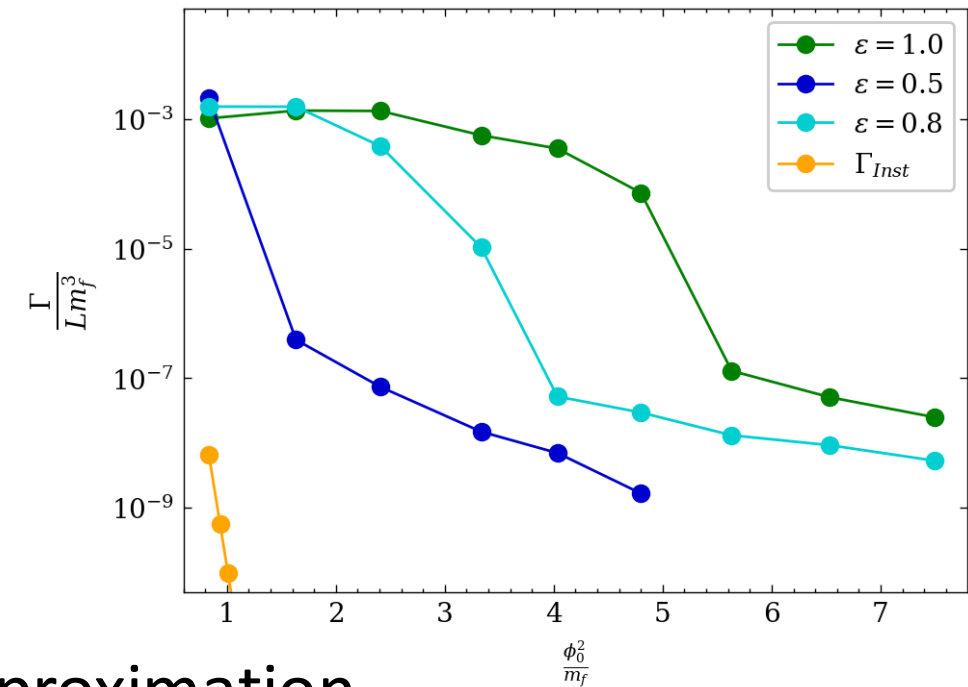
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Changes 1/2 to 1/8

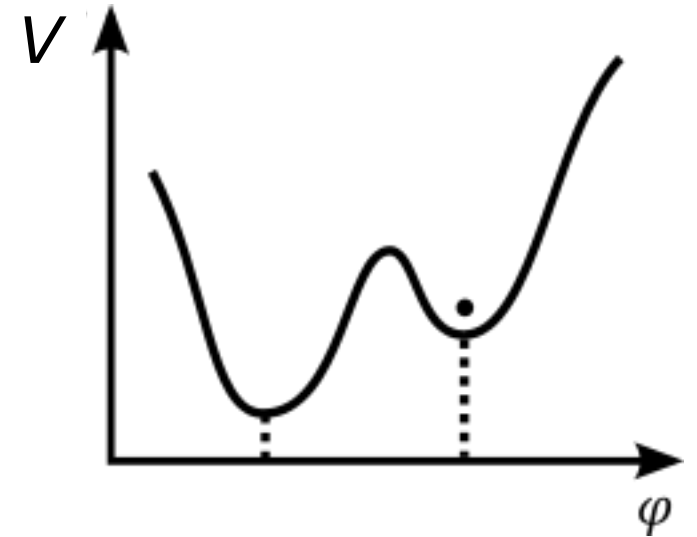
Overview

- Transition rate: 1st order phase transition
- Introduction of the toy model
- Introduction of the method:
 - Classical-statistical simulation as approximation to out-of-equilibrium QFT
 - > in particular: Initial vacuum fluctuations
- Results: Focus on generalizability to higher dimensions



1st order phase transition

- Transition from local minimum to global minimum
- Dynamics of phase transition:



Classical dynamics vs quantum tunneling

Bubble is formed – E_{crit} is required

For a thermal state:

$$P \propto e^{-\frac{E_{\text{crit}}}{T}}$$

"Bubble lives in space"

No extra energy is required!

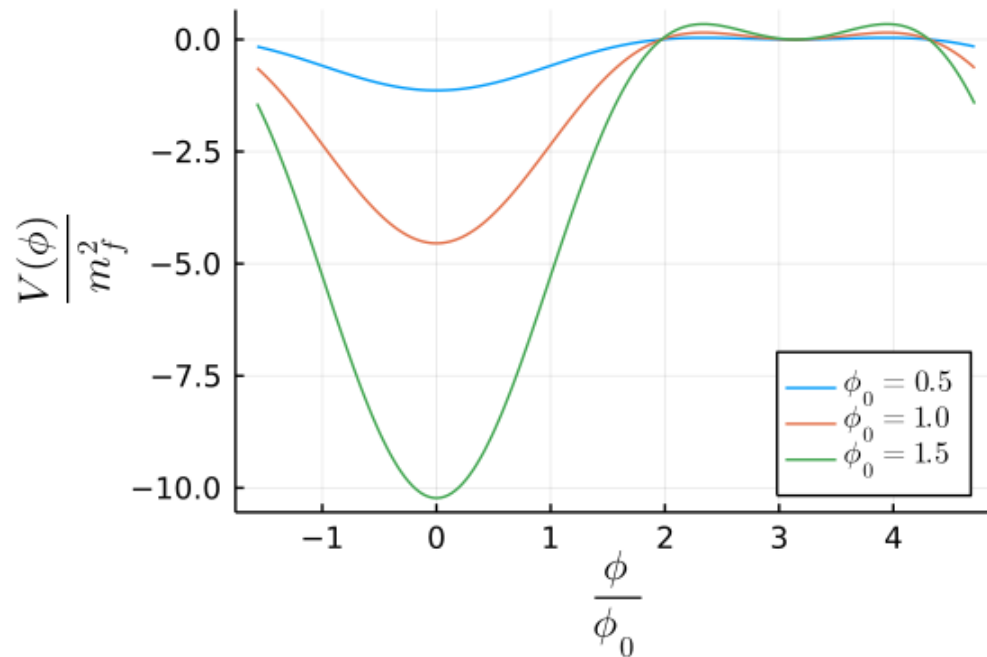
For vacuum state:

$$P \propto e^{-S_B}$$

"Bubble lives in space-time"
(Instanton)

The toy model

$$S = \int dx^{d+1} \left[\frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V_0 \left(-\cos \left(\frac{\phi}{\phi_0} \right) + \frac{\lambda^2}{2} \sin^2 \left(\frac{\phi}{\phi_0} \right) - 1 \right) \right]$$



$$m_f^2 = \left. \frac{d^2 V}{d\phi^2} \right|_{\phi=\phi_{\text{local}}} = \frac{V_0}{\phi_0^2} (-1 + \lambda^2)$$

Parameters:

$$\lambda = 1.2 \quad m_f \quad \phi_0$$

Classical-statistical simulation

- Ensemble of initial conditions

$$\langle \phi_{\mathbf{k}} \phi_{\mathbf{k}'} \rangle = \frac{n_{\mathbf{k}} + 1/2}{\omega_{\mathbf{k}}} \delta_{\mathbf{k}-\mathbf{k}'}^d \quad \langle \pi_{\mathbf{k}} \pi_{\mathbf{k}'} \rangle = (n_{\mathbf{k}} + 1/2) \omega_{\mathbf{k}} \delta_{\mathbf{k}-\mathbf{k}'}^d$$

- Evolved with classical equations of motions

$$\dot{\pi} = \nabla^2 \phi - V'(\phi) \quad \dot{\phi} = \pi$$

- Observables obtained from averaging over independent configurations

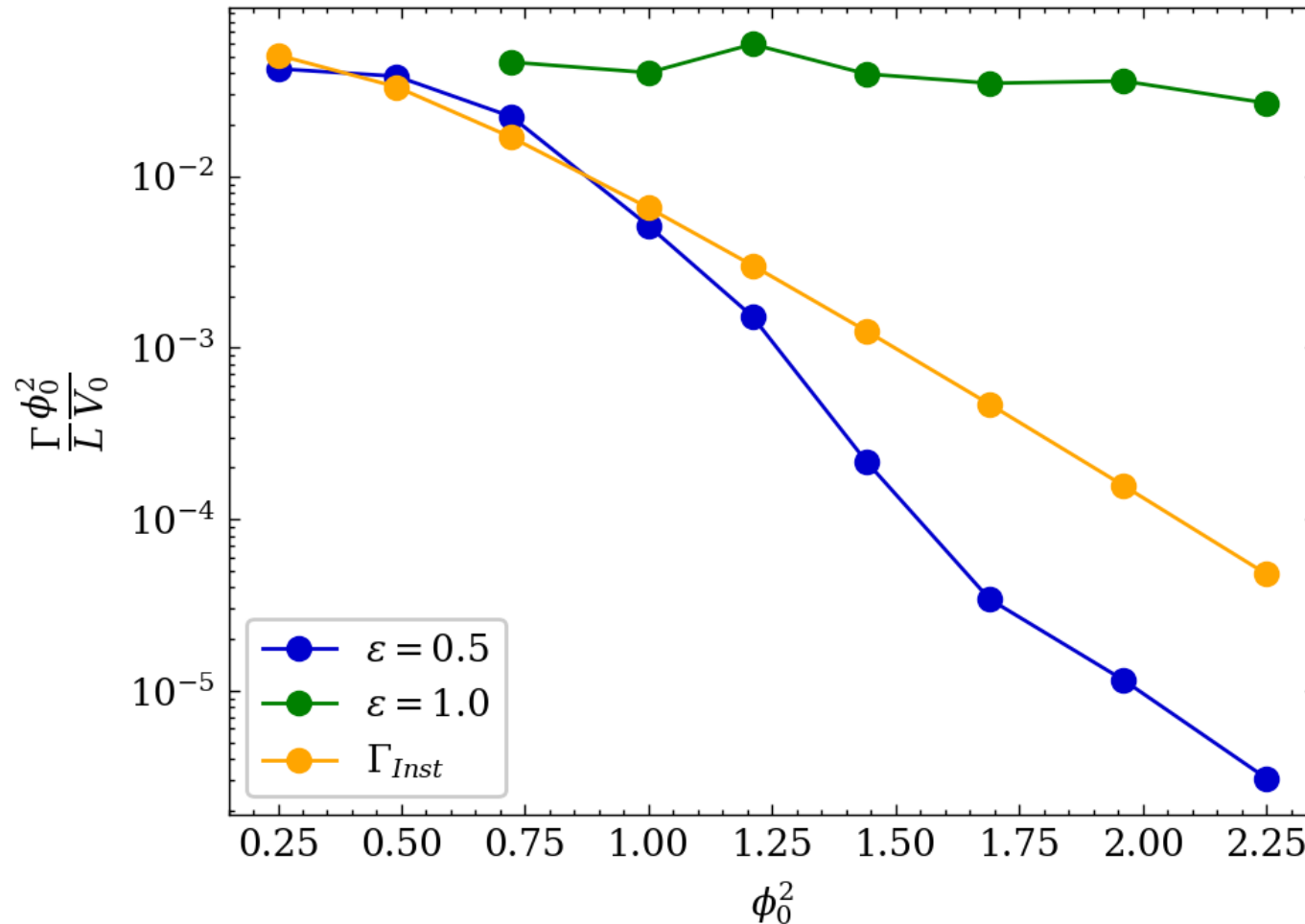
$$\langle O \rangle = \frac{1}{N} \sum_i O_i$$

- (Only ?) Reliable if: $n_{\mathbf{k}} \gg 1$ (For appropriate observables, e.g. not $[\phi_{\mathbf{k}}, \pi_{\mathbf{k}}]$)

Classical-statistical simulation and "the half"

- Under certain circumstances $n_k \gg 1$ does not need to be true at $t = 0$
 - > ok, if n_k grow large before self-interactions become important.
(Relies on linearity of equation of motions)
- E.g. Weakly coupled scalar field in expanding background, resonant preheating, tachyonic preheating,...
- In general problematic: $\frac{1}{2}$ does not stay put in CS -> thermal distribution is not Bose-Einstein distribution!

1+1 dimensions



- Initial vacuum fluctuations

$$\langle \phi_{\mathbf{k}} \phi_{\mathbf{k}'} \rangle = \epsilon^2 \frac{1}{2\omega_k} \delta_{\mathbf{k}-\mathbf{k}'}$$

$$\langle \pi_{\mathbf{k}} \pi_{\mathbf{k}'} \rangle = \epsilon^2 \frac{\omega_k}{2} \delta_{\mathbf{k}-\mathbf{k}'}$$

$$\langle \phi \rangle = \pi \phi_0$$

- Reproduces result of [\[PhysRevLett.123.031601\]](#)
[\[PhysRevD.102.076003\]](#)

Initial data

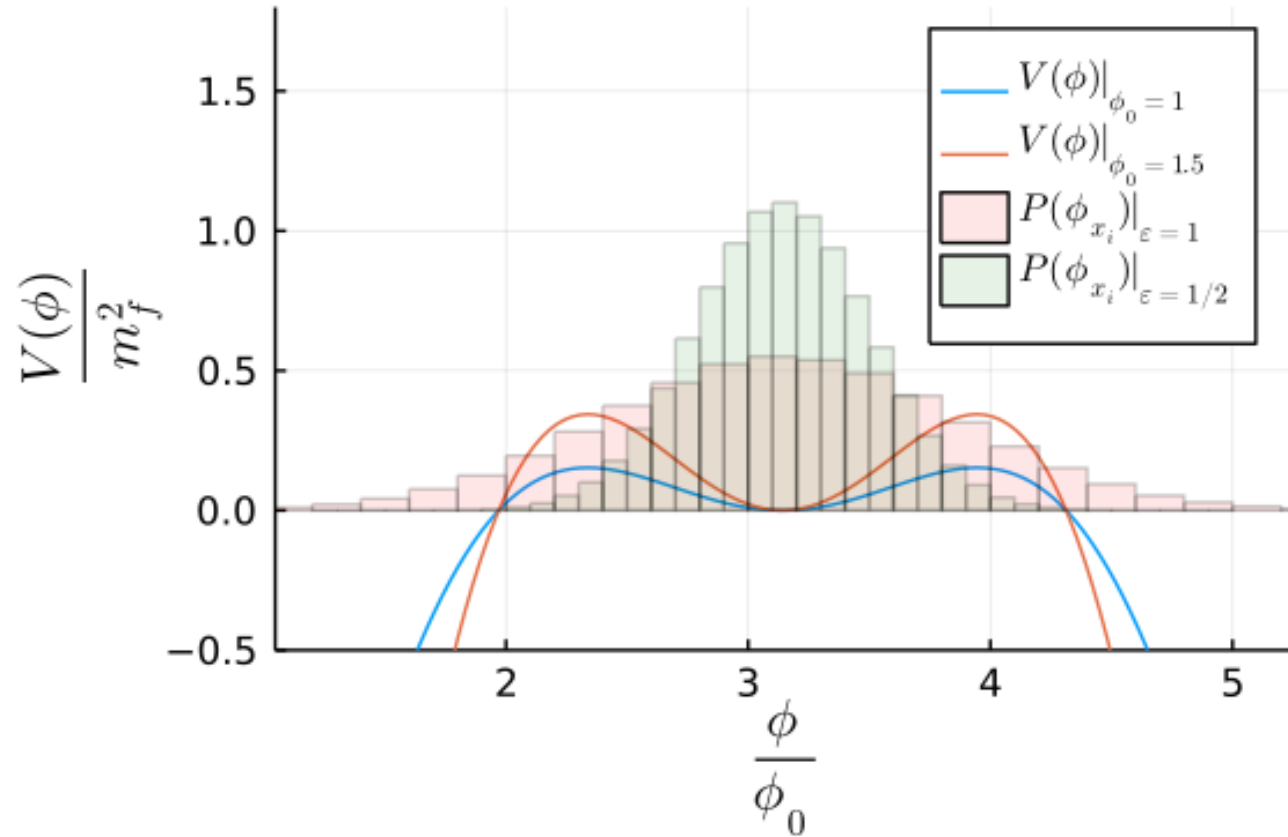
$$\langle \phi_{\mathbf{k}} \phi_{\mathbf{k}'} \rangle = \epsilon^2 \frac{1}{2\omega_k} \delta_{\mathbf{k}-\mathbf{k}'}$$

$$\langle \pi_{\mathbf{k}} \pi_{\mathbf{k}'} \rangle = \epsilon^2 \frac{\omega_k}{2} \delta_{\mathbf{k}-\mathbf{k}'}$$

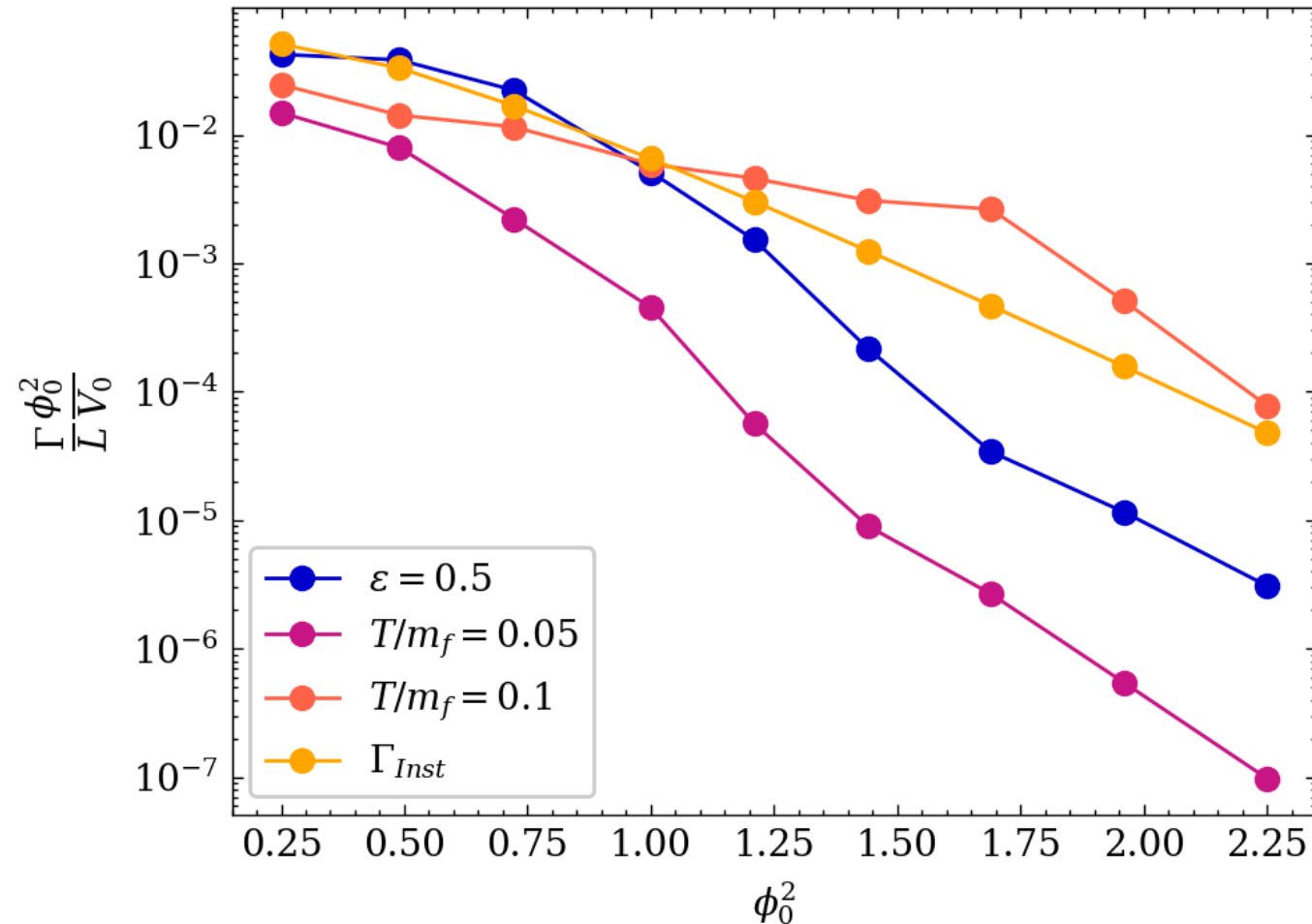
Evolution

$$\dot{\pi} = \nabla^2 \phi - V'(\phi)$$

$$\dot{\phi} = \pi$$



1+1 dimensions



- Initial thermal state

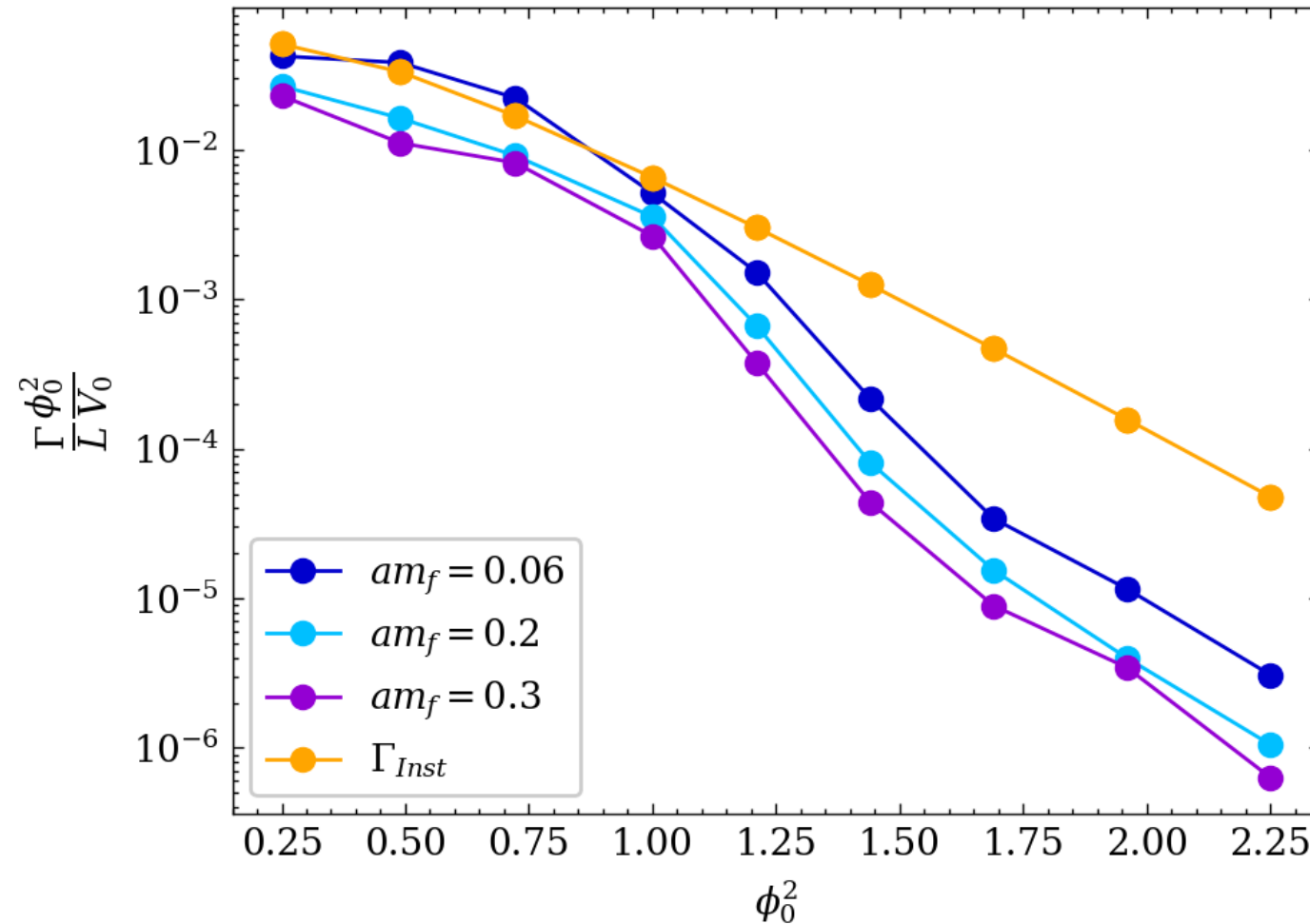
$$\langle \phi_{\mathbf{k}} \phi_{\mathbf{k}'} \rangle = \frac{T}{\omega_k^2} \delta_{\mathbf{k}-\mathbf{k}'}$$

$$\langle \pi_{\mathbf{k}} \pi_{\mathbf{k}'} \rangle = T \delta_{\mathbf{k}-\mathbf{k}'}$$

$$\langle \phi \rangle = \pi \phi_0$$

- $T/m_f = 0.1$
Approximates
Instanton as
well!

1+1 dimensions



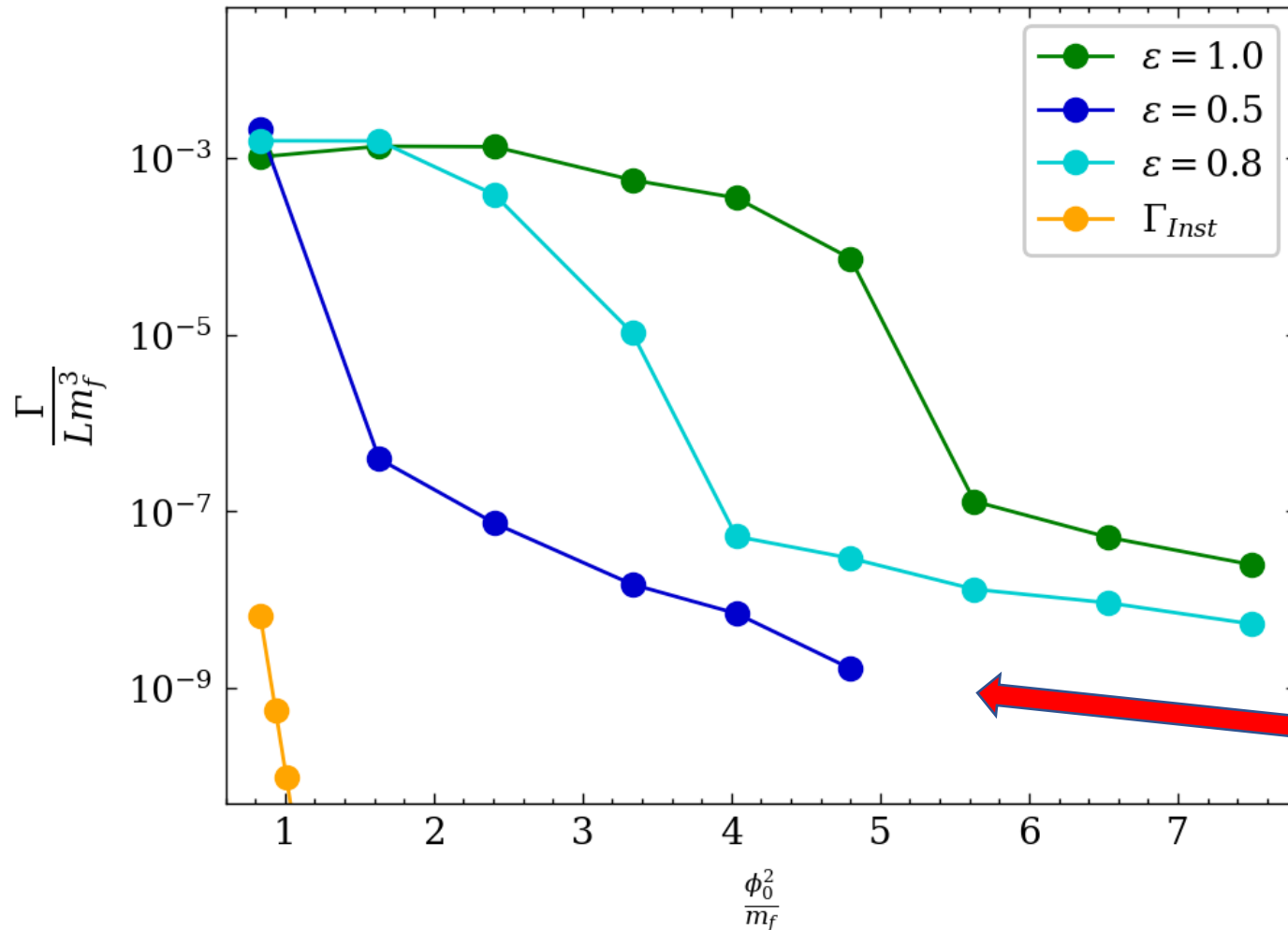
- Cut off variation:

- > Reduced lattice spacing a
- > Increased momentum cut off
- > more energy available
- > higher transition rates

- So far:

- > quantum $\frac{1}{2}$ is not the point
- > parameters to tune result:
 ε, T, m_f

2+1 dimensions



- Initial vacuum fluctuations

$$\langle \phi_{\mathbf{k}} \phi_{\mathbf{k}'} \rangle = \epsilon^2 \frac{1}{2\omega_k} \delta_{\mathbf{k}-\mathbf{k}'}$$

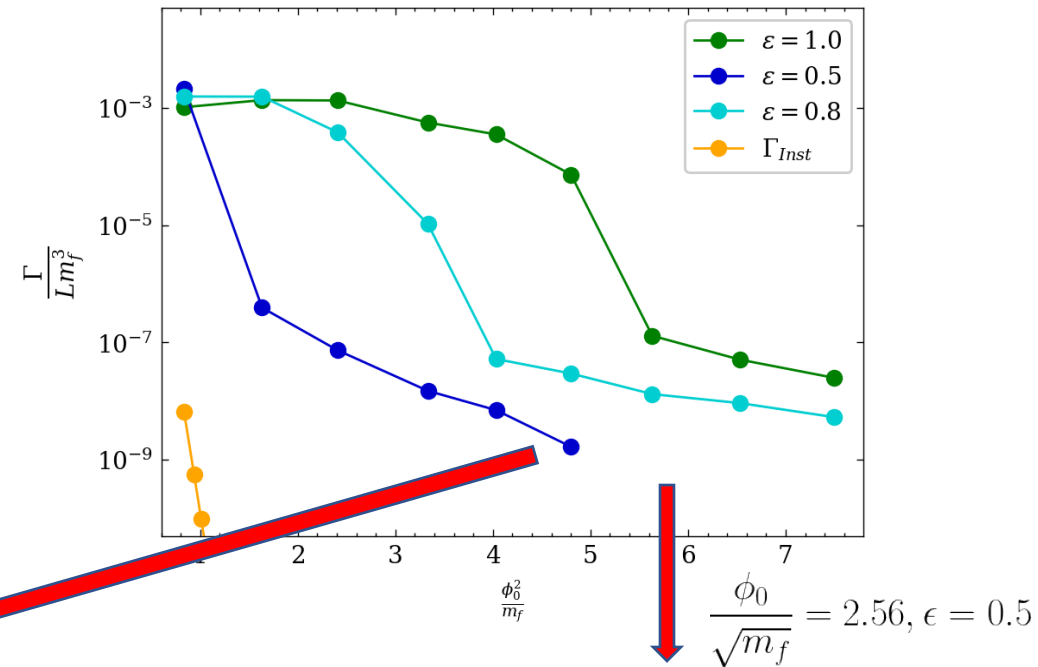
$$\langle \pi_{\mathbf{k}} \pi_{\mathbf{k}'} \rangle = \epsilon^2 \frac{\omega_k}{2} \delta_{\mathbf{k}-\mathbf{k}'}$$

$$\langle \phi \rangle = \pi \phi_0$$

-> CS orders of magnitude off Instanton result

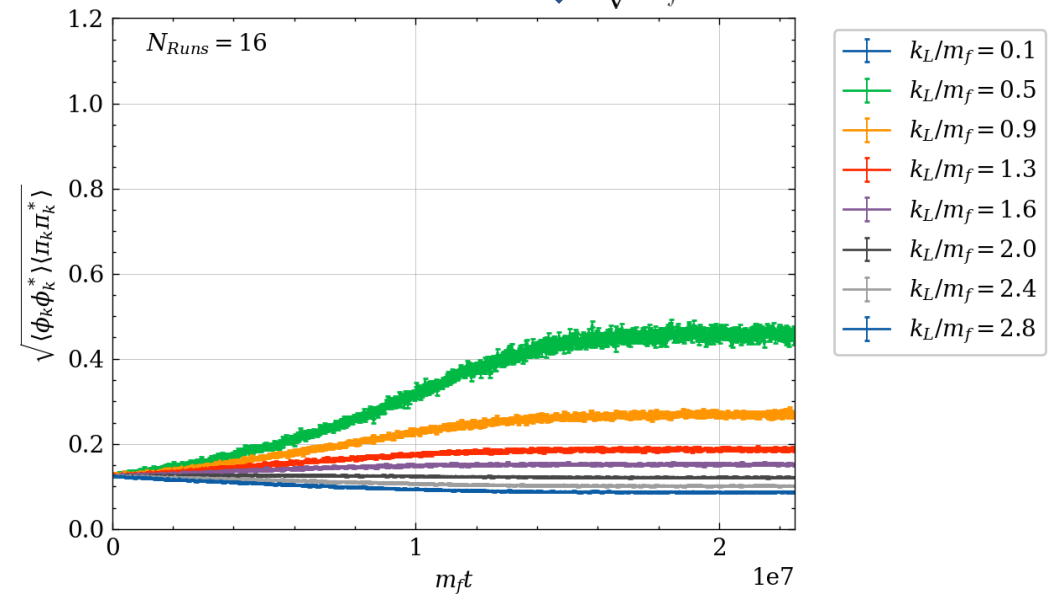
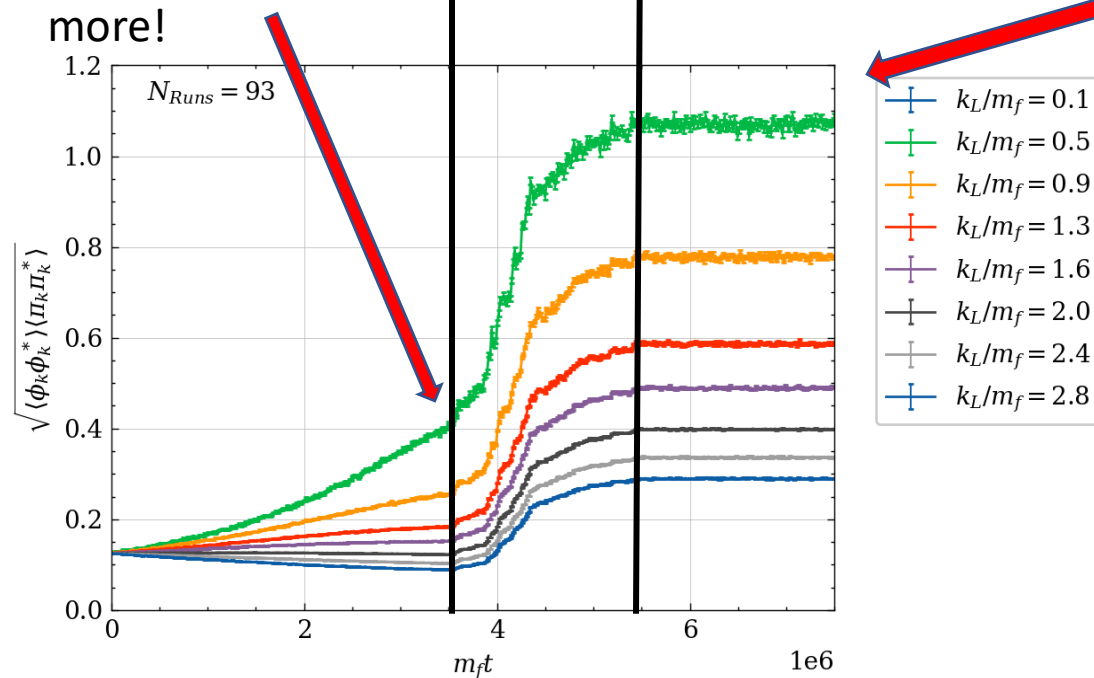
Thermal state (classical!) reached before transition occurs!

Occupation numbers

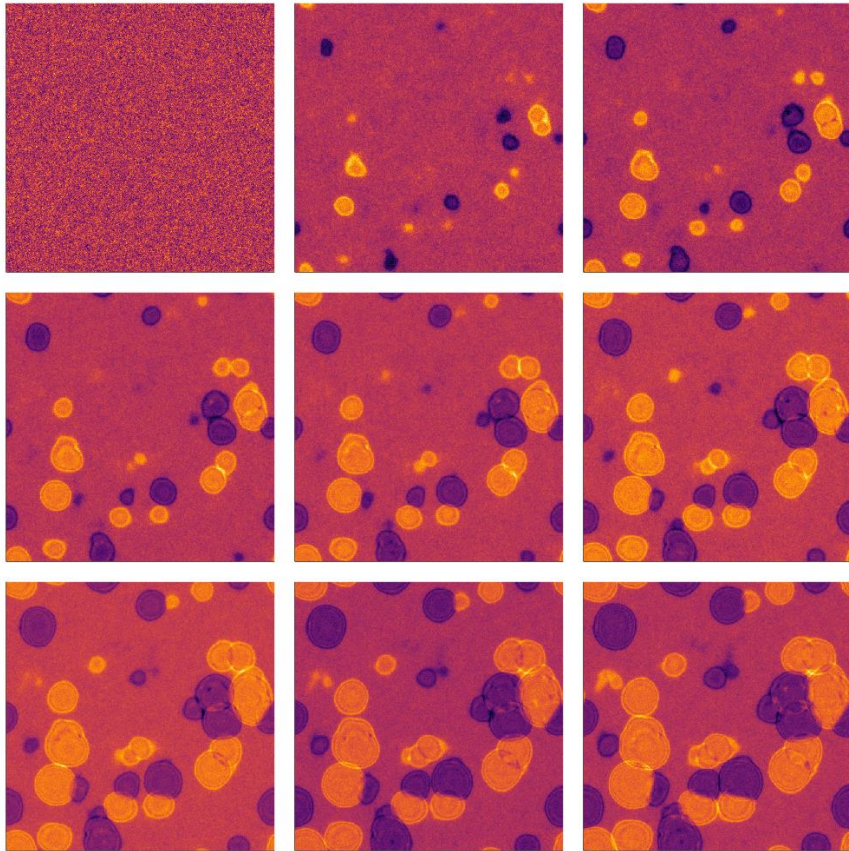


No quantum half state any more!

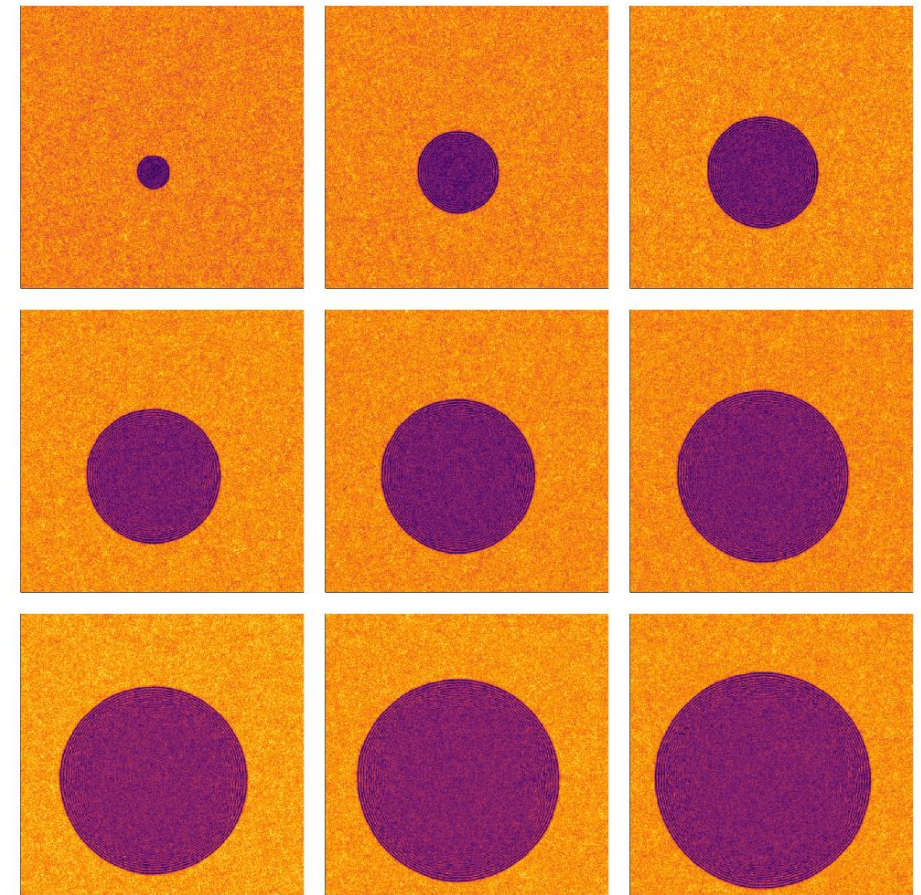
Time when individual transitions take place



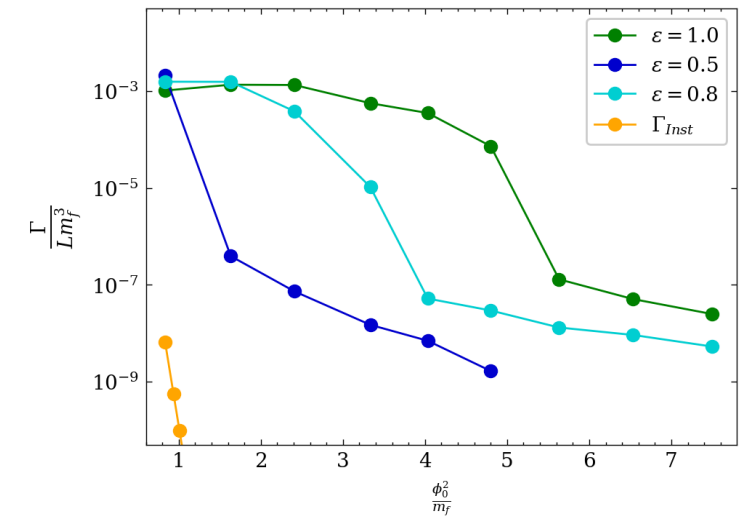
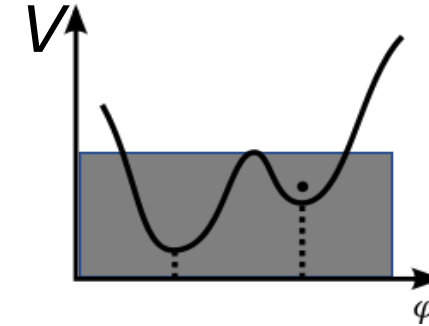
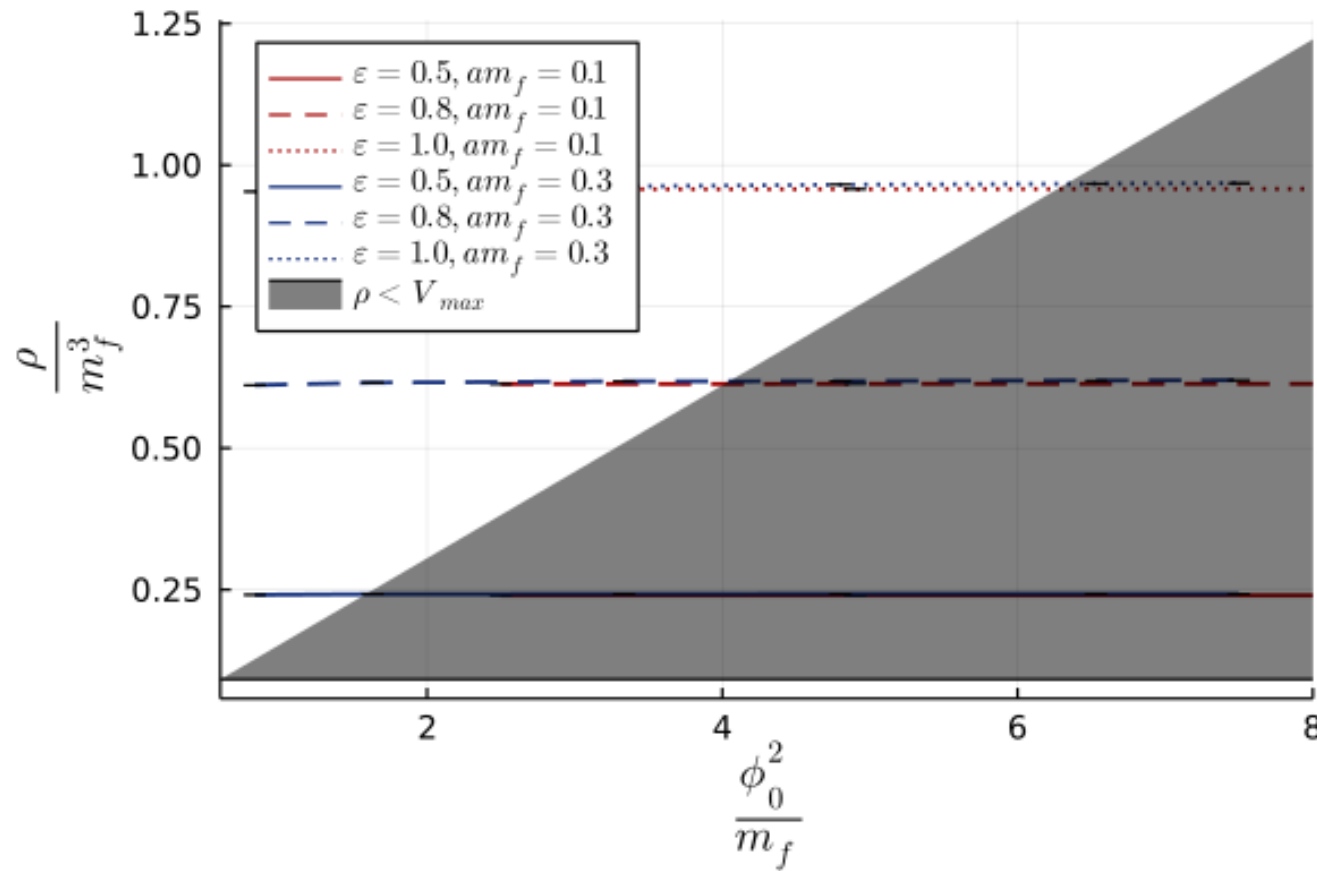
2+1 dimensions: Configurations



High / low
Transition rate



Average energy density in 2+1 dimensions

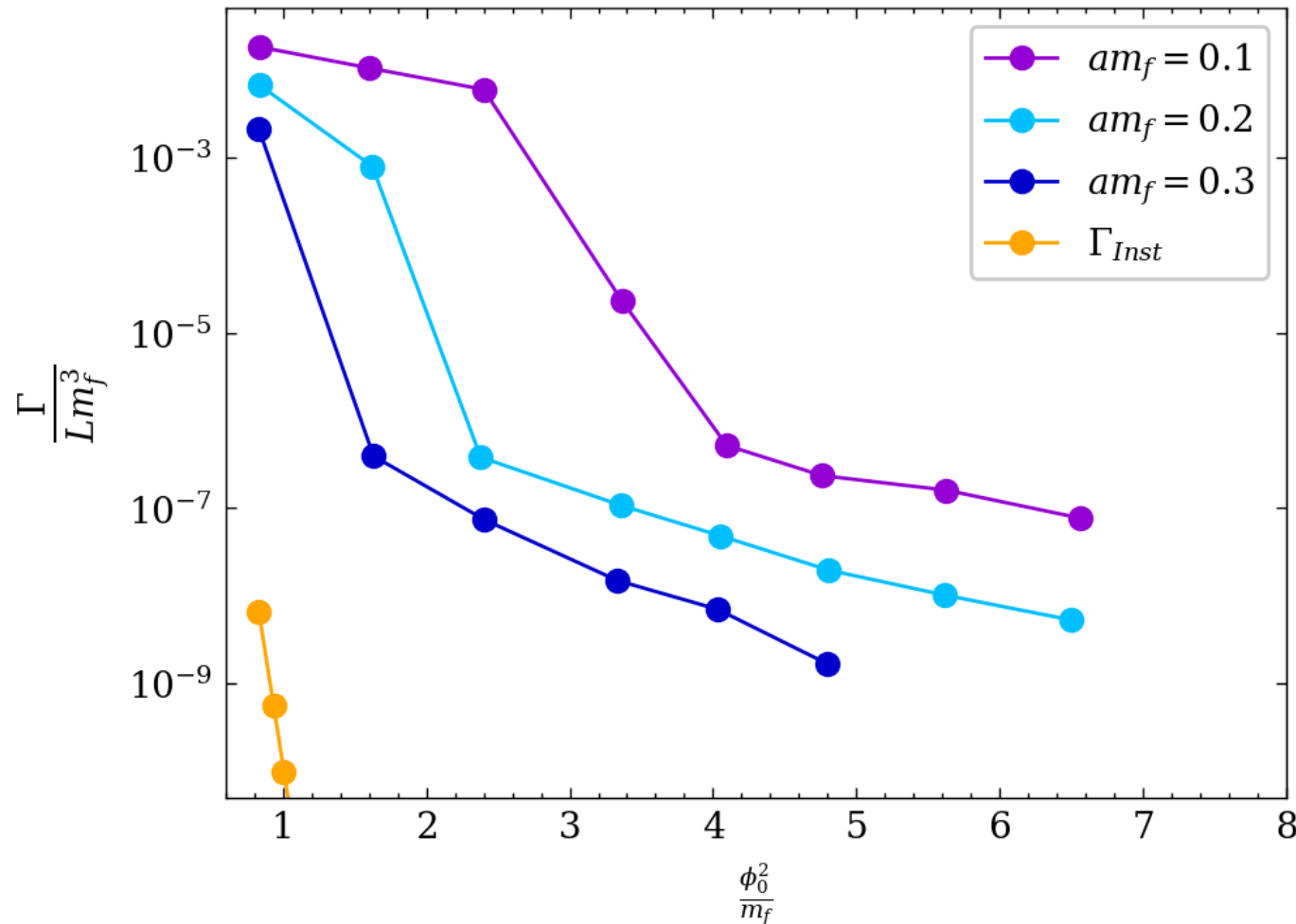


Conclusion

- Parametrical agreement for vacuum decay in 1+1 dimensions exist
 - But not for the $\frac{1}{2}$ initial condition – it requires tuning with fudge-factor or the cut-off
 - And it also appears for instance for a thermal initial state – tuning T
- In 2+1 dimensions, no such agreement exists
- 3+1 dimensional simulations of this type take too long to complete. Other methods need to be used. Gould, Güyer, Rummukainen (PhysRevD.106.114507) / Moore, Rummukainen, Tranberg (hep-lat/0101018)
- There is no basis to claiming that classical-statistical simulations can approximate quantum bubble nucleation/false vacuum decay.
- For a non-vacuum initial state, the classical rate is much larger than the instanton rate, and classical-statistical methods (or stochastic methods) may be used.

Backup

2+1 dimensions



- Cut off variation:

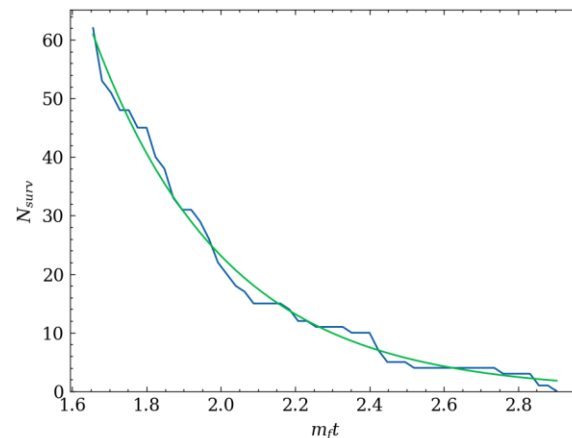
- > Reduced lattice spacing a
- > Increased momentum cut off
- > more energy available
- > higher transition rates

- > cut off dependence much higher than in 1+1 dimensions

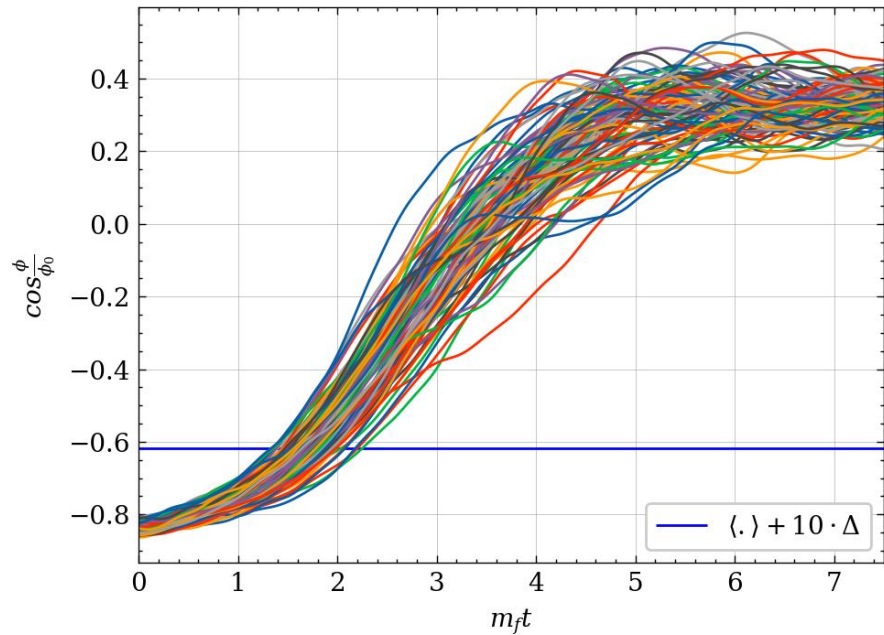
Order parameter

- Lattice average: $\langle \cos(\phi/\phi_0) \rangle$
- Definition of transitioned configuration

$$\langle \cos(\phi/\phi_0) \rangle > \langle \cos(\phi/\phi_0) \rangle_{t=0} + 10\Delta_{t=0}$$

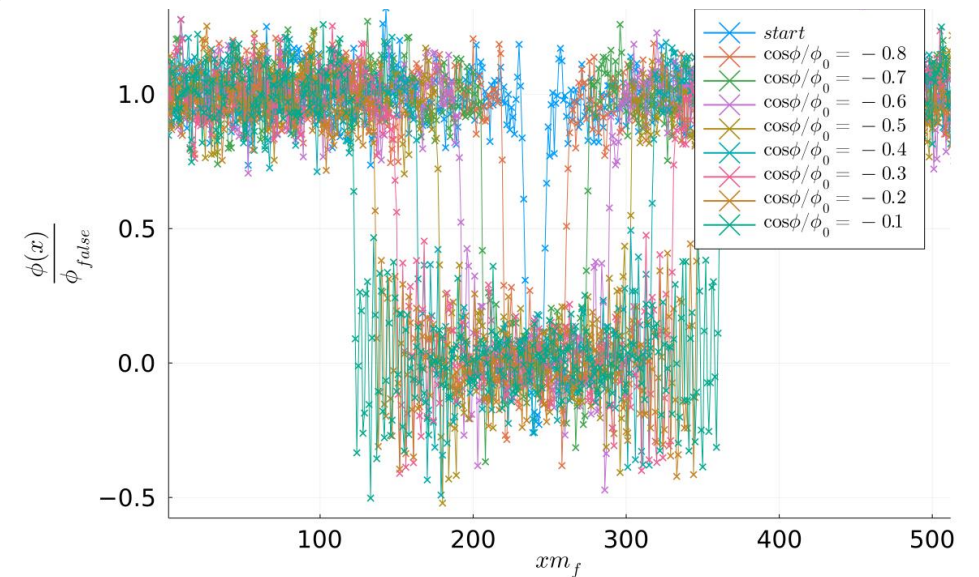
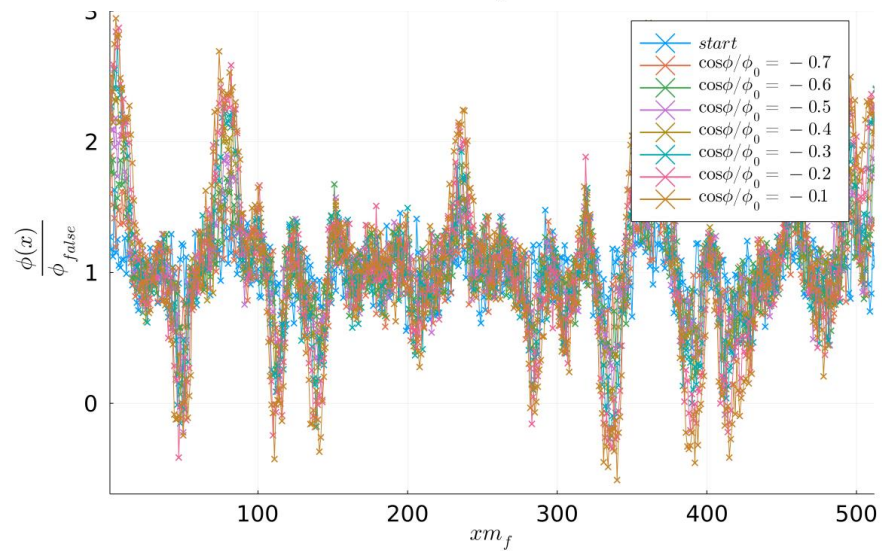
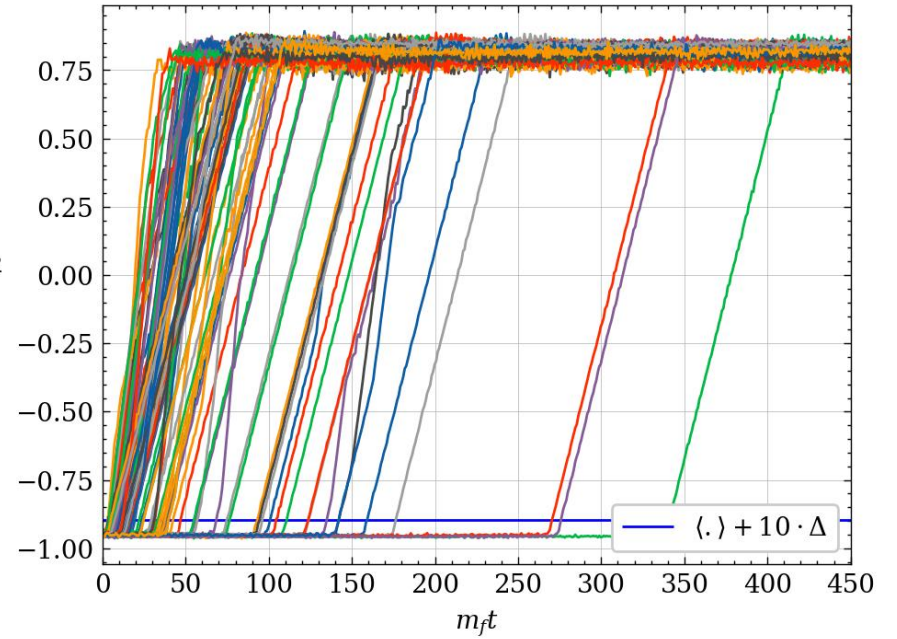


Ensemble average of 100 simulations

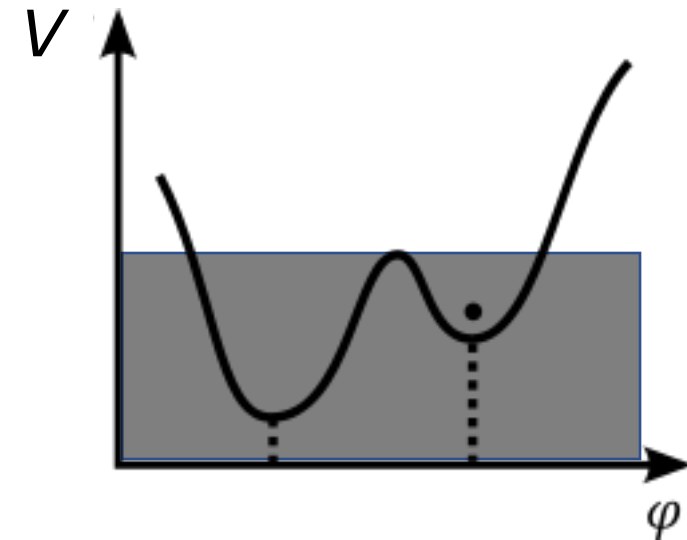
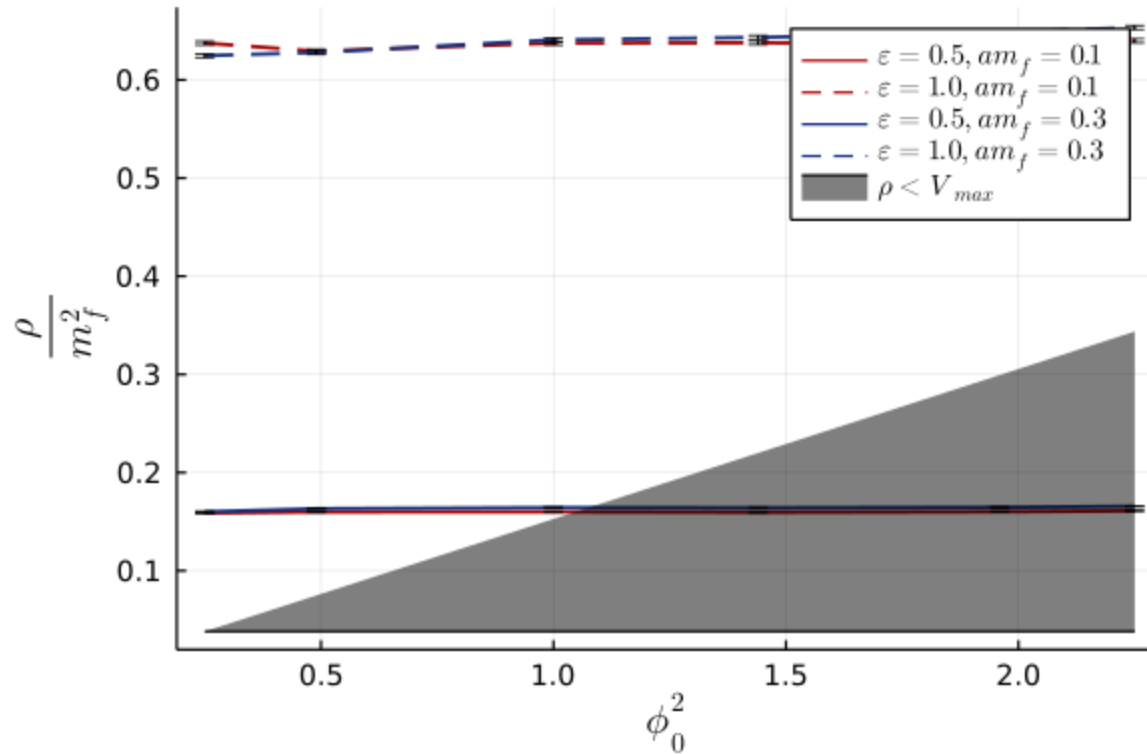


Taking a look at
order parameter
&
configurations:

High / low
Transition rate



Average energy density in 1+1 dimensions



Bubble nucleation E_{crit}

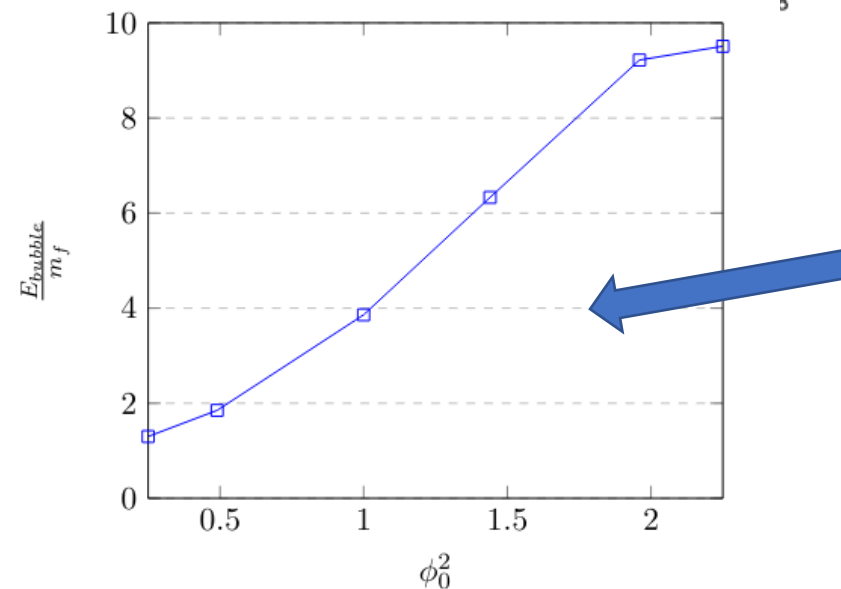
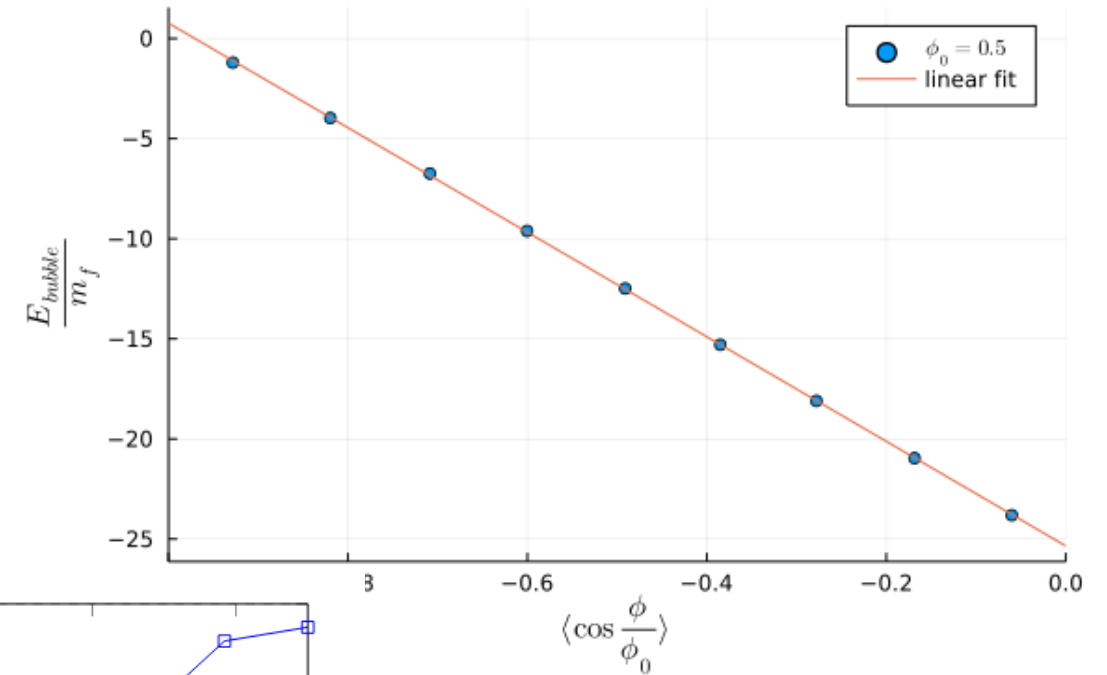
Simple model for bubble nucleation:

$$E_1 = 2\sigma + 2R\Delta V$$

$$\left\langle \cos \frac{\phi}{\phi_0} \right\rangle = \frac{4R - N_x}{N_x}$$

Energy to form a "critical bubble":

$$E_{\text{bubble}} = 2\sigma$$



No classical transition possible – only quantum tunneling!

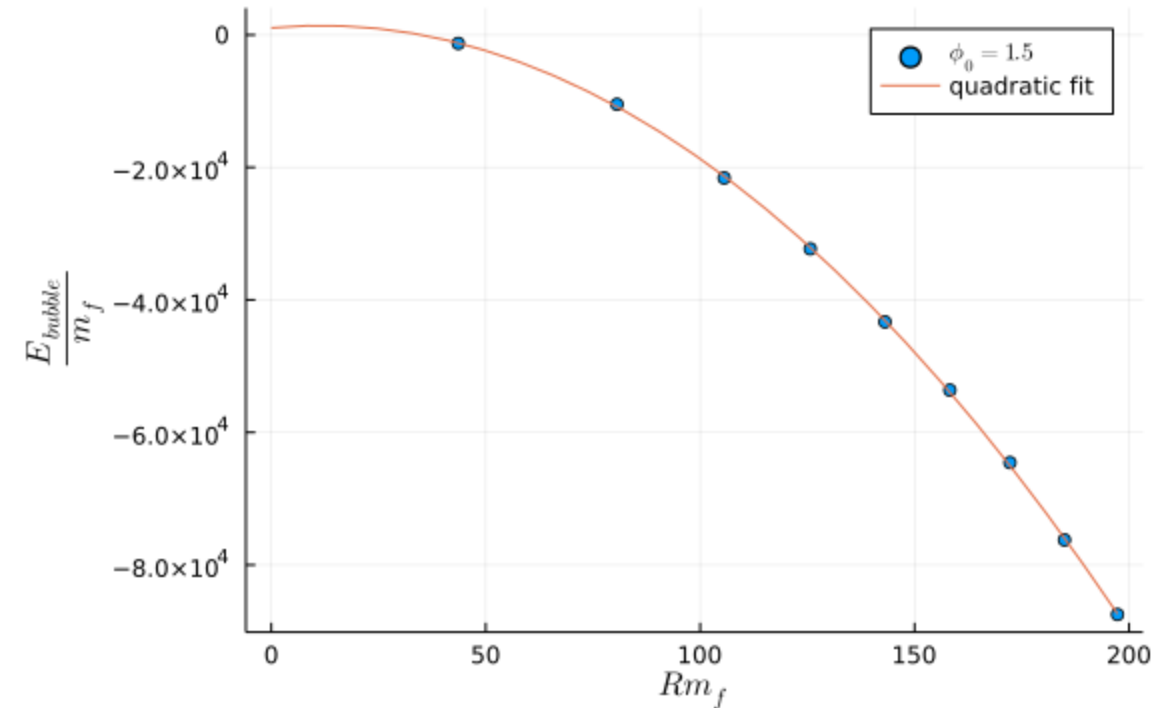
Bubble nucleation E_{crit}

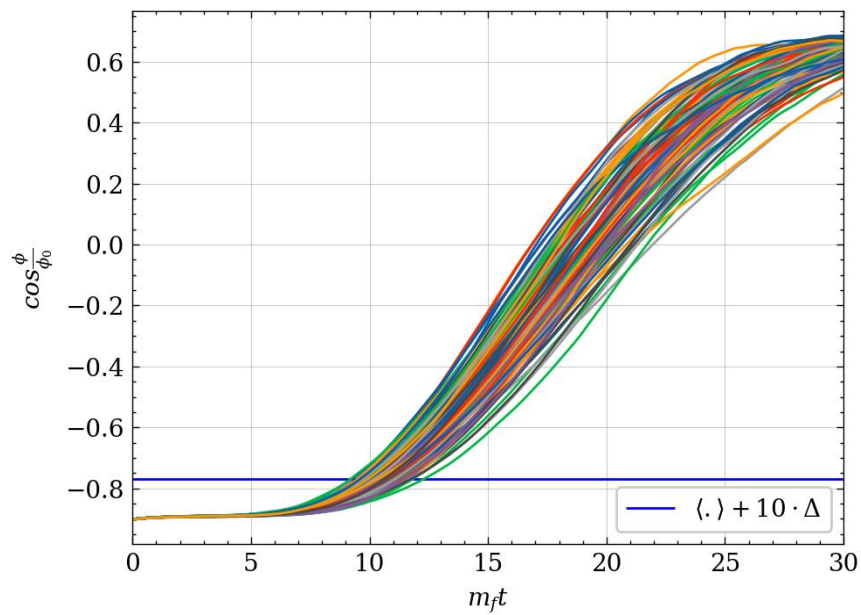
Simple model for bubble nucleation:

$$E_2 = 2\pi R\sigma + \pi R^2\Delta V$$

$$E_{\text{crit},2} = \frac{\pi\sigma^2}{\Delta V}$$

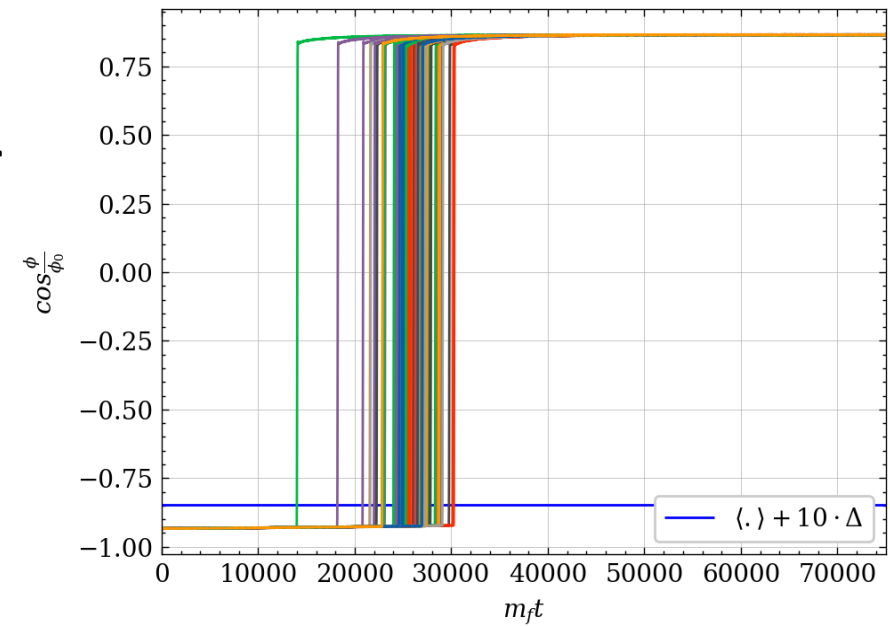
$$R_{\text{crit},2} = -\frac{\sigma}{\Delta V}$$

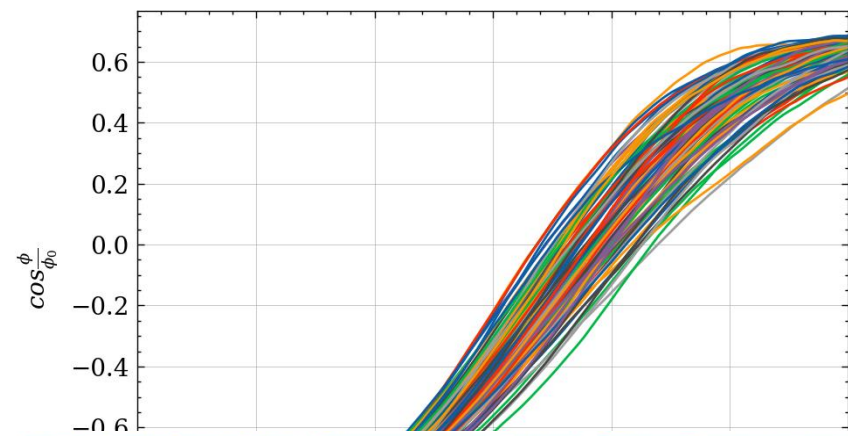




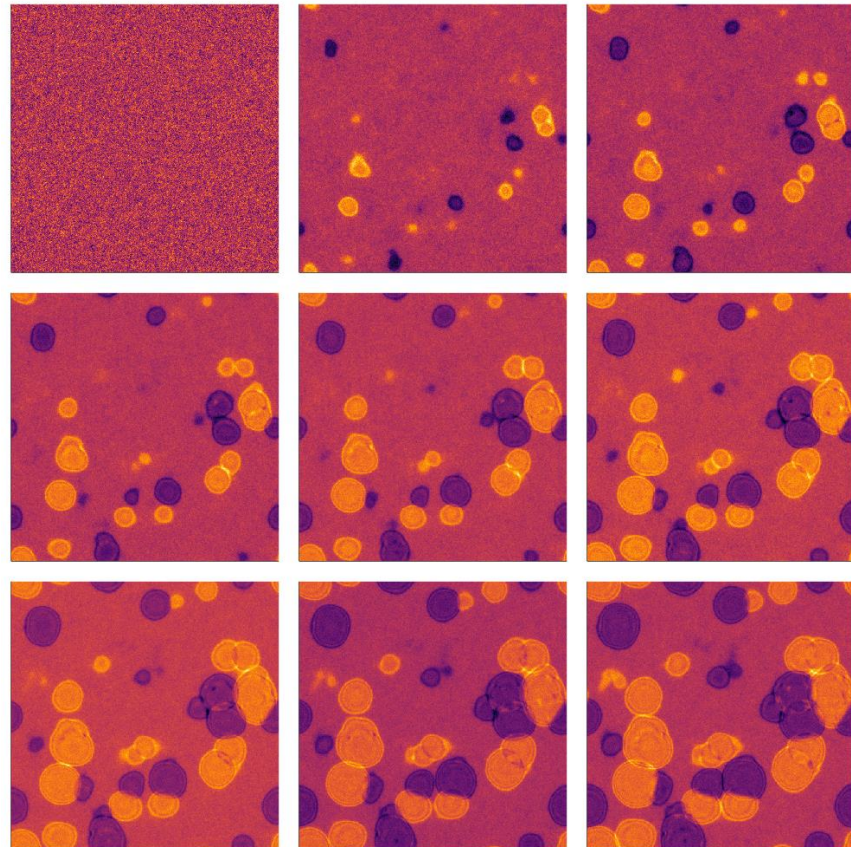
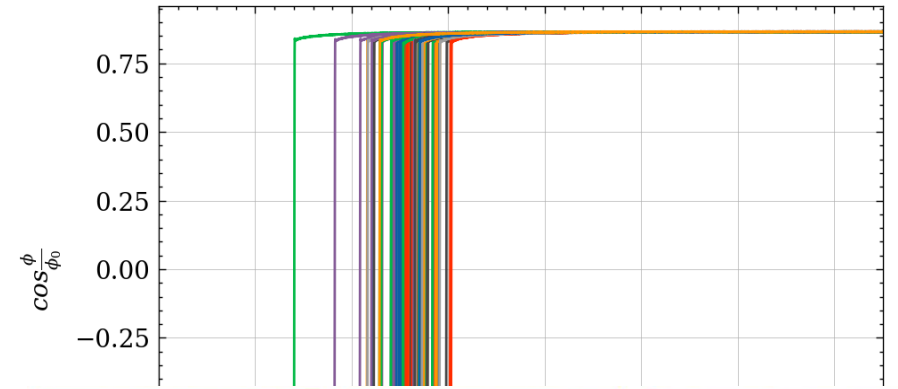
Taking a look at
order parameter
&
configurations:

High / low
Transition rate

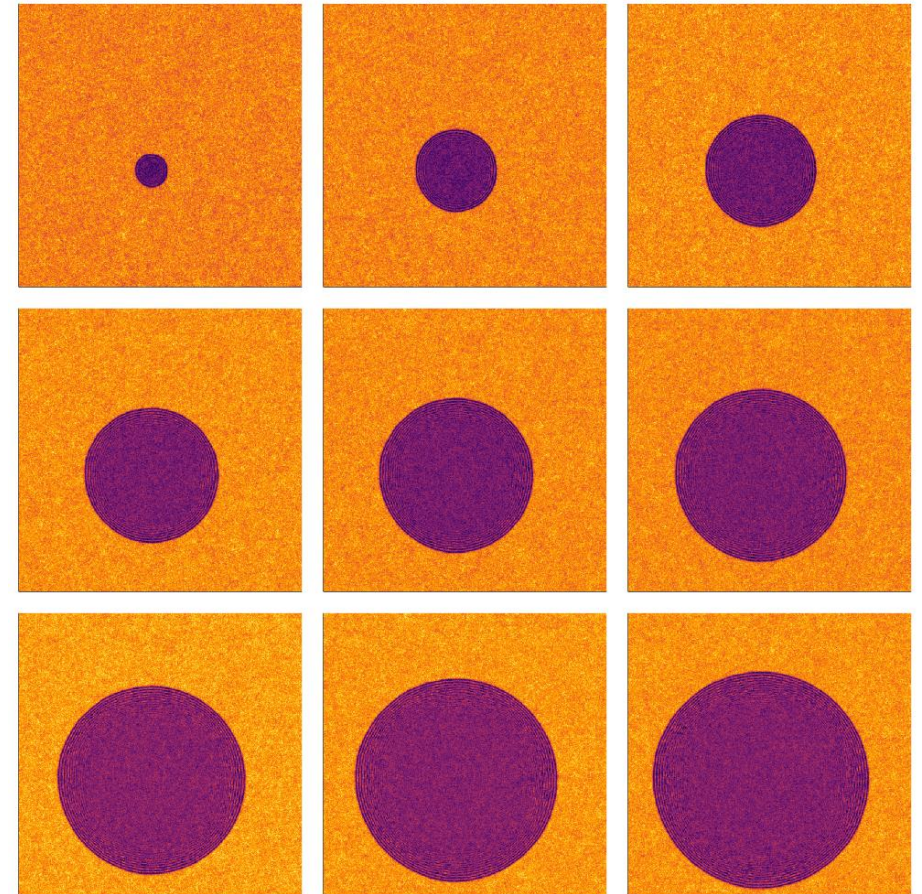




Taking a look at
order parameter
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High / low
Transition rate



Instanton calculation

- Bounce solution $\left(\partial_r^2 + \frac{d}{dr}\partial_r\right)\phi(r) = \frac{dV}{d\phi} \quad \phi(r = \infty) = \phi_{\text{local}}, \partial_r\phi(0) = 0$

- Rate in 1+1 dimensions $\frac{\Gamma}{L} = 2m_f^2 \left(\frac{S_B}{2\pi}\right) e^{-S_B}$

- Generalization to 2+1 dimensions

$$\frac{\Gamma}{L^2} = 2m_f^3 \left(\frac{S_B}{2\pi}\right)^{3/2} e^{-S_B}$$