

# Tom & Jerry triples unprojection format

Vasiliki Petrotou<sup>1</sup>

<sup>1</sup>Sorbonne Université and Université Paris Cité, CNRS, IMJ-PRG

Fano varieties in Stavanger,  
April 02, 2025

## MAIN GOALS OF THE PRESENT TALK:

- 1) Introduction of Tom and Jerry triples unprojection format.
- 2) Use Tom and Jerry triples unprojection format for the construction of two families of codimension 6 Fano 3-folds described in the Graded Ring Database.

## PRELIMINARIES

**ASSUMPTION** All rings are commutative and with unit.

**DEFINITION** Assume  $A = [a_{ij}]$  is an  $m \times m$  skewsymmetric matrix,

(i.e.,  $a_{ji} = -a_{ij}$  and  $a_{ii} = 0$ ) with entries in a ring  $R$ .

- If  $m = 2\ell$  then  $\det A = f(a_{ij})^2$ .

The polynomial  $f(a_{ij})$  is called the **Pfaffian** of the matrix  $A$  and is denoted by  $Pf(A)$ .

- If  $m = 2\ell + 1$  by Pfaffians of  $A$  we mean the set

$$\{Pf(A_1), Pf(A_2), \dots, Pf(A_m)\},$$

where  $A_i$  denotes the skewsymmetric submatrix of  $A$  obtained by deleting the  $i$ th row and  $i$ th column of  $A$ .

## EXAMPLE

- For  $m = 2$  :

$$Pf\left(\begin{pmatrix} 0 & a_{12} \\ -a_{12} & 0 \end{pmatrix}\right) = a_{12}$$

- For  $m = 5$ :

$$Pf\left(\begin{pmatrix} 0 & a_{12} & a_{13} & a_{14} & a_{15} \\ -a_{12} & 0 & a_{23} & a_{24} & a_{25} \\ -a_{13} & -a_{23} & 0 & a_{34} & a_{35} \\ -a_{14} & -a_{24} & -a_{34} & 0 & a_{45} \\ -a_{15} & -a_{25} & -a_{35} & -a_{45} & 0 \end{pmatrix}\right) =$$
$$= \{Pf(A_1), Pf(A_2), \dots, Pf(A_5)\}$$

where,

$$Pf(A_1) = a_{23}a_{45} - a_{24}a_{35} + a_{25}a_{34},$$

$$Pf(A_2) = a_{13}a_{45} - a_{14}a_{35} + a_{15}a_{34},$$

$$Pf(A_3) = a_{12}a_{45} - a_{14}a_{25} + a_{15}a_{24},$$

$$Pf(A_4) = a_{12}a_{35} - a_{13}a_{25} + a_{15}a_{23},$$

$$Pf(A_5) = a_{12}a_{34} - a_{13}a_{24} + a_{14}a_{23}.$$

**DEFINITION** A Noetherian local ring  $R$  is a **Gorenstein** ring if  $\text{inj dim}_R R < \infty$ .

More generally, a Noetherian ring  $R$  is called **Gorenstein** if for every maximal ideal  $\mathfrak{m}$  of  $R$  the localization  $R_{\mathfrak{m}}$  is Gorenstein.

## EXAMPLES OF GORENSTEIN RINGS

- The anticanonical ring  $R = \bigoplus_{m \geq 0} H^0(X, \mathcal{O}_X(-mK_X))$  of a (smooth) Fano  $n$ -fold.
- The canonical ring  $R = \bigoplus_{m \geq 0} H^0(X, \mathcal{O}_X(mK_X))$  of a (smooth) regular surface of general type.
- The Stanley-Reisner ring of a simplicial sphere over any field.

**THEOREM** Let  $R = k[x_1, \dots, x_m]/I$  be the polynomial ring in  $n$  variables divided by a homogeneous ideal  $I$ .

- (Serre) If  $\text{codim } I = 1$  or  $2$  then

*$R$  is Gorenstein  $\Leftrightarrow I$  is a complete intersection.*

- (Buchsbaum-Eisenbud (1977)) If  $\text{codim } I = 3$  then

*$R$  is Gorenstein  $\Leftrightarrow I$  is generated by the  $2n \times 2n$  Pfaffians of a skewsymmetric  $(2n + 1) \times (2n + 1)$  matrix with entries in  $k[x_1, \dots, x_m]$ .*

**QUESTION** Is there a structure theorem for  $\text{codim } I \geq 4$  ?

- A.Kustin & M.Milller (1983) introduced a procedure which constructs more «complicated» Gorenstein rings from simpler ones by increasing codimension. This procedure is called Kustin-Miller unprojection.
- M.Reid (1995) rediscovered what was essentially the same procedure working with Gorenstein rings arising from K3 surfaces and 3-folds.



# UNPROJECTION REVIEW

## Kustin-Miller unprojection

Assumptions of Kustin-Miller unprojection:

- $J \subset R$  codimension 1 ideal
- $R$  Gorenstein
- $R/J$  Gorenstein.

Codimension: increasing by one.

Applying the functor  $\text{Hom}_R(-, R)$  to the following short exact sequence

$$0 \rightarrow J \rightarrow R \rightarrow R/J \rightarrow 0$$

we get a corresponding long exact sequence.

Using duality theory, we obtain the exact sequence

$$0 \rightarrow R \rightarrow \text{Hom}_R(J, R) \rightarrow R/J \rightarrow 0$$

with the last nonzero map corresponding to the Poincaré residue map of complex geometry.

Hence, there exists  $\phi \in \text{Hom}_R(J, R)$  such that together with the inclusion  $i : J \rightarrow R$  generate the  $R$ -module  $\text{Hom}_R(J, R)$ .

**DEFINITION** (Reid) The Kustin-Miller unprojection ring of the pair  $J \subset R$  is the ring

$$\text{Unpr}(J, R) = \text{graph of } \phi = \frac{R[T]}{(T\alpha - \phi(\alpha) : \alpha \in J)}$$

where  $T$  is a new variable.

**THEOREM** (Kustin-Miller, Reid-Papadakis) The ring  $\text{Unpr}(J, R)$  is Gorenstein.

**REMARK:** We have that  $\text{Unpr}(J, R)$  has typically more complicated structure than both  $R, R/J$  and is useful to construct/analyse Gorenstein rings in terms of simpler ones.

## Parallel Kustin-Miller unprojection

Kustin-Miller unprojection can be used many times in an inductive way to produce Gorenstein rings of arbitrary codimension, whose properties are nevertheless controlled by just a few equations as a number of new unprojection variables are adjoined.

## APPLICATIONS

- Construction of new interesting algebraic surfaces and 3-folds.
- Explicit Birational Geometry.  
(That is, writing down explicitly varieties, morphisms and rational maps that Minimal Model Program says they exist.)
- Algebraic Combinatorics.

Neves and Papadakis (2013) develop a theory, which is called parallel Kustin-Miller unprojection.

They set sufficient conditions on a positively graded Gorenstein ring  $R$  and a finite set of codimension 1 ideals which ensure the series of unprojections.

Furthermore, they give a simple and explicit description of the end product ring which corresponds to the unprojection of the ideals.

This theory applies when all the unprojection ideals of a series of unprojections correspond to ideals already present in the initial ring.

## TOM & JERRY TRIPLES

Assume  $J$  is a codimension 4 complete intersection ideal and  $M$  is a  $5 \times 5$  skewsymmetric matrix.

### DEFINITION

- 1 Assume  $1 \leq i \leq 5$ . The matrix  $M$  is called *Tom<sub>i</sub>* in  $J$  if after we delete the  $i$ -th row and  $i$ -th column of  $M$  the remaining entries are elements of the codimension 4 ideal  $J$ .
- 2 Assume  $1 \leq i < j \leq 5$ . The matrix  $M$  is called *Jerry<sub>ij</sub>* in  $J$  if all the entries of  $M$  that belong to the  $i$ -th row or  $i$ -th column or  $j$ -th row or  $j$ -th column are elements of  $J$ .

**REMARK** In both cases the Pfaffian ideal of  $M$  is a subset of  $J$ .

## Papadakis' Calculation for Tom (2004)

Let  $R = k[x_k, z_k, m_{ij}^k]$ , where  $1 \leq k \leq 4$ ,  $2 \leq i < j \leq 5$ , be a polynomial ring. Set  $J = (z_1, z_2, z_3, z_4)$ . Denote by

$$N = \begin{pmatrix} 0 & x_1 & x_2 & x_3 & x_4 \\ -x_1 & 0 & m_{23} & m_{24} & m_{25} \\ -x_2 & -m_{23} & 0 & m_{34} & m_{35} \\ -x_3 & -m_{24} & -m_{34} & 0 & m_{45} \\ -x_4 & -m_{25} & -m_{35} & -m_{45} & 0 \end{pmatrix},$$

where

$$m_{ij} = \sum_{k=1}^4 m_{ij}^k z_k.$$

Let  $I$  be the ideal generated by the Pfaffians  $P_0, P_1, P_2, P_3, P_4$  of  $N$ . It holds that  $I \subset J$ .

Papadakis using multilinear and homological algebra calculated the equations of the codimension 4 ring which occurs as unprojection of the pair  $I \subset J$ .

More precisely, he calculated 4 polynomials  $g_i$  for  $i = 1, \dots, 4$  and defines the map  $\phi$  by

$$\phi: J/I \rightarrow R/I, \quad z_i + I \mapsto g_i + I.$$

Moreover, he proved that  $\text{Hom}_{R/I}(J/I, R/I)$  is generated as  $R/I$ -module by the inclusion map  $i$  and  $\phi$ . From the theory it follows that the ideal

$$(P_0, P_1, P_2, P_3, P_4, Tz_1 - g_1, Tz_2 - g_2, Tz_3 - g_3, Tz_4 - g_4)$$

of the polynomial ring  $R[T]$  is Gorenstein of codimension 4.



We will now define Tom & Jerry triples.

Let

$$M = \begin{pmatrix} 0 & m_{12} & m_{13} & m_{14} & m_{15} \\ -m_{12} & 0 & m_{23} & m_{24} & m_{25} \\ -m_{13} & -m_{23} & 0 & m_{34} & m_{35} \\ -m_{14} & -m_{24} & -m_{34} & 0 & m_{45} \\ -m_{15} & -m_{25} & -m_{35} & -m_{45} & 0 \end{pmatrix}$$

be a  $5 \times 5$  skewsymmetric matrix and  $J_1, J_2, J_3$  be three complete intersection ideals of codimension 4.

## Tom & Tom & Tom case

**DEFINITION** We say that  $M$  is a  $\text{Tom}_1 + \text{Tom}_2 + \text{Tom}_3$  in  $J_1, J_2, J_3$  if the entries of  $M$  satisfy the following conditions:

$$m_{12} \in J_3, m_{13} \in J_2, m_{14}, m_{15} \in J_2 \cap J_3, m_{23} \in J_1, \\ m_{24}, m_{25} \in J_1 \cap J_3, m_{34}, m_{35} \in J_1 \cap J_2, m_{45} \in J_1 \cap J_2 \cap J_3.$$

**REMARK** Equivalently, the matrix  $M$  is  $\text{Tom}_1$  in  $J_1$ ,  $\text{Tom}_2$  in  $J_2$  and  $\text{Tom}_3$  in  $J_3$ .

Similarly, we set conditions in the entries of  $M$  such that  $M$  is

- $\text{Jerry}_{ij}$  in  $J_1$ ,  $\text{Jerry}_{kl}$  in  $J_2$  and  $\text{Jerry}_{mn}$  in  $J_3$ .
- $\text{Tom}_i$  in  $J_1$ ,  $\text{Tom}_j$  in  $J_2$  and  $\text{Jerry}_{kl}$  in  $J_3$ .
- $\text{Tom}_i$  in  $J_1$ ,  $\text{Jerry}_{jk}$  in  $J_2$  and  $\text{Jerry}_{lm}$  in  $J_3$ .

We work over the polynomial ring  $R = k[z_i, c_j]$ , where  $1 \leq i \leq 7$  and  $1 \leq j \leq 25$ . Denote by  $\text{Tom}(1,2,3)$ , the following  $5 \times 5$  skewsymmetric matrix

$$\begin{pmatrix} 0 & c_1 z_1 + c_2 z_2 + c_3 z_3 + c_4 z_6 & c_5 z_1 + c_6 z_2 + c_7 z_4 + c_8 z_5 & c_9 z_1 + c_{10} z_2 & c_{11} z_1 + c_{12} z_2 \\ & 0 & c_{13} z_2 + c_{14} z_3 + c_{15} z_5 + c_{16} z_7 & c_{17} z_2 + c_{18} z_3 & c_{19} z_2 + c_{20} z_3 \\ & & 0 & c_{21} z_2 + c_{22} z_5 & c_{23} z_2 + c_{24} z_5 \\ & -Sym & & 0 & c_{25} z_2 \\ & & & & 0 \end{pmatrix}$$

which is  $\text{Tom}_1 + \text{Tom}_2 + \text{Tom}_3$  matrix in the ideals

$$J_1 = (z_2, z_3, z_5, z_7), \quad J_2 = (z_1, z_2, z_4, z_5), \quad J_3 = (z_1, z_2, z_3, z_6).$$

Let  $I$  be the ideal generated by the Pfaffians of  $\text{Tom}(1,2,3)$ .

## PROPOSITION

- (i) For all  $t$  with  $1 \leq t \leq 3$ , the ideal  $J_t/I$  is a codimension 1 homogeneous ideal of  $R/I$  with Gorenstein quotient.
- (ii) For all  $t, s$  with  $1 \leq t < s \leq 3$ , it holds that

$$\operatorname{codim}_{R/I}(J_t/I + J_s/I) = 3.$$

**AIM:** Computation of  $\phi_t: J_t/I \rightarrow R/I$  for all  $t$  with  $1 \leq t \leq 3$ .

**STRATEGY:** We combine Papadakis' Calculation for  $\text{Tom}_1$  with the fact that a  $\text{Tom}_i$  matrix in an ideal  $J$  is related to  $\text{Tom}_1$  matrix in the ideal  $J$  via a sequence of elementary row and column operations.

**PROPOSITION** For all  $t$  with  $1 \leq t \leq 3$ , the  $R/I$ -module  $\text{Hom}_{R/I}(J_t/I, R/I)$  is generated by the two elements  $i_t$  and  $\phi_t$ .

**PROPOSITION** For all  $t, s$  with  $1 \leq t, s \leq 3$  and  $t \neq s$ , it holds that

$$\phi_s(J_s/I) \subset J_t/I.$$

**PROPOSITION** For all  $t, s$  with  $1 \leq t, s \leq 3$  and  $t \neq s$ , there exists a homogeneous element  $A_{st}$  such that

$$\phi_s(\phi_t(p)) = A_{st}p \text{ for all } p \in J_t/I.$$

Let  $T_1, T_2, T_3$  be three new variables of degree 6.

**DEFINITION** We define as  $I_{un}$  the ideal

$$(I) + (T_1 z_2 - \phi_1(z_2), T_1 z_3 - \phi_1(z_3), T_1 z_5 - \phi_1(z_5), T_1 z_7 - \phi_1(z_7), \\ T_2 z_1 - \phi_2(z_1), T_2 z_2 - \phi_2(z_2), T_2 z_4 - \phi_2(z_4), T_2 z_5 - \phi_2(z_5), \\ T_3 z_1 - \phi_3(z_1), T_3 z_2 - \phi_3(z_2), T_3 z_3 - \phi_3(z_3), T_3 z_6 - \phi_3(z_6), \\ T_1 T_2 - A_{12}, T_1 T_3 - A_{13}, T_2 T_3 - A_{23})$$

of the polynomial ring  $R[T_1, T_2, T_3]$ .

We set  $R_{un} = R[T_1, T_2, T_3]/I_{un}$ .

**PROPOSITION** The homogeneous ideal  $I_{un}$  is a codimension 6 ideal with a minimal generating set of 20 elements.

**THEOREM** (P.) The ring  $R_{un}$  is Gorenstein.



## APPLICATIONS

We now give two applications of the construction of  $R_{un}$ .

**Theorem (P.)** There exists a family of quasismooth, projectively normal and projectively Gorenstein Fano 3-folds  $X \subset \mathbb{P}(1^3, 2^7)$ , nonsingular away from eight quotient singularities  $\frac{1}{2}(1, 1, 1)$ , with Hilbert series of the anticanonical ring

$$\frac{1 - 20t^4 + 64t^6 - 90t^8 + 64t^{10} - 20t^{12} + t^{16}}{(1-t)^3(1-t^2)^7}.$$

**Theorem (P.)** There exists a family of quasismooth, projectively normal and projectively Gorenstein Fano 3-folds  $X \subset \mathbb{P}(1^3, 2^5, 3^2)$ , nonsingular away from four quotient singularities  $\frac{1}{2}(1, 1, 1)$ , and two quotient singularities  $\frac{1}{3}(1, 1, 2)$ , with Hilbert series of the anticanonical ring

$$\frac{1 - 11t^4 - 8t^5 + 23t^6 + 32t^7 - 13t^8 - 48t^9 - 13t^{10} + 32t^{11} + 23t^{12} - 8t^{13} - 11t^{14} + t^{18}}{(1-t)^3(1-t^2)^5(1-t^3)^2}.$$

## Construction of the first family:

Denote by  $k = \mathbb{C}$  the field of complex numbers.

Let  $R_{un}$  be the ring and  $I_{un}$  the ideal which were defined above.

Substitute the variables  $(c_1, \dots, c_{25})$  with a general element of  $k^{25}$ .

$\hat{R}_{un}$ : the ring which occurs from  $R_{un}$  after this substitution.

$\hat{I}_{un}$ : the ideal which obtained by the ideal  $I_{un}$  after this substitution.

In what follows we set

$$\text{degree } z_i = \text{degree } T_1 = \text{degree } T_2 = \text{degree } T_3 = 2,$$

for all  $i$  with  $1 \leq i \leq 7$ .

Since  $R_{un}$  is Gorenstein,  $\text{Proj } \hat{R}_{un} \subset \mathbb{P}(2^{10})$  is a projectively Gorenstein 3-fold.

Let  $A = k[w_1, w_2, w_3, z_1, z_2, z_3, z_5, T_1, T_2, T_3]$  be the polynomial ring over  $k$  with  $w_1, w_2, w_3$  variables of degree 1. Consider the graded  $k$ -algebra homomorphism

$$\psi: \hat{R}_{un}[T_1, T_2, T_3] \rightarrow A$$

with

$$\psi(z_1) = z_1, \quad \psi(z_2) = z_2, \quad \psi(z_3) = z_3, \quad \psi(z_4) = f_1,$$

$$\psi(z_5) = z_5, \quad \psi(z_6) = f_2, \quad \psi(z_7) = f_3, \quad \psi(T_1) = T_1,$$

$$\psi(T_2) = T_2, \quad \psi(T_3) = T_3$$

where

$$f_1 = l_1 z_1 + l_2 z_2 + l_3 z_3 + l_4 z_5 + l_5 T_1 + l_6 T_2 + l_7 T_3 + l_8 w_1^2 + l_9 w_1 w_2 + l_{10} w_1 w_3 + l_{11} w_2^2 + l_{12} w_2 w_3 + l_{13} w_3^2,$$

$$f_2 = l_{14}z_1 + l_{15}z_2 + l_{16}z_3 + l_{17}z_5 + l_{18}T_1 + l_{19}T_2 + l_{20}T_3 + l_{21}w_1^2 + l_{22}w_1w_2 + l_{23}w_1w_3 + l_{24}w_2^2 + l_{25}w_2w_3 + l_{26}w_3^2,$$

$$f_3 = l_{27}z_1 + l_{28}z_2 + l_{29}z_3 + l_{30}z_5 + l_{31}T_1 + l_{32}T_2 + l_{33}T_3 + l_{34}w_1^2 + l_{35}w_1w_2 + l_{36}w_1w_3 + l_{37}w_2^2 + l_{38}w_2w_3 + l_{39}w_3^2$$

and  $(l_1, \dots, l_{39}) \in k^{39}$  are general.

Denote by  $Q$  the ideal of the ring  $A$  generated by the subset  $\psi(\hat{l}_{un})$ . Let  $X = V(Q) \subset \mathbb{P}(1^3, 2^7)$ . Then  $X$  is a codimension 6 projectively Gorenstein 3-fold.

**PROPOSITION** The ring  $A/Q$  is an integral domain.

**PROPOSITION** Consider  $X = V(Q) \subset \mathbb{P}(1^3, 2^7)$ . Denote by  $X_{\text{cone}} \subset \mathbb{A}^{10}$  the affine cone over  $X$ . The scheme  $X_{\text{cone}}$  is smooth outside the vertex of the cone.

**PROPOSITION** Consider the singular locus  $Z = V(w_1, w_2, w_3)$  of the weighted projective space  $\mathbb{P}(1^3, 2^7)$ . The intersection of  $X$  with  $Z$  consists of exactly eight points which are quotient singularities of type  $\frac{1}{2}(1, 1, 1)$  for  $X$ .

**PROPOSITION** The minimal graded resolution of  $A/Q$  as  $A$ -module is equal to

$$0 \rightarrow A(-16) \rightarrow A(-12)^{20} \rightarrow A(-10)^{64} \rightarrow A(-8)^{90} \rightarrow A(-6)^{64} \\ \rightarrow A(-4)^{20} \rightarrow A$$

Moreover, the canonical module of  $A/Q$  is isomorphic to  $(A/Q)(-1)$  and the Hilbert series of  $A/Q$  as graded  $A$ -module is equal to

$$\frac{1 - 20t^4 + 64t^6 - 90t^8 + 64t^{10} - 20t^{12} + t^{16}}{(1-t)^3(1-t^2)^7}.$$

## REFERENCES

K. ADIPRASITO, S. A. PAPADAKIS AND V. PETROTOU, The volume intrinsic to a commutative graded algebra, arXiv preprint (2024) 30 pp.,

available at <https://arxiv.org/pdf/2407.11916>.

S. ALTINOK, G. BROWN AND M. REID, Fano 3-folds,  $K3$  surfaces and graded rings. *Topology and geometry: commemorating SISTAG*, 25–53, *Contemp. Math.*, 314, Amer. Math. Soc., Providence, RI, 2002.

J. BÖHM AND S. A. PAPADAKIS, Stellar subdivisions and Stanley-Reisner rings of Gorenstein complexes, *Australasian J. of Combinatorics* 55 (2013) pp. 235–247



D. BUCHSBAUM AND D. EISENBUD, Algebra structures for finite free resolutions, and some structure theorems for ideals of codimension 3. *Amer. J. Math.* 99 (1977), 447 – 485.

G. BROWN, A. KASPRZYK, Graded ring database homepage, *Online searchable database*, available at <http://www.grdb.co.uk/>.

A. R. KUSTIN AND M. MILLER, Constructing big Gorenstein ideals from small ones. *J. Algebra* 85 (1983) pp. 303–322.

J. NEVES AND S. A. PAPADAKIS, Parallel Kustin-Miller unprojection with an application to Calabi–Yau geometry. *Proc. Lond. Math. Soc.* (3) 106 (2013), 203–223.

S. A. PAPADAKIS, Kustin-Miller unprojection with complexes. *J. Algebraic Geom.* 13 (2004). 249–268.

S. A. PAPADAKIS AND M. REID, Kustin-Miller unprojection without complexes. *J. Algebraic Geometry* 13 (2004), 563–577

V. PETROTOU, Tom & Jerry triples with an application to Fano 3-folds. *Commun. Algebra* 50 (2022), 3960–3977.

V. PETROTOU, The 4-intersection unprojection format. *J. Pure and Appl. Algebra* (2025), Article: 107915.

M. REID, Graded rings and birational geometry. Proc. of Algebraic Geometry Symposium (K. Ohno, ed.), Kinokuniya (2000), 72 pp.

H. WALI, S. IQBAL, Godeaux and Campedelli Surfaces via Deformations. *Mathematics* (2024), 12, Article: 3123.

Thank you for your attention!!